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## SEC Panel Meeting Headlines 12<sup>th</sup> October 2018

10:00 – 13:00

Gemserv, 8 Fenchurch Place, London, EC3M 4AJ

### SECP\_61\_1210 – Meeting Headlines

#### SEC Panel Headlines

##### 1. Minutes and Actions Outstanding (For Information)

The Panel noted that the minutes from the September 2018 Panel meeting had been approved via ex-committee. It was noted that all actions were marked as completed or on target for completion.

The Panel **NOTED** the update.

#### Decision and Discussion Items

##### 2. Release 2.0 Live Services Criteria Submission (For Discussion)

The Testing Advisory Group (TAG) Chair attended the meeting and informed the Panel that the TAG had met on 10 October 2018 to discuss Release 2.0 (R2.0) Live Services Criteria (LSC) from a testing perspective. The TAG reviewed two of the LSC in addition to the testing completion reports.

It was noted that the Operations Group were also meeting on 12 October 2018 to discuss the criteria from an operational perspective. The Panel were informed that recommendations from both sub-committees would be provided on 17 October, ahead of the additional Panel meeting scheduled for 19 October, where the Panel will consider the recommendations and make a decision as to whether to recommend the approval of Release 2.0 go live to the Secretary of State.

The Panel **NOTED** the update.

##### 3. NCSC Conditional CPA Certificates (For Decision) (**RED**)

The Security Sub-Committee (SSC) Chair provided the Panel with a confidential update on the developments relating to the conditions that have been attached to the award of two Commercial Product Assurance (CPA) Certificates by the National Cyber Security Centre (NCSC). The Panel considered the next steps as advised by the SSC, which included notifying Suppliers, impacted manufacturers and Meter Asset Providers. This agenda item was marked as **RED** and therefore recorded in the Confidential Minutes.

The Panel **ENDORSED** the SSC advice.

**4. Privacy Assessment Proposal – Other User ‘L’ (For Discussion) (RED)**

SECAS informed the Panel that Other User ‘L’ has scheduled a Full User Privacy Assessment for October 2018 and requested that the Panel consider Other User ‘L’s proposed route to compliance. This agenda item was marked as **RED** and therefore recorded in the Confidential Minutes.

The Panel **AGREED** Other User ‘L’s proposed route to compliance.

**5. Full User Privacy Assessment Review – Other User ‘A’ and Other User ‘H’ (For Decision) (RED)**

Any SEC Party wishing to act in the DCC User Role of Other User is required to undertake a Full User Privacy Assessment. In accordance with SEC Section I2.23, the SEC Panel is required to consider the outcomes of the assessment and set an assurance status for each SEC Party that has undergone this process. The SEC Panel considered Privacy Assessment Reports and Privacy Assessment Management Responses for two SEC Parties: Other User ‘A’ and Other User ‘H’. The agenda item was marked as **RED** and therefore recorded in the Confidential Minutes.

The Panel **AGREED** the Assurance Status for Other User ‘A’ and Other User ‘H’.

**6. Request for additional Planned Maintenance to enable improvements to the Self-Service Interface (For Decision)**

At its May 2018 meeting, the Panel agreed that the DCC may use a revised Maintenance schedule to support changes to the Self-Service Interface, and to further explore suitable governance of such changes going forward. The Panel were informed that the DCC and Operations Group have agreed a more agile governance process that allows Users to retain control of which changes are deployed, and the cost associated with those changes.

The DCC requested that the Operations Group continue to oversee the implementation of non-functional changes to the SSI on behalf of DCC Users and the Panel.

The Panel **AGREED** the extension to the temporary governance arrangements currently being used to manage the improvements to the Self-Service Interface, including planned maintenance.

**7. SEC Panel Draft Budget 2019 – 2022 (For Discussion) (AMBER)**

Following discussions held with the Panel Finance and Contracts Group (PFCG) on 2 October 2018, SECAS presented the Panel with an outline Draft Budget for the next three Regulatory Years (April 2019 to March 2022).

The Panel provided feedback to be incorporated into a further version that will be presented as a paper available to Parties at the November 2018 meeting.

**8. SEC Panel Risk and Issue Register Update (For Decision)**

SECAS provided an update on the SEC Panel Risk Register and Issues Log, which included a proposed new issue and an amendment to an existing issue.

The Panel considered the proposed new issue that relates to the extent of Planned Maintenance outages being requested by the DCC. The DCC recognised the issue and noted that remedial action was being undertaken to mitigate the issue.

The Panel considered the amendment to Issue 2 which relates to Users finding workarounds to circumvent the DCC systems because change to those systems is too timely and/or costly. The Panel requested that SECAS and the DCC work together to identify what is driving the significant cost of change, in order to develop a solution and mitigate the issue.

The Panel **AGREED** the amendments to the SEC Panel Issue Log.

## 9. Events of Default (For Decision) (RED)

The Panel were provided with a confidential update on three current Events of Default, and one new Event of Default.

The Panel **AGREED** to expel GEN4U from the Smart Energy Code and monitor the three remaining Parties.

## Change Items

### 10. Change Status Report – October 2018 (For Information)

The Panel were provided with an update on the status and progress of Modification Proposals.

The Panel:

- **DEFERRED** a ten-month extension for [SECMP0032 'Prioritising Prepayment Customers in No WAN Situations'](#);
- **AGREED** a three-month extension for [SECMP0039 'Communication Hub returns notification mechanism for Other SEC Parties'](#), with the Draft Modification Report to be presented to the Panel in February 2019; and
- **AGREED** a one-month extension for [SECMP0043 'Modification to Services Force Majeure Provisions'](#), with the Draft Modification Report to be presented to the Panel in December 2018.

The Panel were also provided with an update from the DCC on the current progression of SEC Modification Proposals as they undergo Preliminary Assessments and Impact Assessments.

### 11. Modification Send-Back: SECMP0009 (For Decision)

The Panel were informed that the Change Board has determined that [SECMP0009 'Centralised Firmware Library'](#) should be returned to the Panel so that the Modification Report can be updated to incorporate the Security Sub-Committee recommendations, and discussed the next steps for the modification.

The Panel:

- **AGREED** that SECMP0009 remains a Path 2: Authority Determined Modification Proposal;
- **AGREED** the legal text continues to deliver the intention of the modification;
- **AGREED** the revised implementation approach; and

- **AGREED** to submit SECMP0009 directly to the Report Phase for a second Modification Report Consultation.

## 12. Modification Send-Back: SECMP0049 (For Decision)

The Panel were informed that the Authority has determined that [SECMP0049 'Section D Review: Amendments to the Modification Process'](#) should be returned to the Panel in order to resolve issues with the proposed legal text, and the Panel discussed the next steps for the modification.

The Panel:

- **AGREED** that SECMP0049 remains a Path 2: Authority Determined Modification Proposal;
- **AGREED** the revised legal text delivers the intention of the modification;
- **AGREED** the revised implementation approach; and
- **AGREED** to submit SECMP0049 directly to the Report Phase for a second Modification Report Consultation.

## 13. Modification Proposal - Initial Modification Report for SECMP0062 (For Decision)

SECAS presented the Panel with the Initial Modification Report for [SECMP0062 'Northbound Application Traffic Management – Alert Storm Protection'](#).

The Panel:

- **AGREED** that this modification should be submitted into the Refinement Process to be assessed by a Working Group;
- **AGREED** the Working Group Terms of Reference;
- **AGREED** the progression timetable; and
- **AGREED** that SECMP0062 should be progressed as a Path 3: Self-Governance Modification Proposal.

## 14. Modification Proposal - Initial Modification Report for SECMP0063 (For Decision)

SECAS presented the Panel with the Initial Modification Report for [SECMP0063 'Ensuring correct Network Operator Certificates are placed on Electricity Smart Meters'](#).

The Panel:

- **AGREED** that this modification should be submitted into the Refinement Process to be assessed by a Working Group;
- **AGREED** the Working Group's Terms of Reference;
- **AGREED** the progression timetable; and
- **AGREED** that SECMP0063 should be progressed as a Path 3: Self-Governance Modification Proposal.

## 15. Modification Proposal - Initial Modification Report for SECMP0064 (For Decision)

SECAS presented the Panel with the Initial Modification Report for [SECMP0064 'Seeking access to consumer premises for Alt HAN Activities'](#).

The Panel had concerns regarding the potential implications of this modification and requested SECAS to consider the legal text further, and present revised text to the Panel in November 2018.

The Panel:

- **AGREED** that SECMP0064 should be progressed as a Path 2: Authority Determined Modification Proposal.

#### 16. Modification Proposal - Initial Modification Report for SECMP0065 (For Decision)

SECAS presented the Panel with the Initial Modification Report for [SECMP0065 'SMETS1 Security Obligations'](#).

The Panel:

- **AGREED** that this modification be treated as an Urgent Modification Proposal;
- **AGREED** that this modification should be submitted to Modification Report Consultation;
- **AGREED** the progression timetable; and
- **AGREED** that SECMP0065 should be progressed as a Path 3: Self-Governance Modification Proposal.

#### 17. Change Sub-Committee Terms of Reference (For Decision)

Following the September 2018 Panel meeting, where the Panel requested SECAS to provide a draft Terms of Reference for a separate Change-Subcommittee, to inform its decision on whether to allocate the responsibilities of this Sub-Committee to an existing Sub-Committee instead of forming a new group, SECAS presented the Panel with a draft Change Sub-Committee Terms of Reference.

The Panel provided comments and requested that the Terms of Reference be updated to include the comments and be returned to the Panel for consideration in November 2018.

The Panel were in agreement that a separate Change Sub-Committee should be established, subject to approval of the updated Terms of Reference at the next Panel meeting.

### Standing Agenda Items

#### 18. BEIS Update (For Information)

BEIS provided the Panel with an update on the forthcoming consultations and key milestones.

The Panel **NOTED** the update.

#### 19. DCC Update (For Information)

The DCC presented the Panel with an update on the activities undertaken since the last Panel meeting.

The Panel **NOTED** the update.

#### **20. SEC Panel Sub-Committee Report (For Information)**

SECAS provided the Panel with an update on recent activities from all the SEC Panel Sub-Committees.

The Panel **NOTED** the update.

#### **21. DCC Reporting (For Information)**

The Panel were provided with the Certificate Signing Requests (CSR) Forecast Variance Report, the Post Commissioning Information Report and the Service Request (SR) Variance Report from the DCC as required by the SEC.

The Panel **NOTED** the reports.

#### **22. Operations Report – September 2018 (For Information)**

The Panel were presented with the Operations Report for September 2018. The report provided an outline of the activities undertaken by the SECAS team in support of the SEC.

The Panel **NOTED** the report.

#### **23. Smarter Markets Project Update (For Information)**

The Panel were provided with an update on the activities currently being undertaken to support the project.

The Panel deferred Ofgem's presentation on consequential changes to the SEC that will be required due to the design of the CSS system and interfaces until the November 2018 meeting.

The Panel **NOTED** the update.

#### **24. Transitional Governance Update (For Information)**

SECAS presented the Panel with an update from the transitional governance entities and other smart metering related meetings and workshops attended by SECAS in the last month.

The Panel **NOTED** the update.

#### **25. Any Other Business (AOB)**

There were no items of AOB and the Chair closed the meeting.