

Dated: 23 September 2013

Smart Energy Code

Introduction

- (A) This Code has been designated by the Secretary of State pursuant to the DCC Licence, and is subject to modification in accordance with the Secretary of State's statutory powers and the DCC Licence.
- (B) The Parties comprise the DCC, Users (or prospective Users) of DCC's Services, and persons holding certain Energy Licences that are obliged by those licences to accede to this Code (some of whom are Users of DCC's Services).
- (C) The Original Parties have agreed to give effect to, and to be bound by, this Code in accordance with the Framework Agreement.
- (D) The other Parties have agreed to give effect to, and to be bound by, this Code in accordance with an Accession Agreement.
- (E) SECCo is a company established to facilitate the operation of this Code. SECCo is not a Party (as defined), and only has rights and obligations under this Code where specified.

Version Control

Version Number	Implementation Date	Reason for Change	Overview of Modifications incorporated
1.0	23 September 2013	Designation by The Secretary of State pursuant to section 88(1) of the Energy Act 2008	First Publication
2.0	31 March 2014	Modifications to SEC version 1.0 as directed by the Secretary of State pursuant to section 88(1) of the Energy Act 2008	Communications Hub Financing Provisions
2.1	6 April 2014	Modifications to SEC version 2.0 as directed by the Secretary of State pursuant to section 88(1) of the Energy Act 2008	SEC2 amendments to sections of SEC already in force
2.2	27 May 2014	Modification to SEC version 2.1 as directed by the SEC Panel pursuant to SECMP 0001	Updating the SEC to reflect abolition of the National Consumer Council
3.0	31 July 2014	Modifications to SEC version 2.2 as directed by the Secretary of State pursuant to section 88(1) of the Energy Act 2008	Smart Metering System Requirements, Testing Service, Smart Metering Key Infrastructure and Appendices, Testing During Transition and SEC3 amendments to sections already in force
4.0	14 January 2015	Modifications to SEC version 3.0 as directed by the Secretary of State pursuant to Section 88(1) of the Energy Act 2008	Security Governance, Assurance and Privacy, Communications Hubs, Enrolment and Adoption of SMETS1 meters and SEC4.0 amendments to sections already in force
4.1	26 January 2015	Modifications to SEC version 4.0 as directed by the Secretary of State in accordance with SEC Section X3.1, SEC Section X5.4 and Condition 22 of the DCC Licence	Activation of Section H15, designation of the DCC Gateway Connection Code of Connection as a Subsidiary Document and consequential changes to sections already in force
4.2	18 March 2015	Modifications to SEC version 4.1 as directed by the Secretary of State pursuant to Section 88(1) of the Energy Act 2008	Party and RDP Signifiers, Party, User and RDP Identifiers and SEC4.2 amendments to sections already in force
4.3	1 July 2015	Modifications to SEC version 4.2 as directed by the Secretary of State	SEC Schedule 7 providing a Specimen Enabling Agreement, DCC Services and

		pursuant to Section 88(1) of the Energy Act 2008	SEC4.3 amendments to sections already in force
4.4	14 September 2015	Modifications to SEC version 4.3 as directed by the Secretary of State in accordance with SEC Section X5.4 and Condition 22 of the DCC Licence	Designation of the CH Handover Support Materials as a Subsidiary Document and consequential changes to sections already in force.
4.5	28 September 2015	Modifications to SEC version 4.4 as directed by the Secretary of State in accordance with SEC Section X5.4 and Condition 22 of the DCC Licence	Designation of the Enduring Testing Approach Document as a Subsidiary Document and consequential changes to sections already in force.
4.6	21 October 2015	Modifications to SEC version 4.5 as directed by the Secretary of State in accordance with SEC Section X5.4 and Condition 22 of the DCC Licence	Designation of the SMKI and Repository Test Scenarios Document and Subsidiary Document and consequential changes to sections already in force.
4.7	9 February 2016	Modifications to SEC version 4.6 as directed by the Secretary of State in accordance with SEC Section X5.4 and Condition 22 of the DCC Licence	Designation of the SMKI Registration Authority Policies and Procedure (SMKI RAPP) and SMKI Recovery Procedure and consequential changes to sections already in force.
4.8	10 February 2016	Modifications to SEC version 4.7 as directed by the Secretary of State pursuant to Section 88(1) of the Energy Act 2008 Modifications to SEC version 4.6 as directed by the Secretary of State in accordance with SEC Section X5.4 and Condition 22 of the DCC Licence	Designation of further Communications Hub provisions. Designation of SMKI and DCC Key Infrastructure provisions. Designation of the CH Installation and Maintenance Support Materials (CHIMSM) and consequential changes to sections already in force.
4.9	9 March 2016	Modifications to SEC version 4.8 as directed by the Secretary of State in accordance with SEC Section X5.4 and Condition 22 of the DCC Licence	Designation of SMKI Interface Design Specification, SMKI Code of Connection, SMKI Repository Interface Design Specification, SMKI Repository Code of Connection, IKI Certificate Policy and the Common Test Scenarios Document. Re-Designation of the DCC Gateway Connection Code of Connection and consequential changes to sections already in force.
4.10	18 April 2016	Modifications to SEC version 4.9 as directed by the Secretary of State in accordance with SEC Section X6	Designation of provisions for Interim Device and User Testing (Section X9).
		Modifications to SEC version 4.10 as	Activation of Sections H1, H2 and H3.22

4.11	6 June 2016	directed by the Secretary of State pursuant to SEC Section X3.1, X5 and Condition 22.27 of the DCC Licence	Designation of the DCCKI Certificate Policy and DCCKI Registration Authority Policies and Procedures
4.12	15 June 2016	Modifications to SEC version 4.11 as directed by the Secretary of State pursuant to Section 88(1) of the Energy Act 2008	Amendments to sections of SEC already in force
4.13	6 July 2016	Modifications to SEC version 4.12 as directed by the Secretary of State pursuant to SEC Section X3.1, X5, X6 and Condition 22 of the DCC Licence	Designation of Registration Data Interface Specification and Registration Data Interface Code of Connection. Re-designation of the SMKI Registration Authority Policies and Procedures, and the IKI Certificate Policy.
4.14	13 July 2016	Modifications to SEC version 4.13 as directed by the Secretary of State pursuant to Section 88 (1) and 89 of the Energy Act 2008, and pursuant to SEC Sections X3.1, X5, and X6	Designation of SEC Section Z - Alt HAN Arrangements. Designation of Appendix T -DCCKI Interface Design Specification, Appendix U-DCCKI Repository Interface Design Specification, and Appendix V - DCCKI CoCo and DCCKI Repository CoCo. SEC Appendices A, B and C deleted and re-designated. Amendments to SEC sections already in force.
4.15	18 August 2016	Modifications to SEC version 4.14 as directed by the Secretary of State pursuant to Section 88 (1) and 89 of the Energy Act 2008, and pursuant to SEC Appendix J. Modification to SEC version 4.14 as directed by the SEC Panel pursuant to SECMP0017 with effect 17 August 2016.	Re-designation of an updated version of SEC Appendix J – Enduring Testing Approach Document. Amendments to SEC Section D6.3 to D6.4.
4.16	20 October 2016	Modification to SEC version 4.15 as directed by the Secretary of State pursuant to Section X5 and Condition 22 of the DCC Licence.	Re-designation of SEC Appendix R: Common Test Scenarios Document.
4.17	27 October 2016	Modification to SEC version 4.16 as directed by the Secretary of State	Designation of SEC Appendix Z: CPL Requirement Document.

		pursuant to Section 88 (1) and 89 of the Energy Act 2008, and pursuant to SEC Appendix Z.	Activation of SEC Section F2.
5.0	8 November 2016	<p>Modification to SEC version 4.17 as directed by the Secretary of State pursuant to Section 88 (1) and 89 of the Energy Act 2008.</p> <p>Activation of multiple SEC Sections, re-designation, and incorporation of new Subsidiary Documents necessary for DCC Live.</p>	<p>Activation of SEC Sections:</p> <ul style="list-style-type: none"> • F3 (Panel Dispute Resolution Role) • F4.9 (Power Outage Alerts) • H3 (DCC User Interface), but excluding H3.27 • H4 (Processing Service Requests) • H5 (Smart Metering Inventory & Enrolment Services) • H6 (Decommissioning, Withdrawal & Suspension of Devices) • H8 (Service Management, SSI & Service Desk); • H9 (Incident Management Policy) • H10.9-H10.13 (Business Continuity) • H11 (Parse and Correlate) • H14.36 (DCC Internal System Change Testing) <p>Designation of new SEC Schedules:</p> <ul style="list-style-type: none"> • Schedule 8 GB Companion Specification • Schedule 9 Smart Metering Equipment Technical Specifications • Schedule 10 Communications Hub Technical Specifications <p>Designation of new SEC Appendices</p> <ul style="list-style-type: none"> • Appendix AA - Threshold Anomaly Detection Procedures • Appendix AB - Service Request Processing Document • Appendix AC - Inventory, Enrolment and Withdrawal Procedures • Appendix AD - DCC User Interface Specification • Appendix AE - DCC User Interface Code of Connection • Appendix AF - Message Mapping Catalogue • Appendix AG - Incident Management Policy • Appendix AH - Self-Service

			<p>Interface Design Specification</p> <ul style="list-style-type: none"> Appendix AI - Self-Service Interface Code of Connection <p>Re-designation of SEC Appendices:</p> <ul style="list-style-type: none"> Appendix E - DCC User Interface Services Schedule Appendix H - CH Handover Support Materials; Appendix I - CH Installation and Maintenance Support Materials; Appendix L - SMKI Recovery Procedure; Appendix O - SMKI Repository Interface Design Specification; Appendix G - DCC Gateway Connection Code of Connection; Appendix K - SMKI and Repository Test Scenarios Document; Appendix M - SMKI Interface Design Specification; Appendix N - SMKI Code of Connection
5.1	14 December 2016	Implementation of two Modifications Proposals approved via Change Board vote on 23 November 2016.	<p>Implementation of Modification Proposals:</p> <ul style="list-style-type: none"> Amendment to Section L due to SECMP0022 “Expanding SMKI PMA membership and removing Alternate restrictions” Amendments to Schedule 5 due to SECMP0020 “Removal of the confidential classification of the unique identifiers listed in SEC Schedule 5”
5.2	21 December 2016	Modification to SEC version 5.1 as directed by the Secretary of State pursuant to Section 88 (1) and 89 of the Energy Act 2008.	Redesignation of Appendix AC – Inventory, Enrolment and Withdrawal Procedures
5.3	26 January 2017	Modification to SEC version 5.2 as directed by the Secretary of State pursuant to section 88(1) of the Energy Act 2008.	Amendments to Section N: SMETS1 Meters, including the designation of a new Section N4A

5.4	9 February 2017	<p>Modifications to SEC version 5.3 as directed by the Secretary of State pursuant to section 89 of the Energy Act 2008.</p> <p>Modifications as directed by the Secretary of State pursuant to SEC Section X5.</p>	<p>Amendments in relation to testing including: testing required to implement changes to the SEC; Enduring Registration Data Provider Entry Process Testing; changes to the Enduring Testing Approach Document (ETAD); provision of variant Communications Hubs for testing.</p> <p>Other Changes include amendments to the Ofgem Significant Code Review process; Privacy requirements; making certain transitional variations enduring; the definition of RDP Systems; changes to accommodate multiple versions of Technical Specifications and multiple versions of DUIS and other minor miscellaneous changes.</p> <p>The Secretary of State direction designates Schedule 11 and Smart Metering Equipment Technical Specifications Version 1.2.</p>
5.5	15 March 2017	Implementation of a Modification Proposal approved via Change Board vote on 22 February 2017.	Amendment to Section G due to implementation of SECMP0026 “Changes to the Security Sub-Committee Nomination Process”.
5.6	1 April 2017	Implementation of a Modification Proposal approved by the Authority on 17 January 2017.	Amendments to Sections A and J due to implementation of SECMP0016 “Consideration of “maximum credit value” in credit cover calculation”.
5.7	11 May 2017	Implementation of a Modification Proposal approved via Change Board vote on the 19 April 2017.	Amendments to Section A and Appendix H due to implementation of SECMP0033 “CH Handover Support Materials”.
5.8	13 July 2017	Implementation of a Modification Proposal approved via Change Board vote on the 21 June 2017.	Amendments to Appendix B and Appendix S due to implementation of SECMP0035 “Updates to SEC Appendix B – Organisation ARL expiration date to be aligned to DCC KI ARL”.
5.9	21 July 2017	Modifications to SEC version 5.8 as directed by the Secretary of State pursuant to section 89 of the Energy Act 2008.	Re-designation of Appendix AC – Inventory Enrolment and Withdrawal Procedures (version 1.2); and Appendix AD – DCC User Interface Specification (version 1.1).
5.10	14 September	Implementation of a Modification	Amendments to Section H due to

	2017	Proposal approved via the Change Board on the 23 August 2017.	implementation of SECMP0036 “Single User ID for Users acting in one or more User Roles”.
5.11	6 November 2017	Modifications to SEC version 5.10 as directed by the Secretary of State pursuant to Section 88(1) of the Energy Act 2008	Amendments to Appendix AH, Schedule 8 and Schedule 11 as directed by the Secretary of State.
5.12	22 November 2017	Implementation of a Modification Proposal approved via the Change Board on 25 October 2017.	Amendments to Section G due to implementation of SECMP0040 “Changes to how DCC Users schedule and carry out User Security Assessments after completion of the User Entry Process”.
5.13	1 February 2018	Modifications to SEC version 5.12 as directed by the Secretary of State pursuant to section 89 of the Energy Act 2008.	<p>Amendments to Appendix H, Appendix I, Schedule 9 – SMETS1 v1.2, Schedule 9 – SMETS2 v2.0 and Schedule 11 – TS Applicability Tables.</p> <p>Designation of new SEC Schedules and Appendices to sit alongside existing versions:</p> <ul style="list-style-type: none"> • Schedule 8 – GBCS v2.0 • Schedule 8 – GBCS v3.0 • Schedule 9 – SMETS2 v3.0 • Schedule 9 – SMETS2 v4.0 • Schedule 10 – CHTS v1.1 • Schedule 10 – CHTS v1.2 • Appendix AD – DUIS v2.0 • Appendix AF – MMC v2.0
5.14	22 February 2018	<p>Modifications to SEC version 5.13 as directed by the Secretary of State pursuant to Section 88(1) of the Energy Act 2008</p> <p>Modifications to SEC version 5.13 as directed by the Secretary of State pursuant to SEC Section X5</p>	<p>Amendments to SEC Section A</p> <p>Designation of Appendix AJ – SEC Variation Testing Approach Document</p>
5.15	25 May 2018	Implementation of a Modification Proposal approved by the Authority on 1 May 2018.	Amendments to Section A, Section I, Section M and Appendix AG due to implementation of SECMP0045 “Incorporation of the requirements of the General Data Protection Regulations”.
5.16	31 May 2018	Modifications to SEC version 5.15 as directed by the Secretary of State	Designation of Section P – Production Proving; and amendments to Section A,

		pursuant to Section 88(1) of the Energy Act 2008	Section C, Section E, Section F, Section H, Section J, Section K, Section L and Section X as directed by the Secretary of State.
5.17	5 June 2018	Modifications to SEC version 5.16 as directed by the Secretary of State pursuant to SEC Section X5	Amendments to Schedule 8 – GBCS v3.0, Schedule 11 – TS Applicability Tables and Appendix AD – DUIS v2.0 Designation of new SEC Schedule 8 – GBCS v2.1 and SEC Schedule 8 – GBCS v3.1 to sit alongside existing versions.
5.18	8 June 2018	Modifications to SEC version 5.17 as directed by the Secretary of State pursuant to SEC Section X5. Modifications to SEC version 5.17 as directed by the Secretary of State pursuant to SEC Section X5.	Amendments to Appendix J – Enduring Test Approach Document and Appendix R – Common Test Scenarios Document. Amendments to Appendix B – Organisation Certificate Policy and Appendix M – SMKI Interface Design Specification.
5.19	25 June 2018	Modifications to SEC version 5.18 as directed by the Secretary of State pursuant to SEC Section X5.	Amendments to Appendix AJ – SEC Variation Testing Approach Document.
5.20	18 July 2018	Modifications to SEC version 5.19 as directed by the Secretary of State pursuant to Section 88(1) of the Energy Act 2008.	Amendments to Section A, Section B, Section C, Section F, Section G, Section H, Section I, Section L, Section N, Section T, Section X, Section Z and Schedule 7
5.21	22 July 2018	Implementation of a Modification Proposal approved via Change Board vote on 22 July 2018.	Amendments to Section A and Section G due to implementation of SECMP0044 “User Security Assessment of a Shared Resource”.
5.22	18 September 2018	Modifications to SEC version 5.21 as directed by the Secretary of State pursuant to SEC Section X5.	Amendments to Section A and designation of Appendix AK – SEC Variation Testing Approach Document for SMETS1 Services.
5.23	4 October 2018	Modifications to SEC version 5.22 as directed by the Secretary of State pursuant to SEC Section X5.	Amendments to TS Applicability Tables.
6.0	28 October 2018	Modifications to SEC version 5.23 as directed by the Secretary of State pursuant to SEC Section X5.	Amendments to SEC Appendix AB – Service Request Processing Document and SEC Appendix E – DCC User Interface Services Schedule and consequential changes to SEC Section A – Definitions and Interpretation and SEC Schedule 11 – TS Applicability Tables.

6.1	1 November 2018	Implementation of Modification Proposals approved by the Authority or by Change Board vote.	Amendments to SEC Section A, Section D, Section F, Section G, Section H, Section J, Section M, Section X, Appendix E, Appendix S, Appendix W, Appendix AA, Appendix AD and Appendix AH, due to the implementation of Modification Proposals SECMP0008, SECMP0019, SECMP0027, SECMP0029, SECMP0034, SECMP0047, SECMP0050, SECMP0051 and SECMP0052
6.2	8 November 2018	Implementation of Modification Proposals approved by the Authority or by Change Board vote	Amendments to Section C, Schedule 9 and Schedule 11 due to the implementation of Modification Proposals SECMP0006, SECMP0048 and SECMP0065.
6.3	5 December 2018	Modifications to SEC version 6.2 as directed by the Secretary of State pursuant to SEC Section X5.	Amendments to SEC Schedule 11 – TS Applicability Tables.
6.4	21 January 2019	Re-designation of an amended version of the Inventory Enrolment and Decommissioning Procedures Document (IEDP) as directed by the Secretary of State pursuant to SEC Section X5.	Amendments and re-designation of Appendix AC
6.5	28 January 2019	Implementation of Modification Proposal approved by the Authority.	Amendments to SEC Section M and Section Z, due to the implementation of Modification Proposal SECMP0068
6.6	14 February 2019	Modifications to SEC version 6.5 as directed by the Secretary of State pursuant to SEC Section X5.	Designation of Appendix AL – SMETS1 Transition and Migration Approach Document
6.7	28 February 2019	Implementation of Modification Proposals approved by the Authority or by Change Board vote.	Amendments to SEC Section A, Section D, Section F, Section G and Section Z, due to the implementation of Modification Proposals SECMP0009, SECMP0057, SECMP0059, SECMP0061 and SECMP0064. Corrections to Section L.
6.8	4 March 2019	Implementation of Modification Proposal approved by the Authority.	Amendments to SEC Section A, Section C, Section D, Section F, Section G, Section L, Section M, Section Z and Appendix AG, due to the implementation of Modification Proposal SECMP0049.
6.9	13 March 2019	Modifications to SEC version 6.8 as	Re-designation of SEC Section A, Section F,

		directed by the Secretary of State pursuant to SEC Section X5.	Section G, Section H, Section I, Section L, Section N, Section P, Appendix J, Appendix R and Appendix Z.
6.10	15 April 2019	Re-designation of an amended version of the Common Test Scenarios Document (CTSD) as directed by the Secretary of State pursuant to SEC Section X5.	Amendments and re-designation of Appendix R.
6.11	23 April 2019	Implementation of Modification Proposal approved by the Authority.	Amendments to SEC Section A, Section H and Section M, due to the implementation of Modification Proposal SECMP0043.
6.12	26 April 2019	Implementation of Modification Proposal approved by the Change Board.	Amendments to SEC Section Z, due to the implementation of Modification Proposal SECMP0070.
6.13	14 June 2019	Re-designation of an amended version of the SEC Variation Testing Approach Document for SMETS1 Services (SVTAD) as directed by the Secretary of State pursuant to SEC Section X5.	Amendments and re-designation of Appendix AK.
6.14	21 June 2019	Re-designation of an amended version of the SMETS1 Transition and Migration Approach Document as directed by the Secretary of State pursuant to SEC Section X5.	Amendments and re-designation of Appendix AL.
6.15	27 June 2019	Implementation of Modification Proposal approved by the Change Board.	Amendments to SEC Section A, Section H, Appendix I, Appendix T, Appendix W, Appendix AA, Appendix AH and Appendix AI due to the implementation of Modification Proposal SECMP0058.
6.16	4 July 2019	<p>Modifications to SEC version 6.15 as directed by the Secretary of State pursuant to SEC Section X5 and for Modification Proposals approved by the Authority or by Change Board vote.</p> <p>Modifications to SEC version 6.15 as directed by the Secretary of State pursuant to Section 88(1) of the Energy</p>	<p>Designation of SEC Schedule 8 Version 3.2, Schedule 9 Version 4.2 and Schedule 10 Version 1.3 and re-designation of SEC Schedule 8 Version 3.1, Schedule 9 Version 4.0, Schedule 9 Version 4.1, Schedule 10 Version 1.2 and Schedule 11 as directed by the Secretary of State and for Modification Proposals SECMP0018, SECMP0023, SECMP0025 and SECMP0055.</p> <p>Amendments to SEC Section A, Section F and Section G.</p>

		Act 2008	
6.17	28 July 2019	Modifications to SEC version 6.16 as directed by the Secretary of State pursuant to SEC Section X5.	<p>Designation of SEC Appendix AD – DUIS v3.0, Appendix AF – MMC v3.0 and Appendix AM ‘SMETS1 Supporting Requirements’.</p> <p>Amendments to SEC Appendix E, Appendix I, Appendix AA, Appendix AB, Appendix AC, Appendix AH and Appendix AL.</p> <p>Corrections to SEC Section A.</p>

CONTENTS

SMART ENERGY CODE CONTENTS

Introduction

Section A: Definitions and Interpretation

- A1 Definitions
- A2 Interpretation
- A3 Technical Specifications, the GB Companion Specification and the CPA Security Characteristics
- A4 Derogation From SMETS1 General Installation End Date

Section B: Accession

- B1 Accession
- B2 DCC, User and RDP Identifiers

Section C: Governance

- C1 SEC Objectives
- C2 Panel
- C3 Panel Members
- C4 Elected Members
- C5 Proceedings of the Panel
- C6 Sub-Committees
- C7 Code Administrator, Secretariat and SECCo
- C8 Panel Costs and Budgets

Section D: Modification Process

- D1 Raising Draft Proposals

- D2 Modification Paths
- D2A The Change Sub-Committee
- D3 Initial Assessment of Draft Proposals
- D4 Authority Determinations
- D5 Withdrawal of a Proposal
- D6 Refinement Process
- D7 Report Phase
- D8 Change Board and Change Board Decision
- D9 Modification Proposal Decision
- D9A Authority-led Variations
- D10 Implementation
- D11 DCC Assessment Reporting

Section E: Registration Data

- E1 Reliance on Registration Data
- E2 Provision of Data
- E3 DCC Gateway Connections for Registration Data Providers
- E4 RDP Entry Process

Section F: Smart Metering System Requirements

- F1 Technical Sub-Committee
- F2 Central Products List
- F3 Panel Dispute Resolution Role
- F4 Operational Functionality, Interoperability and Access
- F5 SMETS2+ Communications Hub Forecasts & Orders

- F6 Delivery and Acceptance of SMETS2+ Communications Hubs
- F7 Installation and Maintenance of SMETS2+ Communications Hubs
- F8 Removal and Return of SMETS2+ Communications Hubs
- F9 Categories of SMETS2+ Communications Hub Responsibility
- F10 SMETS2+ Test Communications Hubs
- F11 ALCS/HCALCS Labels List

Section G: Security

- G1 Security: General Provisions
- G2 System Security: Obligations on the DCC
- G3 System Security: Obligations on Users
- G4 Organisational Security: Obligations on Users and the DCC
- G5 Information Security: Obligations on the DCC and Users
- G6 Anomaly Detection Thresholds: Obligations on the DCC and Users
- G7 Security Sub-Committee
- G8 User Security Assurance
- G9 DCC Security Assurance
- G10 Shared Resources

Section H: DCC Services

- H1 User Entry Process
- H2 Registered Supplier Agents
- H3 DCC User Interface
- H4 Processing Service Requests
- H5 Smart Metering Inventory and Enrolment Services

- H6 Decommissioning and Suspension of Devices
- H7 Elective Communication Services
- H8 Service Management, Self-Service Interface and Service Desk
- H9 Incident Management
- H10 Business Continuity
- H11 Parse and Correlate Software
- H12 Intimate Communications Hub Interface Specification
- H13 Performance Standards and Reporting
- H14 Testing Services
- H15 DCC Gateway Connections

Section I: Data Privacy

- I1 Data Protection and Access to Data
- I2 Other User Privacy Audits

Section J: Charges

- J1 Payment of Charges
- J2 Payment Default and Disputes
- J3 Credit Cover
- J4 Review and Forecasting of Charges

Section K: Charging Methodology

- K1 Introduction
- K2 Estimated Revenues
- K3 Fixed Charge, Fixed CH Charge and Fixed Alt HAN Charge Calculations
- K4 Determining Fixed Charges Before the UITMR Period

- K5 Determining Fixed Charges During the UITMR Period
- K5A Determining Fixed Alt HAN Charges During the UITMR Period
- K6 Determining Fixed Charges After the UITMR Period (Enduring)
- K6A Determining Fixed CH Charges
- K6B Determining Fixed Alt HAN Charges after the UITMR Period (Enduring)
- K7 Determining Explicit Charges
- K8 Determining Elective Charges
- K9 Within-Year Adjustments
- K10 Calculating Monthly Payments
- K11 Definitions

Section L: Smart Metering Key Infrastructure and DCC Key Infrastructure

- L1 SMKI Policy Management Authority
- L2 SMKI Assurance
- L3 The SMKI Services
- L4 The SMKI Service Interface
- L5 The SMKI Repository Service
- L6 The SMKI Repository Interface
- L7 SMKI and Repository Entry Process Tests
- L8 SMKI Performance Standards and Demand Management
- L9 The SMKI Document Set
- L10 The SMKI Recovery Procedure
- L11 The Subscriber Obligations
- L12 Relying Party Obligations

L13 DCC Key Infrastructure

Section M: General

M1 Commencement and Duration

M2 Limitations of Liability

M3 Services FM and Force Majeure

M4 Confidentiality

M5 Intellectual Property Rights

M6 Party Details

M7 Dispute Resolution

M8 Suspension, Expulsion and Withdrawal

M9 Transfer of DCC Licence

M10 Notices

M11 Miscellaneous

Section N: SMETS1 Meters

N1 Definitions for this Section N

N2 SMETS1 Enrolment Projects Generally

N3 Initial Enrolment

N4 Initial Enrolment Project Feasibility Report

N4A Further Initial Enrolment Analysis

N5 Initial Enrolment Code Amendments

N6 Transition and Migration Approach Document

Section P: Production Proving

P1 Production Proving

Section T: Testing During Transition

- T1 Device Selection Methodology
- T2 Systems Integration Testing
- T3 Interface Testing
- T4 End-to-End Testing
- T5 SMKI and Repository Testing
- T6 Development of Enduring Testing Documents
- T7 Ending of the Application of this Section T

Section X: Transition

- X1 General Provisions Regarding Transition
- X2 Effective Provisions at Designation
- X3 Provisions to Become Effective Following Designation
- X4 Governance Set-up Arrangements
- X5 Incorporation of Certain Documents into this Code
- X6 Transitional Variations
- X7 Transitional Incident Management Procedures
- X8 Developing CH Support Materials
- X9 Interim Device and User System Testing
- X10 Threshold Anomaly Detection Procedures
- X11 Secretary-of-State-Led Variations

Section Z: Alt HAN Arrangements

- Z1 The Alt HAN Forum
- Z2 The Alt HAN Company

- Z3 Alt HAN Secretariat
- Z4 Alt HAN Costs and Budgets
- Z5 Transitional Provisions
- Z6 Definitions

Annex AltHANCo

Schedules:

Schedule 1 Framework Agreement

Schedule 2 Accession Agreement

Schedule 3 Specimen Bilateral Agreement

Schedule 4 SECCo

Schedule 5 Accession Information

Schedule 6 Specimen Form of Letter of Credit

Schedule 7 Specimen Enabling Services Agreement

Schedule 8 Great Britain Companion Specification Version 1.0

Schedule 8 Great Britain Companion Specification Version 1.1

Schedule 8 Great Britain Companion Specification Version 2.0

Schedule 8 Great Britain Companion Specification Version 2.1

Schedule 8 Great Britain Companion Specification Version 3.0

Schedule 8 Great Britain Companion Specification Version 3.1

Schedule 8 Great Britain Companion Specification Version 3.2

Schedule 9 Smart Metering Equipment Technical Specifications 1 Version 1.2

Schedule 9 Smart Metering Equipment Technical Specifications 2 Version 2.0

Schedule 9 Smart Metering Equipment Technical Specifications 2 Version 3.0

Schedule 9 Smart Metering Equipment Technical Specifications 2 Version 3.1

Schedule 9 Smart Metering Equipment Technical Specifications 2 Version 4.0

Schedule 9 Smart Metering Equipment Technical Specifications 2 Version 4.1

Schedule 9 Smart Metering Equipment Technical Specifications 2 Version 4.2

Schedule 10 Communication Hub Technical Specifications

Schedule 10 Communication Hub Technical Specifications Version 1.1

Schedule 10 Communication Hub Technical Specifications Version 1.2

Schedule 10 Communication Hub Technical Specifications Version 1.3

Schedule 11 TS Applicability Tables

Appendices:

Appendix A: Device Certificate Policy

Appendix B: Organisation Certificate Policy

Appendix C: SMKI Compliance Policy

Appendix D: Registration Authority Policies and Procedures

Appendix E: DCC User Interface Services Schedule

Appendix F: Minimum Communication Services for SMETS1 Meters

Appendix G: DCC Gateway Connection Code of Connection

Appendix H: CH Handover Support Materials

Appendix I: CH Installation and Maintenance Support Materials

Appendix J: Enduring Testing Approach Document

Appendix K: SMKI and Repository Test Scenarios Document

Appendix L: SMKI Recovery Procedure

Appendix M: SMKI Interface Design Specification

Appendix N: SMKI Code of Connection

Appendix O: SMKI Repository Interface Design Specification

Appendix P: SMKI Repository Code of Connection

Appendix Q: IKI Certificate Policy

Appendix R: Common Test Scenarios Document

Appendix S: DCCKI Certificate Policy

Appendix T: DCCKI Interface Design Specification

Appendix U: DCCKI Repository Interface Design Specification

Appendix V: DCCKI CoCo and DCCKI Repository CoCo

Appendix W: DCCKI Registration Authority Policies and Procedures

Appendix X: Registration Data Interface Specification

Appendix Y: Registration Data Interface Code of Connection

Appendix Z: CPL Requirements Document

Appendix AA: Threshold Anomaly Detection Procedures

Appendix AB: Service Request Processing Document

Appendix AC: Inventory, Enrolment and Decommissioning Procedures

Appendix AD: DCC User Interface Specification

Appendix AD: DCC User Interface Specification Version 2.0

Appendix AD: DCC User Interface Specification Version 3.0

Appendix AE: DCC User Interface Code of Connection

Appendix AF: Message Mapping Catalogue

Appendix AF: Message Mapping Catalogue Version 2.0

Appendix AF: Message Mapping Catalogue Version 3.0

Appendix AG: Incident Management Policy

Appendix AH: Self Service Interface Access Control Specification

Appendix AI: Self Service Interface Code of Connection

Appendix AJ: SEC Variation Testing Approach Document

Appendix AK: SEC Variation Testing Approach Document for SMETS1 Services

Appendix AL: SMETS1 Transition and Migration Approach Document

Appendix AM: SMETS1 Supporting Requirements