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Paper Reference:	SECP_57_1506_10
Action:	For Information

Change Status Report – June 2018

1. Purpose

This document is the Change Status Report, which provides information on the progress of SEC Modifications and Releases.

DCC's progress report on Preliminary Assessments (PAs) and Impact Assessments (IAs) is included as Attachment A to this report.

Ofgem's proposed Code Administrator Code of Practice (CACoP) principle is included as Attachment B to this report.

2. Recommendations

The Panel:

- **AGREED** a one-month extension for SECMP0005, with the DMR to be presented to the Panel in August 2018;
- **AGREED** a two-month extension for SECMP0015, with the DMR to be presented to the Panel in September 2018
- **AGREED** a one-month extension for SECMP0018, with the DMR to be presented to the Panel in August 2018
- **AGREED** a one-month extension for SECMP0025, with the DMR to be presented to the Panel in July 2018; and
- **AGREED** to put SECMP0028 on hold for six months, with an update to be provided to the Panel by December 2018.

David Kemp

SECAS Team

15th June 2018



Change Status Report

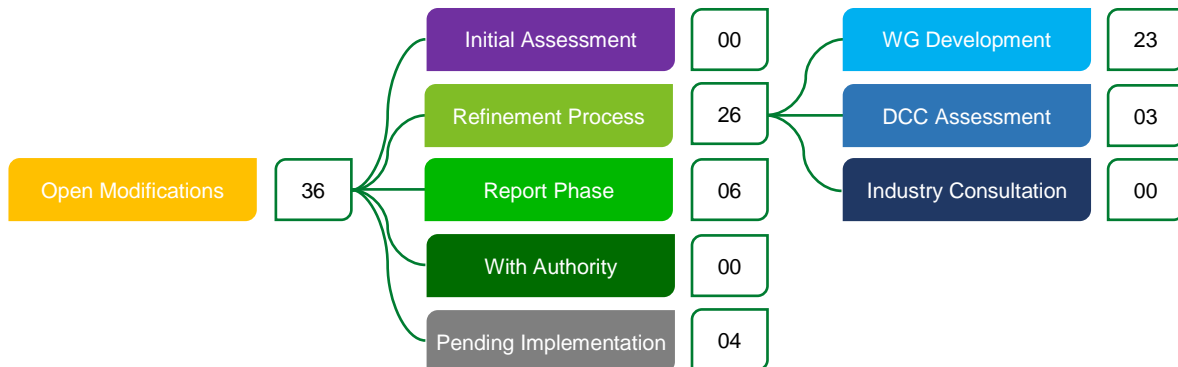
June 2018

For Information

1. Summary

This report provides a monthly update on the status and progress of Smart Energy Code (SEC) Modification Proposals and Releases, highlighting key information and decisions made.

More detailed information can be found in the [Modification Register](#).



New Modification Proposals

No new Modification Proposals were presented to the Panel this month.

Modification Reports

The Panel approved three Modification Reports this month:

- [SECMP0019 'ALCS Description Labels'](#)
- [SECMP0044 'User Security Assessment of a Shared Resource'](#)
- [SECMP0048 'Extension of SMETS gas Valve exemption to include Large Gas Meters installed at Domestic Premises'](#)

Decisions

[SECMP0023 'Correct Units of Measure for Uncontrolled Gas Flow Rate'](#) was **approved** by the Change Board under Self-Governance on 23rd May 2018.

[SECMP0002 'Add New Command to Reset Debt Registers'](#) was **rejected** by the Authority on 24th May 2018.

Withdrawn Modifications Proposals

[SECMP0054 'Changes to DCC Explicit Charges for Test Houses'](#) was withdrawn on 16th May 2018.

2. News and Updates

Section D Review update

Following completion of the Section D Review, three Modification Proposals were raised to progress the recommendations:

- [SECMP0049 'Section D Review: Amendments to the Modification Process'](#)
- [SECMP0050 'Section D Review: Moving the Working Group Terms of Reference to a separate document'](#)
- [SECMP0051 'Section D Review: Amendments to the Fast Track Modification process'](#)

We issued the Working Group Consultations for these modifications, with responses due by 14th June 2018. The Working Group will now meet on 25th June 2018 to consider these responses.

We remain on track to deliver the Modification Reports to the Panel in July 2018, and have a targeted implementation date of 1st November 2018 as part of the November 2018 SEC Release.

In parallel with this work, we are reviewing the services we provide for the SEC Change processes, including modifications and releases. We are seeking feedback from the industry to help us to deliver the best possible service.

Designations update

We have designated four versions of the SEC in recent weeks.

SEC version 5.15 was designated on 25th May 2018 to implement [SECMP0045 'Incorporation of the requirements of the General Data Protection Regulations'](#).

SEC version 5.16 was designated on 31st May 2018 to incorporate:

- [Changes related to the provision of Communication Hubs;](#)
- [Changes to permit DCC to develop and use a Production Proving capability;](#) and
- [Changes related to the removal of the non-domestic opt-out.](#)

These changes were made following publication by BEIS of its consultation conclusions on 27th March 2018 and completion of the associated parliamentary process where the documents are laid in parliament for 40 days.

SEC version 5.17 was designated on 5th June 2018. This follows consultation by BEIS on incorporating Great Britain Companion Specification (GBCS) versions 2.1 and 3.1 into the SEC and re-designating DCC User Interface Specification (DUIS) version 2.0 and the TS Applicability Tables. This designation also re-designated GBCS version 3.0 to explain it has been superseded by version 3.1. BEIS made these changes to address some issues that came to light affecting GBCS version 2.0, and consequentially GBCS version 3.0 and DUIS version 2.0.

SEC version 5.18 was designated on 8th June 2018. This follows consultation by BEIS on the respective changes, and incorporates:

- [Further changes to permit DCC to develop and use a Production Proving capability;](#) and

- [Updated versions of the Enduring Test Approach Document \(ETAD\) and the Common Test Scenarios Document \(CTSD\) for Release 2.0.](#)

New CACoP principle to facilitate innovation

As part of its Innovation Link programme (also referred to as ‘sandbox’), Ofgem is introducing a new principal into the Code Administrator Code of Practice (CACoP), aimed at aiding innovation.

Ofgem intends the Innovation Link programme to be a ‘one stop shop’ offering support on energy regulation to businesses looking to introduce innovative or significantly different propositions to the energy sector. The intention is for Ofgem to maintain responsibility for receiving enquiries from innovators, assessing them against criteria and understanding their proposal as well as coordinating and managing throughout. However, to facilitate this, it wishes to use cross-code processes to provide support where needed. Ofgem notes that many innovators’ needs extend beyond its regulation and into the realm of industry codes. Therefore, it considers that a joined-up approach is needed to enable and deliver industry innovation.

Ofgem aims to offer a regulatory ‘sandbox’ as part of its Innovation Link programme, which allows temporary derogations from licences to allow innovators to test a new product or service. ELEXON is currently modifying the Balancing and Settlement Code (BSC) to allow for this approach¹, and Ofgem would like to extend this across all relevant codes.

To begin facilitating the above, this proposed new CACoP principle seeks to standardise a process across codes and encourage Code Administrators to:

- engage with industry participants who have queries about regulation, innovation and business models whilst looking at supplier hub work; and
- offer a sandbox for the innovation regulatory space to allow for model-based industry-wide testing.

Ofgem seeks the views of each Code Panel on its proposed new principle (Attachment B).

¹ [P362 'Introducing BSC arrangements to facilitate an electricity market sandbox'](#)

3. Modifications Headlines

Timetable and progression updates

SECMP0005

The Draft Modification Report (DMR) for [SECMP0005 'Include Tariff and Register Labels in SMETS Devices'](#) was due to be presented to the Panel in July 2018.

The Impact Assessment was received at the beginning of May 2018, which included an implementation cost of approximately £4.1m. In light of this, we engaged with the Proposer to see if they wanted to continue; they have confirmed they wish to proceed. The Working Group will now need to meet to discuss the response and agree members' views on the benefits of the modification, before issuing its Working Group Consultation. We do not anticipate the Working Group needing to meet following the consultation.

We requested a one-month extension to allow for these activities to take place, with the DMR to be presented to the Panel in August 2018.

SECMP0005 proposed timetable	
Activity	Date
Working Group meeting	Jun 18
Working Group Consultation	Jul 18
Draft Modification Report presented to Panel	10 Aug 18

The Panel:

- **AGREED** a one-month extension for SECMP0005, with the DMR to be presented to the Panel in August 2018.

SECMP0015

The DMR for [SECMP0015 'GPF timestamp for reading instantaneous Gas values'](#) was due to be presented to the Panel in July 2018.

We received the Impact Assessment from DCC in early June 2018. The Working Group will now need to meet to discuss the response and agree members' views on the benefits of the modification, before issuing its Working Group Consultation. We do not anticipate the Working Group needing to meet following the consultation.

We requested a two-month extension to allow for these activities to take place, with the DMR to be presented to the Panel in September 2018.

SECMP0015 proposed timetable	
Activity	Date
Impact Assessment	May 17 – Jun 18
Working Group meeting	Jul 18
Working Group Consultation	Aug 18
Draft Modification Report presented to Panel	14 Sep 18

The Panel:

- **AGREED** a two-month extension for SECMP0015, with the DMR to be presented to the Panel in September 2018.

SECMP0018

The DMR for [SECMP0018 'Standard Electricity Distributor Configuration Settings'](#) was due to be presented to the Panel in July 2018.

The Proposer had elected to review the proposed solution with other Electricity Network Parties following feedback from SECAS. We have received confirmation from the Proposer of the solution that they wish to progress under this modification, which is unchanged from that originally put forward except to remove elements that had been previously discussed and withdrawn under [SECMP0003 'Deficiencies in the Service Request for setting Maximum Demand Configurable Time'](#), simplifying the solution.

We are preparing the Working Group Consultation documents, and expect this to be issued on 18th June 2018. We do not anticipate the Working Group needing to meet following the consultation.

We are requesting a one-month extension to allow time for this to take place, recognising the current industry workload, with the DMR to be presented to the Panel by August 2018. However, if we can present this to the Panel in July 2018, even as a late paper, we will do so.

SECMP0018 proposed timetable	
Activity	Date
Working Group Consultation	Jun/Jul 18
Draft Modification Report presented to Panel	10 Aug 18

The Panel:

- **AGREED** a one-month extension for SECMP0018, with the DMR to be presented to the Panel in August 2018.

SECMP0025

The DMR for [SECMP0025 'Electricity Network Party Access to Load Switching Information'](#) was due to be presented to the Panel in June 2018.

The Working Group met in May 2018 to discuss comments received in the Working Group Consultation that the benefits case for SECMP0025 had not been clearly made. The Working Group reviewed its benefits case and agreed that further work to enhance this will be required before it can present the Modification Report to the Panel. We are working with Electricity Network Parties, who will be the primary beneficiaries of SECMP0025, to help build the benefits case.

We requested a one-month extension to allow for these activities to take place, with the DMR to be presented to the Panel in July 2018.

The Panel:

- **AGREED** a one-month extension for SECMP0025, with the DMR to be presented to the Panel in July 2018.

SECMP0028

The DMR for [SECMP0028 'Prioritising Service Requests'](#) was due to be presented to the Panel in July 2018.

DCC has been carrying out work on how it manages traffic through the DCC Systems, which may deliver what the Proposer is seeking from SECMP0028. The Proposer has therefore agreed to put SECMP0028 on hold while DCC's project progresses, after which they will take a view on whether they wish to proceed with the modification or to withdraw it.

We requested that the Panel agrees to put SECMP0028 on hold for a period of six months to allow DCC's Traffic Management project to progress and for the Proposer to determine whether this will deliver what they are seeking. We will provide an update to the Panel no later than December 2018.

SECMP0028 proposed timetable	
Activity	Date
Update provided to Panel	14 Dec 18
Draft Modification Report presented to Panel	Jan 19 Panel meeting

The Panel:

- **AGREED** to put SECMP0028 on hold for six months, with an update to be provided to the Panel by December 2018.

Change Board votes

The Change Board considered two modifications at its meeting on 23rd May 2018.

SECMP0023

The Change Board unanimously determined that [SECMP0023 'Correct Units of Measure for Uncontrolled Gas Flow Rate'](#) be **approved** under Self-Governance.

SECMP0023 was subject to a 10 Working Day period for Parties to refer the decision to the Panel. No referrals were received, and so the Change Board's decision is final.

SECMP0047

The Change Board unanimously agreed to send [SECMP0047 'Default Provisions for Other SEC Parties'](#) back to the Panel to provide further clarification within the legal text.

Full details of the Change Board's discussions and proposed areas for further development can be found in SEC Panel Paper **SECP_57_1506_11**.

Authority Decisions

SECMP0002

On 24th May 2018 the Authority **rejected** [SECMP0002 'Add New Command to Reset Debt Registers'](#).

The Authority Decision letter has been published on the [SECMP0002](#) page of our website.

Withdrawn Modifications

SECMP0054

[SECMP0054 'Changes to DCC Explicit Charges for Test Houses'](#) was withdrawn by the Proposer on 16th May 2018. In withdrawing the modification, the Proposer noted concerns raised by the Panel that the modification in its current format would, if approved, create a precedent that would be viewed as negative by the wider industry, and how the running costs of the Test Houses would be funded if not through the DCC Explicit Charges.

No party elected to adopt the modification within the 10 Working Day adoption period, and so this modification is now closed.

Forthcoming events

Working Group meetings

- [SECMP0005](#): The next Working Group meeting will be held on **19th June 2018**.
- [SECMP0039](#): The next Working Group meeting will be held on **19th June 2018**.
- [SECMP0049](#), [SECMP0050](#) & [SECMP0051](#): The next joint Working Group meeting will be held on **25th June 2018**.

Consultations

- [SECMP0018](#): The Working Group Consultation is expected to be issued on **18th June 2018** and will close on **6th July 2018**.
- [SECMP0019](#), [SECMP0044](#) & [SECMP0048](#): The Modification Report Consultations are expected to be issued in the **week beginning 18th June 2018**, and will be open for 15 Working Days.
- [SECMP0041](#): The Working Group Consultation is expected to be issued in the **week beginning 2nd July 2018** and will be open for 15 Working Days.

4. Releases Headlines

Implementation of SECMP0045

[SECMP0045 'Incorporation of the requirements of the General Data Protection Regulations'](#) was implemented on **25th May 2018**.

Scope of releases

The tables below summarise the current scope of future SEC releases which have targeted and/or approved Modification Proposals. Please note that Modification Proposals will only be added to these tables once the relevant Working Group has agreed a target date for implementation.

2018 SEC Releases			
Release	Date	Modification	Status
Ad-hoc Release	22 Aug 18 ²	0044	Targeted
Release 2.0	30 Sep 18	0006	Approved
		0008	Approved
November Release	31 Oct 18 01 Nov 18	0047	Targeted
		0009	Targeted
		0019	Targeted
		0027	Targeted
		0034	Approved
		0041	Targeted
		0048	Targeted
		0049	Targeted
		0050	Targeted
		0051	Targeted
		0052	Targeted

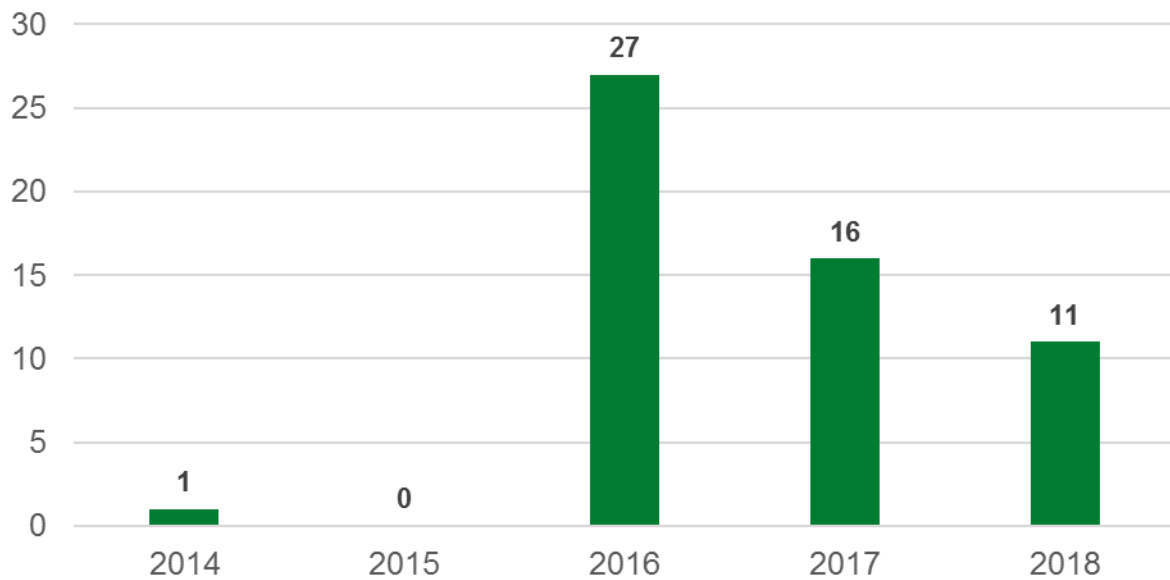
2019 SEC Releases			
Release	Date	Modification	Status
June Release	27 Jun 19	0018	Targeted
		0023	Approved
November Release	07 Nov 19	0005	Targeted
		0015	Targeted
		0025	Targeted

² SECMP0044 is recommended for implementation 10 Working Days after the Self-Governance appeal window closes. Based on the current timetable, this would mean SECMP0044 would be implemented on or around 22nd August 2018, if approved.

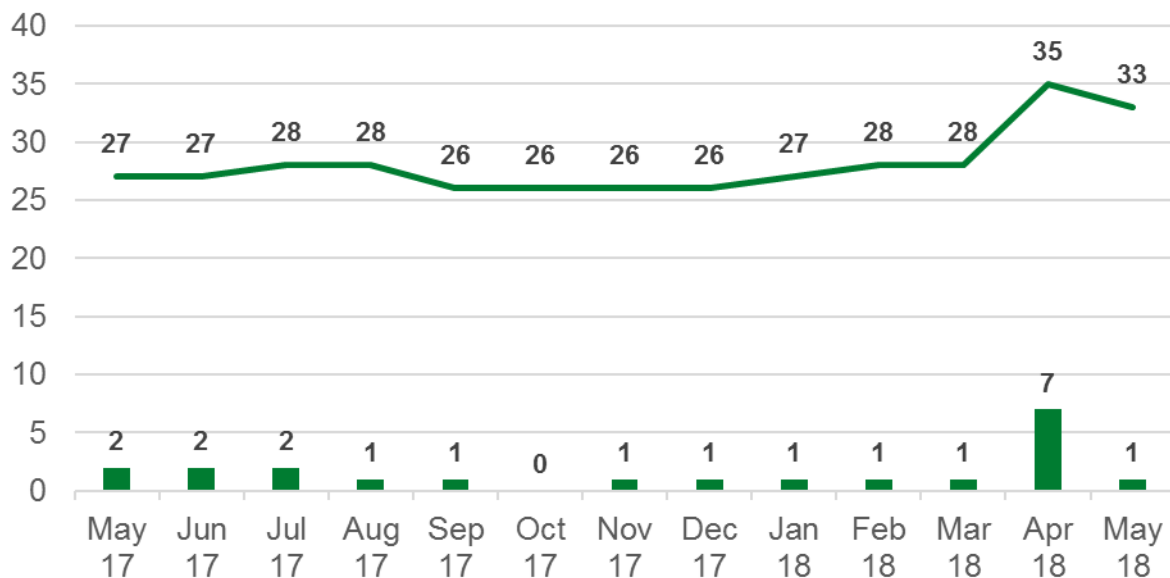
5. Statistics

Modification Proposals

Modification Proposals raised by calendar year (up to May 18)



Modification Proposals raised and open (pre-decision) by month



Timescales

The timescales below are correct as at the end of May 2018.

DCC Assessment timescales

Preliminary Assessments		
Area	Number	Average duration
Preliminary Assessments open	3	156 WD
Preliminary Assessments completed during 2018/19	2	89 WD

Impact Assessments		
Area	Number	Average duration
Impact Assessments open	1	281 WD
Impact Assessments completed during 2018/19	1	290 WD

Modification progression timescales

Modification timescales		
Area	Number	Average duration
Modifications open	33	285 WD
Modifications closed during 2018/19	3	357 WD

Progression timelines

The diagrams on the following pages illustrate the progression timelines for each modification currently in the Refinement Process. The yellow line denotes the current point in time.

The 'Timeline' bar denotes the current duration of the Refinement Process for each modification, with the original timetable shown in purple and each subsequent extension shown in alternating shades of blue. The end of this bar denotes the current month when the Modification Report is scheduled to be returned to the Panel. Any extensions requested in this report are shown in orange.

The 'PA/IA' bar denotes the months when each modification has been with DCC for a DCC Assessment and the anticipated duration remaining for any active DCC Assessments.

Mod	Title	2016				2017				2018				2019			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
SECMPO005	Include Tariff and Register Labels in SMETS2 Devices	Timeline PA/IA															
SECMPO007	Firmware updates to mandated HAN devices	Timeline PA/IA															
SECMPO009	Centralised Firmware Library	Timeline PA/IA															
SECMPO010	Introduction of triage arrangements for Communication Hubs	Timeline PA/IA															
SECMPO012	Channel selection to support Shared HAN solutions	Timeline PA/IA															
SECMPO013	Smart meter device diagnostics and triage	Timeline PA/IA															
SECMPO015	Add Timestamp to Read Instantaneous Prepay Values response message	Timeline PA/IA															
SECMPO018	Standard Electricity Distributor Configuration Settings	Timeline PA/IA															
SECMPO024	Enduring Approach to Communication Hub Firmware Management	Timeline PA/IA															
SECMPO025	Electricity Network Party Access to Load Switching Information	Timeline PA/IA															
SECMPO028	Prioritising Service Requests	Timeline PA/IA															
SECMPO031	Adding UTRN Functionality to SMETS	Timeline PA/IA															
SECMPO032	Prioritising Prepayment Customers in No WAN Situations	Timeline PA/IA															
SECMPO037	Pairing Local PPMIDs	Timeline PA/IA															
SECMPO038	Sending Commands via PPMIDs	Timeline PA/IA															

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Mod	Title	2016				2017				2018				2019			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
SECMP0039	Communication Hub returns notification mechanism for Other SEC Parties																
SECMP0041	Amending the Change Board decision making rules for Modification Proposals																
SECMP0042	Amendments to provide DCC Users/SMK1 Participants with ARO Stats and Information																
SECMP0043	Modification to Services Force Majeure Provisions																
SECMP0046	Allow DNOs to control Electric Vehicle chargers connected to Smart Meter infrastructure																
SECMP0049	Section D Review: Amendments to the Modification Process																
SECMP0050	Section D Review: Moving the Working Group Terms of Reference to a separate document																
SECMP0051	Section D Review: Amendments to the Fast Track Modification process																
SECMP0053	Amend Target Response Times for Service Requests Critical to Installation and Commissioning Processes																
SECMP0055	Incorporation of multiple Issue Resolution Proposals into the SEC																

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