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Paper Reference:	**SECP_58_1307_20**
Action:	**For Information**

SEC Panel Sub-Committee Report

1. Purpose

This paper provides the Panel with an update on recent activities from the Panel Sub-Committees. It highlights the key issues discussed and details specific points the Sub-Committees would like to bring to the Panel's attention.

2. Operations Group

2.1 DCC reporting

The Operations Group (OPSG) considered and noted the following DCC reports at their meeting on 26th June 2018:

Report	Delivery per SEC	Content	Observations
Performance Measurement Report April 18	On Time (SEC H13.4 – Monthly 25 working days following end of month).	Per SEC 13.1. The report indicates all Code, DSP and CSP measures are all above Target where data is available.	Despite measures all above Target, Users were unable to use services due to Major Incident in CSPN during April.
DCC Responsible Communications Hub Returns Report	Not due this month.	n/a	n/a
DCC Network Enhancement Report (Network Enhancement Plans - NEP)	Not due this month.	n/a	n/a
Registration Data Provider (RDP) Incident Report (May 2018)	On Time (SEC Appendix AG 2.5.10 – Monthly - timing not specified).	14 Incidents reported in the period as resolved. One open Incident still awaiting resolution.	DCC undertaking another full Data Refresh from RDP's. DCC requested to provided statement of assurance to OPSG.
Certificate Signing Request (CSR) Variance Report – May 2018	On Time (SEC L8.9 – 10th Working day following month end).	Per SEC L8.9(a), 873,383 requests were sent versus a forecast of 553,814. This is a variance of 319,569. This equates to 157% of	Despite being outside tolerance, DCC has sufficient capacity to manage.

		the total forecast volume.	
Service Request (SR) Variance Reporting - April & May 2018	April report delayed. May on time. (SEC H3.24 – 10th working day of month).	April 2018: 94,715 requests were sent versus a forecast of 10,586,714. Equates to just 1% of the total forecast volume. May 2018: 208,851 Service Requests were sent, versus a forecast of 583,151. Equates to 36% of the total forecast volume.	None
Quarterly Problem Report	Not due this Month.	n/a	n/a

2.2 OPSG Meeting Highlights

DCC Planned Maintenance Schedule

The process by which DCC has undertaken its latest review of Planned Maintenance was discussed along with the content. Members were concerned that little time was being provided to comment on the latest proposals. Members questioned the need for such extensive maintenance outages, noting that there will be no immediate proof the changes made will improve resilience of the DCC service.

Traffic Management Proposals Managing Meter Alerts

DCC is proposing to introduce capacity thresholds to protect Services from being overloaded by either Service Requests or Alerts. Proposals include monitoring the system and advising Parties when thresholds may be breached. A number of concerns were raised by the Ops Group and DCC has committed to come back to the group in August 2018, with detailed proposals. DCC advised it will also be consulting with Parties.

SMETS2.0 Compliance

A Member raised an issued concerning differences between “capable” and “compliant” SMETS2 meters, drawing attention to the SEC and Supplier Licence Conditions and obligations for evidencing compliance. The Member is concerned that on Change of Supplier, non-compliant assets may be inherited by gaining suppliers, requiring updates/replacement. The Member was seeking views on the need for a consistent approach to the issue. Member’s initial views were that compliance is an individual matter for companies, but agreed to provide feedback via SECAS before the July Ops Group meeting.

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3. Security Sub Committee and SMKI PMA

3.1 Assurance Status Decisions

The SSC did not set any assurance statuses in June 2018.

3.2 Director Letters

In June the SSC approved two Director Letters that showed there were no non-compliances to prevent the Users in question beginning to use DCC Live Systems. Details can be found in confidential Appendix A.

3.3 Verification Assessments

As part of their wider obligations, the SSC review the outcomes of Verification Assessments. If the SSC believe that a User is non-compliant, or potentially non-compliant, with obligations contained in G3-G6 of the SEC, then they notify the Panel. During June the SSC reviewed two Verification Assessments. Details can be found in the confidential Appendix A.

3.4 SSC Highlights

User Security Assessment Cycles for Non-Domestics

In the month of June 2018, the SSC have been discussing the cycle of User Security Assessments for Non-Domestic Suppliers. The SSC considered work that had been done in the past to consider the risks associated with the supply of Non-Domestic electricity and gas. Advice was given and confirmed with regards to risks arising from load volumes. The SSC agreed that a SEC Modification should be raised relating to User Security Assessments for Non-Domestic Suppliers.

User Security Assessments of Shared Resources in Multiple User Roles

The SSC also considered the implications of SECMP0044 'User Security Assessment of a Shared Resource'. The SSC agreed that a Verification User Security Assessment (VUSA) is appropriate for the Shared Resource operating User Systems on behalf of Suppliers with 250,000 or fewer Domestic Premises and a Full User Security Assessment (FUSA) is appropriate for Other User roles but can take account of evidence assessed as part of the VUSA.

3.5 SMKI PMA Highlights

SMKI Recovery Options

DCC gave an update on the logistical planning for the DCC demonstration of SMKI Recovery Options. DCC is engaging with the Party that volunteered to undertake the exercise.

ARO Reports

An update from SECAS on SECMP0042 (ARO Reports) 'Amendment to SMKI Services to provide DCC Users and/or SMKI Participants with Authorised Responsible Officer (ARO) Statistics and Information' for which SMKI PMA agreed to act as the Working Group, while SECAS develops the consultation.

4. Technical Architecture and Business Architecture Sub-Committee (TABASC) and Testing Advisory Group (TAG)

4.1 TABASC Highlights

Risk Register

The TABASC confirmed and agreed updates and revisions to the TABASC Risk Register. These updates are as a result of the creation of new risks in relation to Dual Band (868Mhz) HAN

coverage and the circumvention of the DCC Systems and the SEC for changes due to the costs being too high and lead times too long. The TABASC noted the Panel acceptance of the escalated Issues and that it would be revisited by the Panel following the Section D review.

TABASC BAD and TAD

Following final updates to the Release 2.0 changes to the Business Architecture Model, which forms part of the Business Architecture Document, the TABASC agreed the changes for publication, following the completion of minor updates including some housekeeping changes unrelated to Release 2.0.

Effectiveness Review

The TABASC discussed the first set of responses to the Effectiveness Review Questionnaire and agreed that action on the comments received should wait until the questionnaire is re-issued in September 2018. The TABASC also agreed a number of revisions and enhancements to the questionnaire to ensure aid to the responses received.

DDC Updates

The TABASC received and discussed updates from DCC on improvements to the DCC Change Management System and Traffic Management approaches. Feedback was provided on both for DCC to consider.

4.2 TAG Highlights

Release 2.0 Testing and SMETS1 Services Testing updates

The Testing Advisory Group (TAG) are continuing to discuss the Release 2.0 and SMETS1 Services release testing progress, including the approach with testing environment feature switching in relation to the deployment of SMETS1 release code into the User Interface Testing (UIT) B environment alongside Release 2.0 code (albeit in an inactive 'switched off' state). Details of the approach for device selection for testing in Initial Operating Capability (IOC) was also discussed.

The TAG considered and made a recommendation to the Panel on the first of the Release 2.0 Incentive Scheme Milestones (Milestones 1A and 1B) in relation to Single Band UIT commencement and the associated availability of the UIT B environment since Single Band UIT commenced.

The TAG also discussed forthcoming governance activities associated with Release 2.0 and SMETS1 service release, as well as testing approaches for enduring releases, particularly the June 2019 Release to ensure the testing applied as appropriate and proportionate for the release.

5. Recommendations

The Panel is requested to **NOTE** the content of this paper.

Hollie McGovern

SECAS Team

6th July 2018

Attachments:
Appendix A – Security Assurance Status Updates (Red – Confidential).