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SECP_78_1303 - Panel Paper Overview

This document provides an overview of all the papers to be presented at the SEC Panel meeting on 13 March 2020; so that Parties can determine those papers that may be of interest.

An indication of the focus of each paper has been provided to highlight whether it is of interest to a particular reader, i.e. security, technical, governance.

Following the meeting, SECAS will issue meeting headlines, which outline any decisions and outcomes from the Panel meeting.

Decision, Discussion and Information Items

SECP_78_1303_01 – Minutes and Actions Outstanding (For Information)

Paper focus:

- Governance

Summary:

The final minutes from the February 2020 Panel meeting and an update on outstanding actions from previous meetings.

SECP_78_1303_02 – DCC Comms Hub Finance (For Discussion) (RED)

Presentation focus:

- Governance
- Technical

Summary:

This presentation provides the SEC Panel with an update on its Comms Hubs financing arrangements including notification of DCC's Approved Finance Parties for discussion.

SECP_78_1303_03 – Privacy Incident Notification (For Discussion) (RED)

Paper focus:

- Privacy

Summary:

The DCC is notifying the Panel of a Date Privacy Incident which occurred on 28 January 2020. The Panel is asked to note the details and remediation of this incident.

SECP_78_1303_04 – SMETS2 Interoperability Review – SMDA Funding Proposal (For Decision) (**AMBER**)

Presentation focus:

- Testing

Summary:

This presentation will outline the problems facing SMDA and possible solutions to ensure future funding.

The Panel is requested to discuss the re-wording of SEC Modification DP111 which will be submitted shortly.

SECP_78_1303_05 – Smart Metering Assurance for SMETS2 Device Interoperability on Change (For Decision) (AMBER)

Paper focus:

- Change

Summary:

This paper provides an overview of the changes required to ensure that the Panel has sufficient regulatory authority to request and receive information relating to the commercial arrangements which Users depend upon to access updated firmware images.

The Panel is requested to agree the approach outlined in this paper.

SECP_78_1303_06 – Sharing Information on Issues and Defects (For Decision)

Paper focus:

- Governance
- Technical

Summary:

This paper seeks the SEC Panel's view on the sharing amongst SEC Parties of information about issues and suspected defects, and defects related to devices and device combinations, including Communication Hubs. The Panel is asked to agree its preferred option.

SECP_78_1303_07 – OPSG – Spurious Alerts (For Decision)

Paper focus:

- Governance
- Technical

Summary:

The SEC Panel is asked to endorse the OPSG's role in overseeing the resolution of the Superfluous Alerts issue.

SECP_78_1303_08 – Panel Information Policy (For Decision)

Paper focus:

- Governance

Summary:

Version 4.1 of the Panel Information Policy has been updated to include classification of the Authority and the Secretary of State's access to confidential information via the Panel and its Sub-Committees.

The Panel is requested to review and approve version 4.1 of the Panel Information Policy.

SECP_78_1303_09 – SOLR Processes (For Information) (AMBER)

Paper focus:

- Governance
- Supplier of Last Resort (SoLR)

Summary:

This paper provides an update on the Supplier of Last Resort (SoLR) project, reporting against the project brief agreed by the Panel in February 2020. The key outputs produced since the last Panel meeting are provided as an appendix to this paper. The Panel is requested to note the update.

SECP_78_1303_10 – Current Events Default (For Decision) (RED)

Presentation focus:

- Governance

Summary:

This paper provides the SEC Panel with updates on two new Events of Default, three existing Events of Default, and Network Operator non-compliances.

Change Items

SECP_78_1303_11 – Change Status Report – March 2020 (For Information)

Paper focus:

- Change
- Reporting

Summary:

This paper provides an update on the progress of Modification Proposals going through the change process, a summary of potential future release content, alongside the DCC Impact Assessment (IA) and Preliminary Assessment (PA) timetable.

SECP_78_1303_12 – Authority decision on MP079 'Power to withdraw modifications' (For Discussion)

Discussion focus:

- Change

Summary:

This will be a brief verbal discussion following the Authority's decision to reject MP079. The Panel is requested to advise how it would like to proceed from here.

SECP_78_1303_13 – SEC Modification Timetables update (For Information)

Paper focus:

- Change

Summary:

This paper provides an update on the timetables for Modification Proposals currently in the Refinement Process. The timelines here cover the next main programme of work for selected open modifications, and the due date this is expected to be completed by. At that point, the Panel will be provided with an update on progress and be invited to approve the next programme of work for that proposal.

The Panel is invited to agree the programmes of work and timelines in this paper.

SECP_78_1303_14 – Prioritising SEC Modifications (For Decision)

Paper focus:

- Change

Summary:

This paper is responding to a significant number of modifications being submitted in recent months and proposes a method of prioritisation.

The Panel is requested to endorse the proposed approach to prioritising modifications and agree the proposed prioritisation of the open modifications.

SECP_78_1303_15 – SECMP0007 progress update (For Information)

Paper focus:

- Change

Summary:

SECMP0007 'Firmware updates to IHDs and PPMIDs' is undergoing the Refinement Process. We are carrying out the assessment of the areas requested by the Panel; this paper provides an update on the developments on this proposal.

The Panel is requested to note the update on the progress with SECMP0007 and agree that SECMP0007 should remain in the Refinement Process.

SECP_78_1303_16 – DP095 'Alignment of SEC Credit Cover' Progression (For Decision)

Paper focus:

- Change

Summary:

The Change Sub-Committee (CSC) has agreed that Draft Proposal is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed to the Refinement Process. This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP095 should be converted to a Modification Proposal and progressed to the Refinement Process. The Panel is also requested to agree the first package of work and the timetable as set out in this paper.

SECP_78_1303_17 – DP098 'Incorporation of multiple Issue Resolution Proposals into the SEC – Batch 3' Progression (For Decision)

Paper focus:

- Change

Summary:

Draft Proposal DP098 'Incorporation of multiple Issue Resolution Proposals into the SEC – Batch 3' is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed to the Report Phase. This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP098 should be converted to a Modification Proposal and should be progressed to the Report Phase. The Panel is also requested to approve the Modification Report, the implementation approach, and agree that MP098 should be progressed as a Self-Governance Modification.

SECP_78_1303_18 – DP107 'SMETS1 Validation of SRV 6.15.1' Progression (For Decision)

Paper focus:

- Change

Summary:

Draft Proposal DP107 'SMETS1 Validation of SRV 6.15.1' is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed to the Refinement Process. This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP107 should be converted to a Modification Proposal and should be progressed to the Refinement Process. The Panel is also requested to agree the first package of work and the timetable as set out in this paper.

SECP_78_1303_19 – DP109 'ADT and Exit Quarantine file delivery mechanism' Progression (For Decision)

Paper focus:

- Change

Summary:

DP109 'ADT and Exit Quarantine file delivery mechanism' is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed to the Refinement Process. This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel are requested to agree that DP109 should be progressed to the Refinement Process, and agree the first package of work and timetable as set out in this paper.

SECP_78_1303_20 – DP112 'Setting the Privacy Assessment Assurance Status' Progression (For Decision)

Paper focus:

- Change

Summary:

Draft Proposal DP112 'Setting the Privacy Assessment Assurance Status' is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed directly to the Report Phase. This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP112 should be converted to a Modification Proposal and should be progressed to the Report Phase. The Panel is also asked to approve the Modification Report, the implementation approach, and agree that MP112 should be progressed as a Self-Governance Modification.

SECP_78_1303_21 – DP113 'Unintended Data Disclosure when using SR8.2' Progression (For Decision)

Paper focus:

- Change

Summary:

Draft Proposal DP113 'Unintended Data Disclosure when using SR8.2' is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed to the Refinement Process. This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP113 should be converted to a Modification Proposal and should be progressed to the Refinement Process. The Panel is also requested to agree the first package of work and the timetable as set out in this paper.

SECP_78_1303_22 – DP114 'Alt HAN P2P Charging Data' Progression (For Decision)

Paper focus:

- Change

Summary:

Draft Proposal DP114 'Alt HAN P2P Charging Data' is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed directly to the Report Phase This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP114 should be converted to a Modification Proposal and should be progressed to the Report Phase. The Panel is also requested to approve the Modification Report, the implementation approach, and agree that MP114 should be progressed as a Self-Governance.

SECP_78_1303_23 – DP115 'Changes to the NCSC Good Practice Guides' Progression (For Decision)

Paper focus:

- Change

Summary:

Draft Proposal DP115 'Changes to the NCSC Good Practice Guides' is ready to be converted to a Modification Proposal. SECAS recommends that this modification be progressed directly to the Report Phase This paper sets out our proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP115 should be converted to a Modification Proposal and should be progressed to the Report Phase. The Panel is also requested to approve the Modification Report, the implementation approach, and agree that MP115 should be progressed as a Self-Governance Modification.

SECP_78_1303_24 – SEC Release update (For Decision)

Paper focus:

- Change

Summary:

This paper contains details of the June 2020 SEC Release, following discussion with the Testing Advisory Group (TAG).

The Panel is requested to approve the June 2020 SEC Release Testing Approach Document and incorporate this into the Release Implementation Document. The Panel is also requested the agree request a revised implementation date for the June 2020 SEC Release of 6 May 2020 with the power to further amend this to no later than 31 May 2020 without needing further Authority approval. The Panel are invited to note the update on the November 2020 SEC Release and the DCC's update on the actual costs of the November 2019 SEC Release.

SECP_78_1303_25 – Document Management Review (For Discussion)

Paper focus:

- Change

Summary:

This paper provides an update on our progress with the SEC Document Management Review and our intended next steps. The Panel is invited to endorse the proposed improvements and next steps.

The Panel is requested to endorse SECAS's proposed improvements to the management of SEC documentation and the approach to taking these forward.

Standing Agenda Items

SECP_78_1303_26 – BEIS Update (For Information)

Presentation focus: To be provided on the day.

- Governance
- Reporting

Summary:

A presentation that provides the Panel with an update on recent publications, forthcoming consultations and key milestones.

The Panel is requested to note the update.

SECP_78_1303_27 – DCC Update (For Information)

Presentation focus: To be provided on the day.

- Governance
- Reporting

Summary:

A presentation that provides the Panel with an update on the activities undertaken by the DCC since the last Panel meeting.

The Service Request Forecasting Problem Statement has also been provided for information.

The Panel is requested to note the update.

SECP_78_1303_28 – SEC Panel Sub-Committee Report (For Information) (**RED**)

Paper focus:

- Governance
- Reporting

Summary:

An update on recent activities from the Panel Sub-Committees. It highlights the key issues discussed and details specific points the Sub-Committees would like to bring to the Panel's attention.

The Panel is requested to note the update.

SECP_78_1303_29 – DCC Reporting (For Information) (**AMBER**)

Paper focus:

- Governance
- Reporting

Summary:

This paper details the Post Commissioning Information report for February 2020 provided by the DCC for the SEC Panel to review, as required by the Smart Energy Code (SEC).

This paper sets out the observations raised by the Operations Group against each DCC report that has been delegated to them.

The Panel is requested to note the observations raised by the Operations Group.

SECP_78_1303_30 – Operations Report – February 2020 (For Information) (**AMBER**)

Paper focus:

- Governance
- Reporting

Summary:

The Operations Report is a monthly update based on SECAS activities in support of the SEC. It includes a breakdown of days by driver and provides commentary related to the activities undertaken by the SECAS team in February 2020.

The Panel is requested to note the report.

SECP_78_1303_31 – Smarter Markets Project Update (For Information)

- Governance

Summary:

This paper provides the SEC Panel and Parties with a monthly overview of the key activities of the Ofgem Switching Programme which have the potential to impact the SEC. The Panel is requested to note the contents of this paper.

SECP_78_1303_32 – Transitional Governance Update (For Information) (GREEN)

Paper focus:

- Governance
- Reporting

Summary:

A compendium of activities occurring under the Smart Metering Implementation Programme (SMIP). The paper includes updates on the following areas:

- High level updates from Transitional Work Group meetings attended by SECAS and the Panel Chair in the last month; and
- An overview of relevant notices from the Secretary of State.

The Panel is requested to note the update.