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Paper Reference:	**SECP_59_1008_21**
Action:	**For Decision**

Operations Report – July 2018

1. Purpose

The Operations Report is a monthly update based on SECAS activities in support of the SEC. It includes a breakdown of days by driver, product and grade and provides commentary related to the activities undertaken by the SECAS team in July 2018.

This paper also provides notice of a Party's intention to voluntarily cease to be a SEC Party which requires agreement by the Panel.

2. Recommendation

The Panel are requested to:

- **NOTE** the contents of this paper.
- **AGREE** that Boltland Energy Limited shall cease to be a SEC Party, effective as of 27th July 2018.

Hollie McGovern

SECAS Team

3rd August 2018

Attachments:

Appendix A – Testing Update SREPT (Amber)

Appendix B – Testing Update UEPT (Amber)

SEC
Smart Energy Code

Smart Energy Code

SECAS Operations Report

July 2018

1. Market Entry and Exit

This section provides an update on the progression of Users entering the market over the last month and those that have left. This month's update includes:

- Withdrawal from the SEC
- Events of Default
- Parties who have completed the User Entry Process; and
- Parties who have completed testing activities.

1.1 Withdrawal from the SEC

Boltland Energy Limited, a SEC Small Supplier Party, notified SECAS on 9th June 2018 that it had applied to have its Electricity Supply Licence revoked, and wished to withdraw from the SEC.

Under SEC Section M8.10, a Party that does not hold an Energy Licence may give notice to the Panel of its intention to voluntarily cease to be a Party to the SEC. The Panel shall, following receipt of such a notice, resolve that the Party shall cease to be a Party with effect from the time on the date notified.

Ofgem revoked this Licence on 27th July 2018. SECAS requests the Panel to resolve that Boltland Energy Limited shall cease to be a SEC Party as of 27th July 2018, in line with the License revocation.

1.2 Events of Default

The SEC Panel advised all SEC Parties on 25th July 2018 that Iresa Limited was a Defaulting Party in respect of SEC Sections M8.1(d) and M8.4 (d). Subsequently, Ofgem revoked Iresa Limited 's Gas Supplier and Electricity Supply Licenses on 31st July 2018.

A second Party also entered into an Event of Default in July 2018. The Panel will consider the two Events of Default under SECMP_59_1008_09.

1.3 User Entry Process (UEP) completion

In order to access DCC services, eligible Users must fulfil a list of requirements that are detailed in SEC Section H1: User Entry Process. Once the requirements have been fulfilled, a Party must send evidence of the completion of these activities to SECAS.

Since the last SEC Panel meeting, the following SEC Parties have completed the UEP:

SEC Party	Party Category	DCC User role(s)	Date of UEP completion
Good Energy Limited	Small Supplier	Import Supplier, Export Supplier	31/07/2018
Good Energy Gas Limited	Small Supplier	Gas Supplier	31/07/2018
ESB Energy Limited	Small Supplier	Import Supplier, Export Supplier, Gas Supplier	31/07/2018
Dual Energy Direct Limited	Small Supplier	Import Supplier, Export Supplier, Gas Supplier	13/07/2018

Table 2: Parties that completed UEP since the last SEC Panel meeting

1.4 Testing Update

SMKI and Repository Entry Process Testing (SREPT)

There have been five notifications of SREPT completion since the last SEC Panel meeting. The details are provided in Appendix A.

Completion of the User Entry Process Testing (UEPT)

Since the last SEC Panel meeting, two SEC Parties have successfully completed UEPT for a total of two User Roles. The details are provided in Appendix B.

1.5 Membership

Statistics for the number of accessions, Defaulting Parties, withdrawals and expulsions of SEC Parties over the last 12 months are detailed below:

	Aug -17	Sept-17	Oct-17	Nov -17	Dec -17	Jan-18	Feb-18	Mar-18	Apr-18	May -18	Jun -18	Jul-18
Accessions	7	8	6	3	3	1	3	0	15	2	6	1
In Default	0	0	0	0	0	0	0	0	1	1	1	2[1]
Withdrawals	0	0	0	1[2]	0	0	0	0	0	0	0	1[3]
Expulsions	0	0	0	0	0	0	1[4]	0	0	0	0	0

Table 3: SEC Party Statistics

At the end of the reporting month, there were 310 Parties to the SEC representing 243 Voting Groups, plus the DCC.

[1] One SEC Party entered Default in both May and June. This continued in July. A second Party entered Default in July.
[2] Wingas UK Limited voluntarily requested to be withdrawn.
[3] Boltland Energy Limited voluntarily withdrew from the SEC upon the revocation of their Electricity Supply Licence.
[4] This expulsion was due to the company Future Energy (Supply) Ltd ceasing trading.

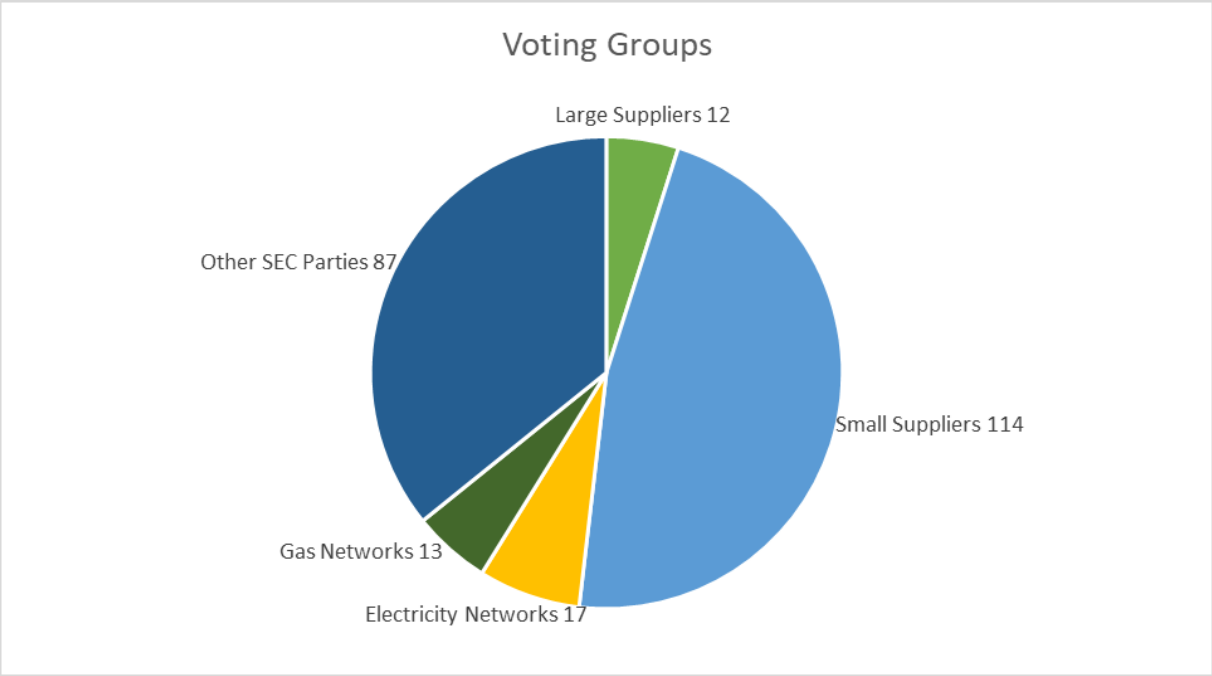

Figure 1: Breakdown of SEC Party Voting Groups

2. Monthly SECAS Highlights

2.1 Change and Release Update

- We have continued to progress open modifications through the process, with 21 modifications currently undergoing the Refinement Process.
- We continue to work towards the launch of a renewed Modification Process in November 2018, subject to the approval of the Section D Review modifications. The Change Board will vote on these modifications at its August meeting. As well as changes to the process itself, we are working to develop improvements to our surrounding services, including revamping our reports, updating our website and looking at how we run Working Group meetings. We will begin rolling out improvements over the coming months.
- We have been working with DCC to provide the information sought by the Panel with regards to the implementation costs for the June 2019 SEC Release and for other Modification Proposals currently in the process.
- Following the update at the last Panel meeting, we have also been working on developing changes needed to introduce the relevant powers into the SEC for the enduring releases process.

2.2 Technical Support Update

- Continuing to prepare the final amended version of the Business Architecture Document (BAD) and associated Business Architecture Model (BAM) changes for Release 2.0 in preparation for its publication in advance of Release 2.0 going live.
- Developing an approach for facilitating industry discussions on Technical Specification matters once the transitional Issue Resolution Process (IRP) ceases to run in mid-2019;
- Producing high-level plans for updating the BAD and TAD to capture the changes that the SMETS1 (enrolment and adoption) Service Release will introduce;
- Assessing the implications and required amendments to the newly re-named Central Products List (CPL) as part of the submission process to now include SMETS1 Device Models;
- Amending the GB Companion Specification (GBCS) as part of SECMP0025 'Electricity Network Party Access to Load Switching Information' solution design specification.
- Continuing to facilitate and support the Testing Advisory Group (TAG) reviews of the testing progress associated with Release 2.0 and SMETS1 Services, including:
    - Release 2.0 System Integration Testing (SIT) Completion to inform a recommendation to the SEC Panel; and
    - SMETS1 (enrolment and adoption) Services progress with the DCC Initial Operating Capability (IOC) Device Selection activities.
- Supporting the TAG and Operations Group with its considerations of the DCC Release 2.0 Live Service Criteria (LSC) as part of the R2.0 Go Live governance activities.
- Following the SEC Panel's decision to grant the DCC temporary revisions to the provisions of SEC Section H8.3 at SECP_57x_0407, the Operations Group are continuing to facilitate the activities necessary to inform industry of the maintenance schedule changes in light of the SEC Panel decision.

2.3 Security and Privacy Update

- We issued the Privacy Controls Framework (PCF) V2.0 for consultation on 3rd July 2018. The consultation closed on 23rd July, and SECAS, together with the User Independent Privacy Auditor (IPA) have updated the PCF to incorporate feedback from the consultation, which will be presented to the Panel at its August 2018 meeting.
- We are working to develop a guidance document to support SEC Parties in applying ISO29147[5] and ISO 30111[6] Standards to help satisfy the requirements on the Duty to Notify and Be Notified.
- We have developed an Incident and Vulnerabilities tracker in order to track vulnerabilities and resolutions submitted by Users, and provide regular reports to the SSC.
- We have updated the SSC Risk Register based on progress to date on risks recorded within the Register.
- We are continuing to support Users throughout the Security Assessment Process.

2.4 Party Support Update

- In July, the major activity for the Party Support team was facilitating the annual Panel Engagement Day which in addition to the morning updates had two “streams” for the afternoon workshop sessions – an ‘Introduction to the SEC’ and ‘Operating in the SEC’ and DCC for more experienced participants. Feedback from the event was very positive, with approximately 65% of attendees rating the event ‘excellent’ or ‘very good’ overall, and the remaining attendees rating it ‘good’.
- Alongside the afternoon session of the Engagement Day was a workshop session for the Panel on Events of Default, and continued development of documentation to support the Panel in decision making processes.
- The team has also dealt with two ongoing Events of Defaults in July.
- Work to review the SEC website now that it has been in place for six months has also commenced, and a survey for website users was included in the most recent SEC newsletter.
- SECAS continues to support Parties with general queries, as well as welcome emails and telephone contacts to newly acceded parties. There was one Accession in July and several parties have become eligible DCC Users by completing the User Entry Process.

[5] ISO Standard 29147 Vulnerability disclosure
[6] ISO Standard 20111 Information technology

3. SECAS Time Devoted by Service Area

The total number of resource days in July 2018 was **540.27.** The division of effort within the reporting month is shown at Driver level below:

	Driver	Days
Core	Panel and Board	56.73
	Security and Privacy	117.20
	Modifications and Release Management	162.17
	Party Support and Engagement	69.97
	Technical and Operational	114.07
Projects	Centralisation Projects/Smarter Markets	10.80
	Technical Effectiveness	0.00
	Annual Security Obligations	9.33
Grand Total		**540.27**

Table 4: Division of Effort by Driver (Days Worked)

4. Helpdesk Activities

The SECAS Helpdesk receives enquiries via email and telephone. There were approximately **80** telephone enquiries and **1452** email enquiries to the SECAS mailbox in July 2018.

The email enquiries being raised and resolved have been grouped into the following categories with the total over the last 12 months shown:

	Aug-17	Sept-17	Oct-17	Nov-17	Dec-17	Jan-18	Feb-18	Mar-18	Apr-18	May-18	Jun-18	Jul-18
Applications	113	62	72	56	32	29	38	44	41	23	43	6
Sub-Committees	116	195	237	439	116	737	481	223	228	208	108	242
Consultation Correspondence	2	0	12	4	14	15	5	6	0	0	13	7
Contact Info	48	69	62	110	46	25	60	41	34	81	75	46
General Queries	102	99	113	80	102	110	90	87	59	40	43	17
Panel & Board Correspondence	299	230	111	56	23	21	34	33	48	55	203	146
Modifications	178	169	182	200	145	249	389	500	415	369	376	627
User CIO Assessments	225	246	256	375	230	359	210	230	235	262	276	284
Party/RDP Signifiers	1	5	4	4	1	4	4	4	1	1	6	1
Training Days/Seminars	83	63	76	11	0	0	57	91	22	73	1	2
Other	265	11	124	245	225	178	135	126	128	93	184	74
Total	**1432**	**1149**	**1249**	**1580**	**934**	**1727**	**1503**	**1385**	**1211**	**1205**	**1328**	**1452**

Table 5: SECAS Helpdesk Emails

5. SEC Website Update and Statistics

Below are some key statistics relating to the use of the SEC Website:

	2017					2018						
	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul
Visits[7]	5,499	5,498	5,728	6,445	9,984	10,419	6,099	8,017	8,062	6,803	6,825	8,370
Page Views[8]	16,765	15,821	16,845	19,854	25,224	28,344	22,712	27,240	24,155	19,924	20,292	24,496

Table 6: SEC Website Statistics

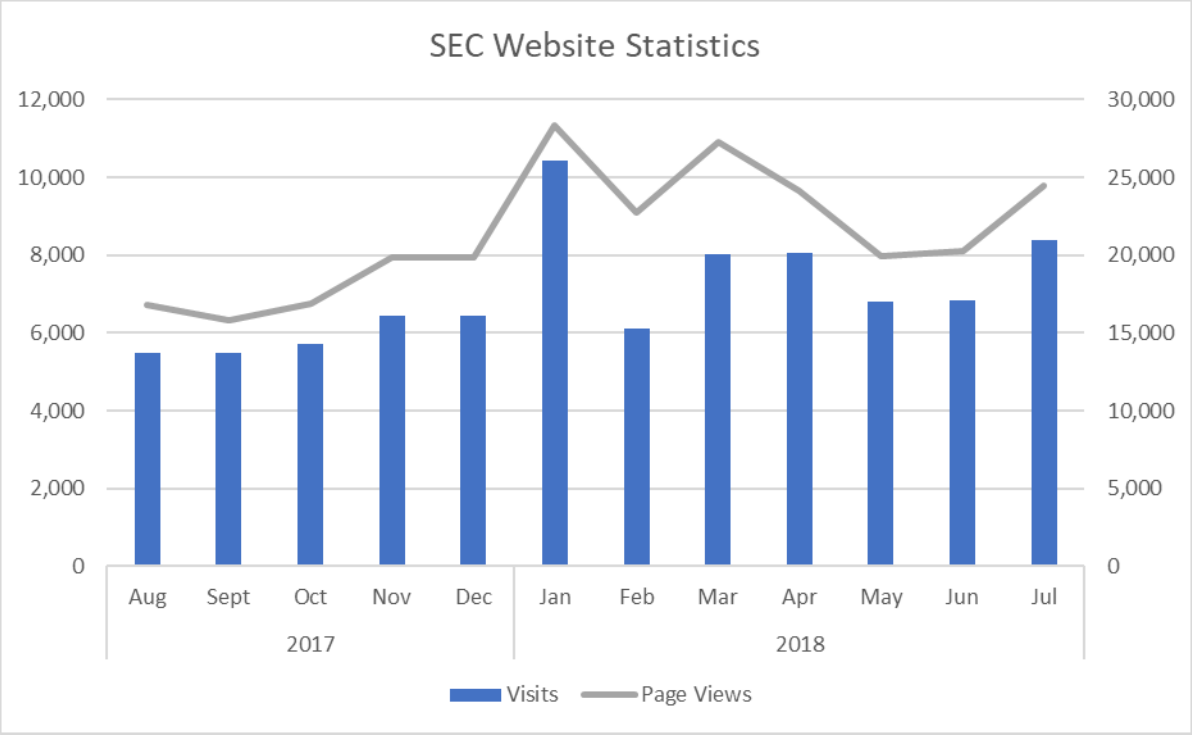

Figure 2: SEC Website Usage

[7] A visit is the period time a user is actively engaged with our website. All usage data is associated with a visit.
[8] Page Views is the total number of pages viewed. Repeated views of a single page are counted.

6. SEC Meeting Details

The table below provides a summary of all SEC meetings over the last month. It also provides a summary of meetings attended by SECAS personnel on behalf of the SEC Panel and its Sub-Committees:

Category	Meeting	Meeting Date(s)	Summary of Events
SEC Governance Meetings	SEC Panel	13/07/2018	The Panel's regular monthly meeting. Further information on the proceedings of this meeting is available in the public minutes on the **SEC Website.**
	SECCo Board	13/07/2018	The SECCo Board's regular monthly meeting. The proceedings and minutes of the SECCo Board are confidential.
	TABASC	19/07/2018	The TABASC's regular monthly meeting. The meeting headlines are available via the link below. **TABASC_32_1907 – Meeting Headlines**
	SEC Change Board • SECMP0019[9] • SECMP0044[10] • SECMP0048[11]	25/07/2018	The Change Board met in July 2018, where it voted to approve SECMP0019, SECMP0044 and SECMP0048.
	Working Group • SECMP0055[12] • SECMP0043[13] • SECMP0015[14] • SECMP0028[15] • SECMP0007[16] • SECMP0024[17]	02/07/2018 11/07/2018 17/07/2018 23/07/2018 24/07/2018	Four Working Group meetings took place in July 2018 to discuss the progression of SECMP0007, SECMP0015, SECMP0024, SECMP28, SECMP0043, and SECMP0055.

[9] SECMP0019 'ALCS/HCALCS Description Labels'
[10] SECMP0044 'User Security Assessment of a Shared Resource'
[11] SECMP0048 'Extension of SMETS gas Valve exemption to include U16 Large Gas Meters installed at Domestic Premises'
[12] SECMP0055 'Incorporation of multiple Issue Resolution Proposals into the SEC'
[13] SECMP0043 'Modification to Services Force Majeure Provisions'
[14] SECMP0015 'GPF timestamp for reading instantaneous Gas values'
[15] SECMP0028 'Prioritising Service Requests'
[16] SECMP0007 'Firmware updates to IHDs and PPMIDs'
[17] SECMP0024 'Enduring Approach to Communication Hub Firmware Management'

	Security Sub-Committee (SSC)	11/07/2018 25/07/2018	The SSC held two meetings in July 2018. The non-confidential meeting headlines can be found below. **SSC_58_1107 – Meeting Headlines** **SSC_59_2507 – Meeting Headlines**
	SMKI PMA	17/07/2018	The SMKI PMA continue to meet on a monthly basis. The meeting headlines are available via the link below. **SMKI PMA_48_1707 – Meeting Headlines**
	Operations Group (OPSG)	24/07/2018	The OPSG continue to meet on a monthly basis. The meeting headlines are available via the link below. **OPSG_10_2407 – Meeting Headlines**
	Testing Advisory Group (TAG)	25/07/2018	The TAG met once in July 2018. The minutes will be available in August 2018.
	Modifications Question Hour	09/07/2018	Each month SECAS host a teleconference, offering SEC Parties the opportunity to speak to a Modifications specialist.
BEIS	Implementation Managers Forum	26/07/2018	These transitional groups continue to meet on a regular monthly basis. Further information on all transitional meetings is available in the Transitional Governance Update paper, available as part of the monthly SEC Panel paper pack.
	Smart Metering Delivery Group	18/07/2018	
	Technical and Business Design Group	18/07/2018	
DCC	Test Design and Execution Group	10/07/2018	

Table 7: Meetings hosted and attended by SECAS