

## **SMETS1 Supporting Requirements**

## 1 **Introduction**

- 1.1 This document lays out supporting requirements in relation to SMETS1 Devices and communications relating to SMETS1 Devices. None of the provisions in this document apply to SMETS2+ Devices nor to communications relating to them.

## 2 **Defined Terms**

Term	Meaning
	X    Y shall mean the concatenation of the two octet strings X and Y.
Alert Code	For a SMETS1 Alert, shall mean the value placed in the GBCSHexAlertCode field (with its Message Mapping Catalogue meaning).
Authorising Remote Party Signature	Shall have the meaning specified in Clause 16.1.
Certificate ID	In relation to an Organisation Certificate, shall be the combination of <code>serialNumber</code> and Issuer X520 Common Name (each with their Organisation Certificate Policy meanings) and so shall be a unique identifier for that Certificate.
SMETS1 CHF Device Log	Shall have the meaning specified in Clause 17.46.
CoS Execution Counter	Shall have the meaning specified in Clause 11.2(a).
Device Security Credentials	In relation to a SMETS1 Device, Device Security Credentials shall include the Certificates identified by Notified Critical Supplier Certificate ID, Notified Non-Critical Supplier Certificate ID, Notified Critical Network Operator Certificate ID and Notified Non-Critical Network Operator Certificate ID.
Event Code	For a SMETS1 Response containing Security Log or Event Log entries (with their SMETS1 meanings), shall mean the SMETS1 Mandated Event Code or the SMETS1 Non-Mandated Event Code in an entry.
Event/Alert Code	Shall mean the Alert Code or the Event Code, as required by the context.
Execution Counter	Shall have the meaning specified in Clause 11.1(a).

Term	Meaning
IEEE	The Institute of Electrical and Electronics Engineers.
Message Code	For a SMETS1 Alert, shall mean the SMETS1 Mandated Event Message Code or the SMETS1 Non-Mandated Event Message Code. For a SMETS1 Response, shall mean the value of GBCSHexadecimalMessageCode (with its Message Mapping Catalogue meaning) required by Clause 9.
Most Recently Verified Manufacturer Image Hash	Shall have the meaning laid out at Clause 16.5 (as updated in accordance with Clause <a href="#">17.50</a> ).
Notified Critical Network Operator Certificate ID	Shall be the Certificate ID most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Certificate ID having the values from the Certificate in the Service Request where Remote Party Role is 'networkOperator' and keyUsage is 'digitalSignature' (all with their Organisation Certificate Policy meanings).
Notified Critical Network Operator ID	Shall be the Entity Identifier (with its Organisation Certificate Policy meaning) most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Entity Identifier having the value from the Certificate in the Service Request where Remote Party Role is 'networkOperator' and keyUsage is 'digitalSignature' (all with their Organisation Certificate Policy meanings).
Notified Critical Supplier Certificate ID	Shall be the Certificate ID most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Certificate ID having the values from the Certificate in the Service Request where Remote Party Role is 'supplier' (with its Section L meaning) and keyUsage is 'digitalSignature' (each with their Organisation Certificate Policy meanings).
Notified Critical Supplier ID	Shall be the Entity Identifier (with its Organisation Certificate Policy meaning) most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Entity Identifier having the value from the Certificate in the Service Request where Remote Party Role is 'supplier' and keyUsage is 'digitalSignature' (all with their Organisation Certificate Policy meanings).
Notified Non-Critical Network Operator Certificate ID	Shall be the Certificate ID most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Certificate ID having the values from the Certificate in the Service Request where Remote Party Role is 'networkOperator' and keyUsage is 'keyAgreement' (all with their Organisation Certificate Policy meanings).

Term	Meaning
Notified Non-Critical Supplier Certificate ID	Shall be the Certificate ID most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Certificate ID having the values from the Certificate in the Service Request in the KeyAgreementCertificate data item, where the RemotePartyRole data item has the value of Supplier (each with their DUIS meanings).
Notified Non-Critical Supplier ID	Shall be the Entity Identifier (with its Organisation Certificate Policy meaning) most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Entity Identifier having the value from the Certificate in the Service Request in the KeyAgreementCertificate data item where the RemotePartyRole data item has the value of Supplier (with their DUIS meanings).
Notified Non-Critical Network Operator ID	Shall be the Entity Identifier (with its Organisation Certificate Policy meaning) most recently recorded by the relevant S1SP in relation to a SMETS1 Device pursuant to Clause <a href="#">18</a> , with the Entity Identifier having the value from the Certificate in the Service Request where Remote Party Role is 'networkOperator' and keyUsage is 'keyAgreement' (all with their Organisation Certificate Policy meanings).
Originator Counter	Shall have the meaning laid out at Clause 5.
OTA Header	Shall have the meaning laid out at Clause 16.3.
OTA Upgrade Image	Shall have the meaning laid out at Clause 16.4.
S1SP Time	In relation to an S1SP, shall be the time measured by a time source used by that S1SP pursuant to Clause 10.
SMETS1 Mandated Event	Shall have the meaning laid out at Clause 8.1.
SMETS1 Mandated Event Code	In a SMETS1 Alert or a Countersigned SMETS1 Alert, shall be the value placed in the GBCSHexAlertCode field (with its Message Mapping Catalogue meaning) as required by Clause 8.7(b).
SMETS1 Mandated Event Message Code	In a SMETS1 Alert or a Countersigned SMETS1 Alert created as a result of a SMETS1 Mandated Event, shall be the value placed in the GBCSHexadecimalMessageCode field (with its Message Mapping Catalogue meaning) as required by Clause 8.5.

Term	Meaning
SMETS1 Message Code	<p>In a SMETS1 Response or a Countersigned SMETS1 Response, shall be the value placed in the GBCSHexadecimalMessageCode field (with its Message Mapping Catalogue meaning) as required by Clause 9.1.</p> <p>In a SMETS1 Alert or a Countersigned SMETS1 Alert, shall be either the SMETS1 Mandated Event Message Code or the SMETS1 Non-Mandated Event Message Code, as determined by Clauses 8.5 and 8.7(a).</p>
SMETS1 Non-Mandated Event	Shall have the meaning laid out at Clause 8.3.
SMETS1 Non-Mandated Event Code	Shall have the meaning laid out at Clause 8.3(a).
SMETS1 Non-Mandated Event Description	Shall have the meaning laid out at Clause 8.3(b).
SMETS1 Non-Mandated Event Message Code	In a SMETS1 Alert or a Countersigned SMETS1 Alert created as a result of a SMETS1 Non-Mandated Event, shall be the hexBinary value of 1000 (where hexBinary has the meaning defined at <a href="http://www.w3.org/TR/xmlschema-2/#hexBinary">http://www.w3.org/TR/xmlschema-2/#hexBinary</a> ) placed in the GBCSHexadecimalMessageCode field (with its Message Mapping Catalogue meaning).
Time-based Debt Recovery	Shall have the meaning set out in SMETS1.
Unknown Remote Party (URP)	In relation to a SMETS1 Device, shall mean a Party for which the relevant S1SP does not hold either a current Notified Critical Supplier ID or a current Notified Critical Network Operator ID for the SMETS1 Device in question.
Unsupported Value	Shall have the meaning set out in DUIS.
Upgrade Image	Shall have the meaning laid out at Clause 16.2.

2.1 Additionally, where defined terms from specific parts of the Code are used, the relevant part of the Code is stated. Where no part of the Code is stated, a defined term shall have its Section A meaning.

### 3 **Device IDs**

- 3.1 A Party notifying a SMETS1 Device's details to the DCC for recording in the Smart Metering Inventory shall notify the Device's Device ID according to the requirements of Table 1.

Type of SMETS1 Device	Requirement for Device ID
SMETS1 CHF	The Device ID shall be an identifier that the notifying Party has ensured is EUI-64 Compliant.
SMETS1 ESME	Where the Device's only network interface is one complying with ZigBee Alliance standards, the Device ID shall be the IEEE address associated with that network interface. Otherwise, the Device ID shall be an identifier that the notifying Party has ensured is EUI-64 Compliant.
SMETS1 GSME, SMETS1 GPF, SMETS1 PPMID, SMETS1 IHD or any other device operating on a home area network created by a SMETS1 CHF	The Device ID shall be the IEEE address associated with the Device's network interface which implements ZigBee Alliance standards.

**Table 1**

### 4 **User IDs in Service Requests**

- 4.1 In relation to 'Top Up Device' and all Critical Service Requests, a User shall use its Notified Critical Supplier ID or its Notified Critical Network Operator ID (as the context requires) for the Device whose Device ID is in the BusinessTargetID field (with its DUIS meaning) in the Service Request. In relation to all other Service Requests, a User may use any User ID which the DCC has previously accepted in relation to the User acting in the User Role in which the User wishes to have the Service Request in question processed by the DCC.
- 4.2 On receipt of a 'Top Up Device' or Critical Service Request, the S1SP shall confirm that the target Device's Notified Critical Supplier ID or Notified Critical Network Operator ID (as the context requires) is that specified in the BusinessOriginatorID field (with its DUIS meaning) in the Service Request

and where it is not, the S1SP shall create and send a SMETS1 Response notifying failure and shall undertake no further processing.

## **5 Originator Counters**

- 5.1 Except for ‘Update Security Credentials (CoS) (SRV 6.23)’ SMETS1 Service Requests, in relation to each SMETS1 Service Request that a User creates using a specific User ID and a specific Device ID, the User shall ensure that the Originator Counter is a 64-bit unsigned integer that is numerically greater than both zero and the value it has used in relation to any previous Service Request containing the same User ID and Device ID.
- 5.2 In relation to each ‘Update Security Credentials (CoS) (SRV 6.23)’ SMETS1 Service Request that a User creates, the User shall ensure that the Originator Counter is a 64-bit unsigned integer that is numerically greater than the value it has used in relation to any previously created ‘Update Security Credentials (CoS) (SRV 6.23)’ SMETS1 Service Request.
- 5.3 In relation to each SMETS1 Alert created using a specific Device ID and a specific User ID, the S1SP shall ensure that the Originator Counter is a 64-bit unsigned integer that is numerically greater than the value it has used in relation to any previous SMETS1 Alert containing the same User ID and Device ID.

## **6 Related Identifiers & Counters**

- 6.1 In relation to any SMETS1 Service Request targeted at a SMETS1 Device, the sending User shall, within the RequestID field (with its DUIS meaning), populate:
  - (a) BusinessOriginatorID (with its DUIS meaning) with a User ID complying with Clause 4;
  - (b) BusinessTargetID (with its DUIS meaning) with the Device’s Device ID, where that Device ID complies with Clause 3; and
  - (c) OriginatorCounter (with its DUIS meaning) with an Originator Counter complying with Clause 5.1 or Clause 5.2 (as relevant).
- 6.2 In relation to any SMETS1 Response, any Countersigned SMETS1 Response, any S1SP Alert and any Countersigned S1SP Alert, the DCC and the S1SP

shall:

- (a) populate any RequestID field (with its DUIS meaning) with the value of the RequestID in the corresponding SMETS1 Service Request;
- (b) within any ResponseID field (with its DUIS meaning) and within the fields themselves, populate:
  - (i) any BusinessOriginatorID field (with its DUIS and Message Mapping Catalogue meaning) with the value of the BusinessTargetID in the RequestID as identified in Clause 6.1(b);
  - (ii) any BusinessTargetID field (with its DUIS and Message Mapping Catalogue meaning) with the value of the BusinessOriginatorID in the RequestID as identified in Clause 6.1(a); and
  - (iii) any OriginatorCounter field (with its DUIS and Message Mapping Catalogue meaning) with the value of the OriginatorCounter in the RequestID as identified in Clause 6.1(c).

6.3 In relation to any SMETS1 Alert, the S1SP shall populate:

- (a) any BusinessOriginatorID field (with its DUIS and Message Mapping Catalogue meaning) with the Device's Device ID, where that Device ID complies with Clause 3;
- (b) any BusinessTargetID field (with its DUIS and Message Mapping Catalogue meaning) in accordance with Clause 8.6 and 8.7; and
- (c) any OriginatorCounter field (with its DUIS and Message Mapping Catalogue meaning) with an Originator Counter complying with Clause 5.3.

## **7 Supplementary Party Details**

7.1 Where, in processing a SMETS1 Service Request, the DCC would have included a Supplementary Remote Party ID value and a Supplementary Remote Party Counter value (with their GBCS meanings) in a resulting Command if the target Device had been a SMETS2+ Device, the DCC shall include those values in the Countersigned Service Request sent to the relevant S1SP, and shall identify those details as being a Supplementary Remote Party ID value



and a Supplementary Remote Party Counter value respectively (with their GBCS meanings).

- 7.2 Where an S1SP creates a SMETS1 Response where the corresponding Countersigned Service Request contained details identified as a Supplementary Remote Party ID value and a Supplementary Remote Party Counter value, the S1SP shall use those values to populate the SupplementaryRemotePartyID and SupplementaryRemotePartyCounter fields (with their Message Mapping Catalogue meaning). For clarity, the S1SP shall not incorporate a SupplementaryOriginatorCounter field (with its Message Mapping Catalogue meaning) in any SMETS1 Response.

## 8 Event logging and alerts

- 8.1 SMETS1 requires that a number of events can be detected by SMETS1 Devices and that an occurrence of such an event triggers:
- (a) the sending of an alert over a WAN interface (with its SMETS1 meaning); or
  - (b) the recording of the event in either the Event Log or the Security Log (with their SMETS1 meanings); or
  - (c) both the sending of such an alert over a WAN interface and the recording of the event in either the Event Log or the Security Log (with their SMETS1 meanings).
- 8.2 Table 2 identifies the SMETS1 requirements for Devices to detect events and the associated alerting and logging requirements. Additionally, the Service Request Processing Document (SRPD) requires that when processing a SMETS1 ‘Update Firmware’ Service Request, the S1SP undertakes verification of the FirmwareImage (with its DUIS meaning). This can lead to one of two events: (1) the verification succeeds or (2) the verification fails. Both events are also included in Table 2. Events (described in the column headed “AlertDescription / LogMeaning) in Table 2 are referred to as "SMETS1 Mandated Events" in this document.

Specification of the SMETS1 Mandated Event	GBCSHexadecimal MessageCode	GBCSHexAlertCode / LogCode	AlertDescription / LogMeaning	BusinessTargetID	SMETS1 Alert required?	Logging Required? (No / Event Log / Security Log)
SMETS1 5.3.5.1 (ii) / (iii)	1000	8F01	Active Power Import above Load Limit Threshold	Notified Critical Supplier ID	Yes	Event Log
SMETS1 5.3.10.1 (ii) (a) / (c)	1001	0x8F40	SMETS1 Average RMS Voltage above Average RMS Over Voltage Threshold	Notified Non-Critical Network Operator ID	Yes	Event Log

Specification of the SMETS1 Mandated Event	GBCSHexadecimal MessageCode	GBCSHexAlertCode / LogCode	AlertDescription / LogMeaning	BusinessTargetID	SMETS1 Alert required?	Logging Required? (No / Event Log / Security Log)
SMETS1 5.3.10.1 (iii) (a) / (c)	1001	0x8F41	SMETS1 Average RMS Voltage below Average RMS Under Voltage Threshold	Notified Non-Critical Network Operator ID	Yes	Event Log
SMETS1 4.3.6.2 (iv) SMETS1 5.3.6.2 (iv)	1001	810D	Combined Credit Below Low Credit Threshold (prepayment mode)	Notified Non-Critical Supplier ID	Yes	No
SMETS1 4.3.6.2 (v) SMETS1 5.3.6.2 (v)	1000	8F0F	Credit Below Disablement Threshold (prepayment mode)	Notified Critical Supplier ID	Yes	No
SMETS1 4.3.5.2 (v)	1000	8F1D	GSME Power Supply Loss	Notified Critical Supplier ID	Yes	No
SMETS1 4.3.5.1 (i)/(ii)	1000	8F1F	Low Battery Capacity	Notified Critical Supplier ID	Yes	Event Log
SMETS1 4.4.2.2 SMETS1 4.4.3.2 SMETS1 4.4.3.4 SMETS1 5.4.3.2 SMETS1 5.4.4.2 SMETS1 5.5.4.4	1000	8F32	Supply Armed	Notified Critical Supplier ID	Yes	No
SMETS1 5.3.5.1 (v)	1000	8F33	Supply Disabled then Armed - Load Limit triggered	Notified Critical Supplier ID	Yes	No
SMETS1 5.3.10.2 (i) / (ii)	1001	8020	RMS Voltage above Extreme Over Voltage Threshold (voltage rises above for longer than the configurable period)	Notified Non-Critical Network Operator ID	Yes	Event Log
SMETS1 5.3.10.5 (i) / (ii)	1001	8024	RMS Voltage above Voltage Swell Threshold (voltage rises above for longer than the configurable period)	Notified Non-Critical Network Operator ID	Yes	Event Log
SMETS1 5.3.10.3 (i) / (ii)	1001	8028	RMS Voltage below Extreme Under Voltage Threshold (voltage falls below for longer than the configurable period)	Notified Non-Critical Network Operator ID	Yes	Event Log
SMETS1 5.3.10.4 (i) / (ii)	1001	802C	RMS Voltage below Voltage Sag Threshold (voltage falls below for longer than the configurable period)	Notified Non-Critical Network Operator ID	Yes	Event Log
SMETS1 4.3.9.3 (viii) / (viii) SMETS1 5.3.9.3 (viii) / (viii)	1000	8F3E	Unauthorised Communication Access attempted	Notified Critical Supplier ID	Yes	Security Log
SMETS1 4.3.9.2 (viii) / (ix) SMETS1 5.3.9.2 (viii) / (ix)	1000	8F3F	Unauthorised Physical Access - Tamper Detect	Notified Critical Supplier ID	Yes	Security Log
SRPD 16.1	00CE	8F1C	Firmware Verification Failed (ESME)	Notified Critical Supplier ID	Yes	No
SRPD 16.1	00CE	8F72	Firmware Verification Successful (ESME)	Notified Critical Supplier ID	Yes	No
SRPD 16.1	00CF	8F1C	Firmware Verification Failed (GSME)	Notified Critical Supplier ID	Yes	No
SRPD 16.1	00CF	8F72	Firmware Verification Successful(GSME)	Notified Critical Supplier ID	Yes	No
SRPD 16.1	1002	8F1C	Firmware Verification Failed (CH)	Notified Critical Supplier ID	Yes	No

Specification of the SMETS1 Mandated Event	GBCSHexadecimal MessageCode	GBCSHexAlertCode / LogCode	AlertDescription / LogMeaning	BusinessTargetID	SMETS1 Alert required?	Logging Required? (No / Event Log / Security Log)
SRPD 16.1	1003	8F72	Firmware Verification Successful (CH)	Notified Critical Supplier ID	Yes	No
SMETS1 4.3.2 (i) SMETS1 5.3.2 (i)	N/A	8F3D	Trusted Source Authentication Failure	N/A	No	Security Log
SMETS1 4.3.2 (ii) SMETS1 5.3.2 (ii)	N/A	8F1E	Integrity check of content or format of command failed	N/A	No	Security Log
SMETS1 4.3.9.1 SMETS1 5.3.9.1	N/A	8F53	Failed Authentication or Authorisation not covered by other codes	N/A	No	Security Log
SMETS1 4.3.9.1 SMETS1 5.3.9.1	N/A	8F43	Change in the executing Firmware version	N/A	No	Security Log
SMETS1 4.3.9.1 SMETS1 5.3.9.1	N/A	8F44	Occurrence that has the potential to put Supply at risk and/or compromise the Integrity of the Device.	N/A	No	Security Log
SMETS1 4.3.9.1 SMETS1 5.3.9.1	N/A	8F60	Unusual numbers of malformed, out-of-order or unexpected Commands received	N/A	No	Security Log
SMETS1 4.3.9.1 SMETS1 5.3.9.1	N/A	8F45	Change of credit which is not reflective of normal Consumption	N/A	No	Security Log
SMETS1 4.3.9.1 SMETS1 5.3.9.1	N/A	8F46	Threat to Device security detected but not covered by other events.	N/A	No	Security Log
SMETS1 4.4.2.2 SMETS1 5.4.3.2	N/A	8F51	Duplicate UTRN entered	N/A	No	Security Log
SMETS1 4.4.2.2 SMETS1 5.4.3.2	N/A	8F63	UTRN not Authentic	N/A	No	Security Log
SMETS1 4.4.2 SMETS1 5.4.3	N/A	8161	User Interface Command Input and Successfully Actioned	N/A	No	Event Log
SMETS1 4.4.2 SMETS1 5.4.3	N/A	8162	User Interface Command Input but not Successfully Actioned	N/A	No	Event Log
SMETS1 4.4.3 SMETS1 5.4.4	N/A	8154	Immediate HAN Interface Command Received and Successfully Actioned	N/A	No	Event Log
SMETS1 4.4.3 SMETS1 5.4.4	N/A	8155	Immediate HAN Interface Command Received but not Successfully Actioned	N/A	No	Event Log

**Table 2**

8.3 SMETS1 Devices may additionally be capable of detecting events not required by SMETS1. Such additional events are referred to in this document as "SMETS1 Non-Mandated Events". Each such SMETS1 Non-Mandated Event may result in a log entry or an alert or both being created by the Device. For each such SMETS1 Non-Mandated Event, the DCC shall:

- (a) produce a textual description of that event, such a description being referred to as a "SMETS1 Non-Mandated Event Description"; and
- (b) associate a 16-bit integer where the most significant bit has the value zero and the integer is not associated with any other SMETS1 Non-Mandated

Event Description. The hexBinary representation (with the meaning defined at <http://www.w3.org/TR/xmlschema-2/#hexBinary>) of this 16-bit integer shall be referred to as the "SMETS1 Non-Mandated Event Code".

8.4 The DCC shall maintain and publish to all Users the list of SMETS1 Non-Mandated Event Descriptions and, for each such description:

- (a) the Device Type or Device Types which can detect such events;
- (b) the associated SMETS1 Non-Mandated Event Code;
- (c) whether, for each detected occurrence of the corresponding SMETS1 Non-Mandated Event, the DCC would produce a corresponding SMETS1 Alert and, if so, which User ID would be placed in the BusinessTargetID fields (with their Message Mapping Catalogue meanings);
- (d) whether, for each detected occurrence of the corresponding SMETS1 Non-Mandated Event, a corresponding entry would appear in a SMETS1 Response containing the Device's Security Log (with its SMETS1 meaning); and
- (e) whether, for each detected occurrence of the corresponding SMETS1 Non-Mandated Event, a corresponding entry would appear in a SMETS1 Response containing the Device's Event Log (with its SMETS1 meaning).

8.5 Each S1SP shall create a SMETS1 Alert whenever:

- (a) it receives information that a SMETS1 Mandated Event or SMETS1 Non-Mandated Event has occurred; and
- (b) a SMETS1 Alert is required for that Event (as identified in relation to SMETS1 Mandated Events in Table 2 above, or in relation to a SMETS1 Non-Mandated Event in accordance with the information published pursuant to Clause 8.4),

unless it does not have a record of the User ID that it is to associate (pursuant to Table 2 or Clause 8.4(c)) with that Event/Alert Code, in which case it shall not create a SMETS1 Alert.

8.6 Where an S1SP creates a SMETS1 Alert based on information from a Device that a SMETS1 Mandated Event has occurred, the S1SP shall populate the

GBCSHexadecimalMessageCode, GBCSHexAlertCode, and AlertDescription fields, and any BusinessTargetID fields (each with their Message Mapping Catalogue meanings) according to the values specified in Table 2 for the SMETS1 Mandated Event in question.

- 8.7 Where an S1SP creates a SMETS1 Alert based on information from a Device that a SMETS1 Non-Mandated Event has occurred, the S1SP shall populate:
- (a) the GBCSHexadecimalMessageCode field (with its Message Mapping Catalogue meaning) with the SMETS1 Non-Mandated Event Message Code;
  - (b) the GBCSHexAlertCode field (with its Message Mapping Catalogue meaning) with the corresponding SMETS1 Non-Mandated Event Code;
  - (c) the AlertDescription field (with its Message Mapping Catalogue meaning) with the corresponding SMETS1 Non-Mandated Event Description; and
  - (d) any BusinessTargetID fields (with their Message Mapping Catalogue meanings) with the Notified Non-Critical Supplier ID.
- 8.8 Where an S1SP creates a SMETS1 Response which includes a log entry's details based on information from a Device that a SMETS1 Mandated Event has occurred, the S1SP shall populate the corresponding LogCode and LogDescription fields (with their Message Mapping Catalogue meanings) according to the values specified in Table 2 for the SMETS1 Mandated Event in question. Where an S1SP creates a SMETS1 Response which includes a log entry's details based on information from a Device that a SMETS1 Non-Mandated Event has occurred, the S1SP shall populate the corresponding LogCode and LogDescription fields (with their Message Mapping Catalogue meanings) according to the values specified in the document published by the DCC pursuant to Clause 8.3. An S1SP shall only include an entry in a SMETS1 Response containing details from a Security Log or an Event Log (with their SMETS1 meanings) where that entry relates to either a SMETS1 Mandated Event or a SMETS1 Non-Mandated Event.
- 8.9 An S1SP shall not include OtherInformation or OtherInformationLogMeaning fields (with their Message Mapping Catalogue meaning) in any SMETS1 Response.

## 9 SMETS1 Message Codes

9.1 Where an S1SP creates a SMETS1 Response, the S1SP shall

- (a) identify the row in Table 3 where, in the corresponding Service Request:
  - (i) the Service Reference Variant has the value in the row; and
  - (ii) the Device Type recorded in the Smart Metering Inventory for the Device ID in the BusinessTargetID part of the RequestID (with their DUIS meanings) has the value in the row; and
  - (iii) Condition1 and Condition 2 (see Table 3) are true including, where relevant, in terms of the content of the corresponding Service Request;
- (b) populate the GBCSHexadecimalMessageCode field (with its Message Mapping Catalogue meaning) according to the row identified at Clause 9.1(a); and
- (c) include a Timestamp (with its Message Mapping Catalogue meaning) in the Header element (with its DUIS meaning) in the SMETS1 Response where the row identified at Clause 9.1(a) requires it.

Service Reference Variant	Type of target Device as recorded in the SMI	Condition 1	Condition 2	GBCSHexadecimalMessageCode	Timestamp required in SMETS1 Response Header?
1.1.1	ESME	True	True	0019	Yes
1.1.1	GSME	True	True	006B	Yes
1.2.1	ESME	True	True	00A2	Yes
1.2.1	GSME	True	True	00A3	Yes
1.5	ESME	If an AdjustMeterBalance element (with its DUIS meaning) is present	True	001C	No

<b>Service Reference Variant</b>	<b>Type of target Device as recorded in the SMI</b>	<b>Condition 1</b>	<b>Condition 2</b>	<b>GBCSHexadecimalMessageCode</b>	<b>Timestamp required in SMETS1 Response Header?</b>
1.5	GSME	If an AdjustMeterBalance element (with its DUIS meaning) is present	If a PrepaymentMode element (with its DUIS meaning) is present	0086	No
1.5	GSME	If an AdjustMeterBalance element (with its DUIS meaning) is present	If a CreditMode element (with its DUIS meaning) is present	00C0	No
1.5	ESME	If a ResetMeterBalance element (with its DUIS meaning) is present	True	00B3	No
1.5	GSME	If a ResetMeterBalance element (with its DUIS meaning) is present	If a PrepaymentMode element (with its DUIS meaning) is present	00B4	No
1.5	GSME	If a ResetMeterBalance element (with its DUIS meaning) is present	If a CreditMode element (with its DUIS meaning) is present	00C2	No
1.6	ESME	True	If a Prepayment element (with its DUIS meaning) is present	001A	Yes
1.6	ESME	True	If a Credit element (with its DUIS meaning) is present	001B	Yes
1.6	GSME	True	If a Prepayment element (with its DUIS meaning) is present	006C	Yes
1.6	GSME	True	If a Credit element (with its DUIS meaning) is present	006D	Yes
2.1	GSME	True	True	006F	Yes
2.1	ESME	True	True	00DE	Yes
2.2	ESME	True	True	0007	Yes
2.2	GSME	True	True	0097	Yes
2.3	ESME	True	True	001E	No
2.3	GSME	True	True	006E	No
2.5	ESME	True	True	0020	No
2.5	GSME	True	True	0070	No

Service Reference Variant	Type of target Device as recorded in the SMI	Condition 1	Condition 2	GBCSHexadecimalMessageCode	Timestamp required in SMETS1 Response Header?
3.2	ESME	True	True	0022	No
3.2	GPF	True	True	0072	No
3.3	GSME or GPF	True	True	0015	No
3.3	ESME	True	True	0024	No
4.1.1	ESME	True	True	0027	Yes
4.1.1	GSME or GPF	True	True	0074	Yes
4.1.2	ESME	True	True	0029	Yes
4.1.2	GSME or GPF	True	True	00B6	Yes
4.1.3	ESME	True	True	002A	Yes
4.1.4	GSME or GPF	True	True	00B8	Yes
4.2	ESME	True	True	0026	Yes
4.3	ESME	True	True	002D	Yes
4.3	GSME or GPF	True	True	0075	No
4.4.2	ESME	True	True	002F	No
4.4.2	GSME or GPF	True	True	00C3	No
4.4.3	ESME	True	True	0030	No
4.4.3	GSME or GPF	True	True	0076	No
4.4.4	ESME	True	True	002E	No
4.4.4	GSME or GPF	True	True	00C4	No
4.4.5	GSME or GPF	True	True	00C5	No
4.4.5	ESME	True	True	00C9	No
4.6.1	ESME	True	True	0033	No
4.6.1	GSME or GPF	True	True	0077	No
4.8.1	ESME	True	True	0037	No
4.8.1	GSME or GPF	True	True	0078	No
4.8.2	ESME	True	True	0038	No
4.8.3	ESME	True	True	0036	No
4.10	ESME	True	True	0039	Yes
4.10	GSME	True	True	0079	No
4.11.1	ESME	True	True	003A	No
4.11.1	GSME or GPF	True	True	009F	No
4.13	ESME	True	True	003B	No



Service Reference Variant	Type of target Device as recorded in the SMI	Condition 1	Condition 2	GBCSHexadecimalMessageCode	Timestamp required in SMETS1 Response Header?
4.13	GSME or GPF	True	True	00B5	No
4.15	ESME	True	True	0042	No
4.16	ESME	True	True	0028	Yes
4.18	ESME	True	True	0069	No
4.18	GSME or GPF	True	True	008D	No
6.2.1	ESME	True	True	003C	No
6.2.3	ESME	True	True	00D9	No
6.2.3	GSME or GPF	True	True	00DA	No
6.2.4	ESME	True	True	00F9	No
6.2.4	CHF	True	True	00FA	No
6.2.4	GSME or GPF	True	True	00FB	No
6.2.5	ESME	True	True	0040	No
6.2.8	GSME	True	True	007B	No
6.2.9	ESME	True	True	00BE	No
6.2.9	GSME or GPF	True	True	00BF	No
6.4.1	ESME	True	True	0043	Yes
6.4.2	ESME	True	True	0044	No
6.5	ESME	If RMSVoltageCountersNotReset (with its DUIS meaning) is not present	True	0045	No
6.5	ESME	If RMSVoltageCountersNotReset (with its DUIS meaning) is present	True	00D1	No
6.6	GSME	True	True	007C	No
6.7	GSME	True	True	007D	No
6.8	ESME	True	True	00D7	No
6.8	GSME	True	True	00D8	No
6.11	ESME	True	True	0062	No
6.11	GSME	True	True	007F	No
6.12	ESME	True	True	0047	No
6.13	GSME	If LogToRead (with its DUIS meaning) has the value 'Event'	True	0014	No

<b>Service Reference Variant</b>	<b>Type of target Device as recorded in the SMI</b>	<b>Condition 1</b>	<b>Condition 2</b>	<b>GBCSHexadecimalMessageCode</b>	<b>Timestamp required in SMETS1 Response Header?</b>
6.13	ESME	If LogToRead (with its DUIS meaning) has the value 'Event'	True	0048	No
6.13	ESME	If LogToRead (with its DUIS meaning) has the value 'Security'	True	0049	No
6.13	GSME	If LogToRead (with its DUIS meaning) has the value 'Security'	True	00A1	No
6.13	CHF	If LogToRead (with its DUIS meaning) has the value 'Event'	True	0093	No
6.13	CHF	If LogToRead (with its DUIS meaning) has the value 'Security'	True	0094	No
6.13	GPF	If LogToRead (with its DUIS meaning) has the value 'Event'	True	0014	No
6.13	GPF	If LogToRead (with its DUIS meaning) has the value 'Security'	True	00A1	No
6.13	GPF	If LogToRead (with its DUIS meaning) has the value 'GSMEEvent'	True	0014	No
6.13	GPF	If LogToRead (with its DUIS meaning) has the value 'GSMESecurity'	True	00A1	No
6.15.1	ESME or GPF	If RemotePartyRole (with its DUIS meaning) has the value 'NetworkOperator'	True	0103	Yes
6.15.1	ESME, GPF or GSME	If RemotePartyRole (with its DUIS meaning) has the value 'Supplier'	True	0102	Yes

Service Reference Variant	Type of target Device as recorded in the SMI	Condition 1	Condition 2	GBCSHexadecimalMessageCode	Timestamp required in SMETS1 Response Header?
6.21	ESME or GPF	If RemotePartyRole (with its DUIS meaning) has the value 'NetworkOperator'	True	0103	Yes
6.21	ESME, GPF or GSME	If RemotePartyRole (with its DUIS meaning) has the value 'Supplier'	True	0102	Yes
6.23	ESME, GPF or GSME	True	True	0107	Yes
6.24.1	ESME, GPF or GSME	True	True	0008	No
6.25	ESME	True	True	0068	No
7.1	ESME	True	True	004F	No
7.2	ESME	True	True	0050	No
7.2	GSME	True	True	0081	No
7.3	ESME	True	True	0051	No
7.3	GSME	True	True	0085	No
7.4	ESME	True	True	0052	No
7.4	GSME or GPF	True	True	0082	No
8.1.1	ESME	True	True	0062	No
8.1.1	GSME	True	True	007F	No
8.7.1	ESME	True	True	000D	No
8.7.1	GSME	True	True	00AF	No
8.7.2	ESME, GSME or GPF	True	True	000E	No
8.7.2	PPMID	If OtherDeviceID (with its DUIS meaning) contains a DeviceID which the SMI records as an ESME	True	00AB	No
8.7.2	PPMID	If OtherDeviceID (with its DUIS meaning) contains a DeviceID which the SMI records as an GSME	True	00AF	No
8.8.1	ESME or GSME	True	True	000F	No
8.8.2	PPMID	True	True	000F	No

Service Reference Variant	Type of target Device as recorded in the SMI	Condition 1	Condition 2	GBCSHexadecimalMessageCode	Timestamp required in SMETS1 Response Header?
8.8.2	ESME, GSME or GPF	True	True	0010	No
8.9	CHF	True	True	010F	No
8.11	CHF	If RequestType (with its DUIS meaning) is 'Add'.	True	0001	No
8.11	CHF	If RequestType (with its DUIS meaning) is 'Remove'	True	0002	No
11.2	ESME or CHF	True	True	0059	No
11.2	GSME	True	True	0084	No
11.3	ESME, GSME or CHF	True	True	0012	Yes

**Table 3**

## **10 Timestamp**

- 10.1 Where an S1SP populates any Timestamp field (with its Message Mapping Catalogue meaning) in a SMETS1 Alert or a SMETS1 Response, the S1SP shall populate the field with a UTC value. The S1SP shall, if a corresponding timestamp is provided by the corresponding SMETS1 Device, base the value on the timestamp provided by the Device and shall, if a corresponding timestamp is not provided by the corresponding SMETS1 Device, base the value on the S1SP Time at the time the field is populated. Each S1SP shall ensure that its S1SP Time is accurate to within ten seconds of UTC.

## **11 Execution Counters**

- 11.1 The DCC and each S1SP shall:

- (a) maintain, for each SMETS1 Device of a Device Type in Table 4, a series of integers (the value of each of which shall be between 0 and  $(2^{64} - 1)$  inclusive) as required by Table 4 dependent upon the type of the Device, as recorded in the Smart Metering Inventory (each such number being known as an "Execution Counter"); and

- (b) before processing the first Service Request targeted at a specific SMETS1 Device, set all Execution Counters related to that SMETS1 Device to 0 except for the Execution Counters related to ‘Update Device Security Credentials (KRP) (SRV 6.15.1)’ which shall be set to ( $2^{64} - 1$ ).

Type of SMETS1 Device	Required Execution Counters
SMETS1 CHF	One for the ‘Activate Firmware (SRV 11.3)’ Service Request
SMETS1 ESME	<p>One for each type of Critical Service Request which can be targeted at the Device (where type is defined by the Service Reference Variant value), excluding the ‘Update Device Security Credentials (KRP) (SRV 6.15.1)’.</p> <p>Two for the ‘Update Device Security Credentials (KRP) (SRV 6.15.1)’ Service Request:</p> <ul style="list-style-type: none"> <li>• One for use where RemotePartyRole is Supplier (with their DUIS meanings); and</li> <li>• One for use where RemotePartyRole is NetworkOperator (with their DUIS meanings).</li> </ul> <p>Two for the ‘Request Handover of DCC Controlled Device (SRV 6.21)’ Service Request:</p> <ul style="list-style-type: none"> <li>• One for use where RemotePartyRole is Supplier (with their DUIS meanings); and</li> <li>• One for use where RemotePartyRole is NetworkOperator (with their DUIS meanings).</li> </ul> <p>One for the ‘Top Up Device (SRV 2.2)’ Service Request</p>
SMETS1 GSME	<p>One for each Critical Service Request which can be targeted at the Device</p> <p>One for the ‘Request Handover of DCC Controlled Device (SRV 6.21)’ Service Request: which, for clarity, shall only be for use where RemotePartyRole is Supplier (with their DUIS meanings); and</p> <p>One for the ‘Top Up Device (SRV 2.2)’ Service Request</p>

Type of SMETS1 Device	Required Execution Counters
SMETS1 GPF	<p>Two for the 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request:</p> <ul style="list-style-type: none"> <li>• One for use where RemotePartyRole is Supplier (with their DUIS meanings); and</li> <li>• One for use where RemotePartyRole is NetworkOperator (with their DUIS meanings).</li> </ul> <p>Two for the 'Request Handover of DCC Controlled Device (SRV 6.21)' Service Request:</p> <ul style="list-style-type: none"> <li>• One for use where RemotePartyRole is Supplier (with their DUIS meanings); and</li> <li>• One for use where RemotePartyRole is NetworkOperator (with their DUIS meanings).</li> </ul>
Other SMETS1 Device Types	None required

**Table 4**

11.2 The DCC and each S1SP shall:

- (a) maintain, for each User which has a User Role of Import Supplier or Gas Supplier, a series of 1,000 integers (the value of each of which shall be between 0 and  $(2^{64} - 1)$  inclusive) (each such number being known as a CoS Execution Counter); and
- (b) before processing the first Service Request from such a User, set the CoS Execution Counter related to that User to 0.

## 12 Replay

12.1 Where the DCC or an S1SP is required to ensure that a Service Request is not a Replay, it shall, in the following order:

- (a) Where the Service Request is not an 'Update Security Credentials (CoS) (SRV 6.23)' Service Request, confirm that the User ID in the BusinessOriginatorID field (with its DUIS meaning) in the Service Request is the Notified Critical Supplier ID or the Notified Critical Network

Operator ID (as the context requires) for the Device whose Device ID is in the BusinessTargetID field (with its DUIS meaning) in the Service Request. Where that test fails, the Service Request shall be a Replay, processing of subsequent checks under this Clause shall not be undertaken and, if the test is being undertaken by an S1SP, the S1SP shall send an S1SP Alert accordingly.

- (b) Where the Service Request is a 'Update Security Credentials (CoS) (SRV 6.23)' Service Request, confirm that the OriginatorCounter in the Service Request (with its DUIS meaning) is:
  - (i) greater than at least one of the CoS Execution Counter values that it holds for the sending User; and
  - (ii) is not equal to any of the CoS Execution Counter values that it holds for the sending User.

Where either of those tests fail, the Service Request shall be a Replay, and, if the tests are being undertaken by an S1SP, the S1SP shall send an S1SP Alert accordingly. Where both the tests succeed, the lowest of the CoS Execution Counter values for this User shall be replaced with the value of the OriginatorCounter in the Service Request (with its DUIS meaning).

- (c) Where the Service Request is either a 'Update Device Security Credentials (KRP) (SRV 6.15.1)' or a 'Request Handover of DCC Controlled Device (SRV 6.21)' Service Request, confirm that the OriginatorCounter in the Service Request (with its DUIS meaning) is strictly numerically greater than the Execution Counter held for the Device ID is in the BusinessTargetID field (with its DUIS meaning) and the same RemotePartyRole as in the Service Request (with its DUIS meaning). Where that test fails, the Service Request shall be a Replay and, if the test is being undertaken by an S1SP, the S1SP shall send an S1SP Alert accordingly. Where the test succeeds the relevant Execution Counter value shall be replaced with the value of the OriginatorCounter in the Service Request (with its DUIS meaning).
- (d) Where the Service Request is neither a 'Update Device Security Credentials (KRP) (SRV 6.15.1)' nor a 'Request Handover of DCC Controlled Device (SRV 6.21)' nor a 'Update Security Credentials (CoS) (SRV 6.23)' Service Request, confirm that the OriginatorCounter in the Service Request (with its DUIS meaning) is strictly numerically greater than the Execution Counter held for the Device ID is in the BusinessTargetID field (with its DUIS meaning) for this type of Service Request. Where that test fails, the Service Request shall be a Replay and, if the test is being undertaken by an S1SP, the S1SP shall send an S1SP Alert accordingly. Where the test succeeds the relevant Execution Counter value shall be

replaced with the value of the OriginatorCounter (with its DUIS meaning) in the Service Request.

### 13 **Configuration pre-requisites for operating a SMETS1 Device through the DCC**

- 13.1 Some SMETS1 Devices may support features which are additional to those required by SMETS1 and which cannot be configured through the DCC. Table 5 lays out a number of such features. Where a Device supports one or more of the features in Table 5, the User notifying the DCC of the Device's details for recording in the Smart Metering Inventory shall (where possible), before attempting to communicate with the Device via the DCC, ensure that any such features are configured according to the requirements of Table 5.

Feature	Type of Device	Required configuration
Privacy PIN which has to be entered to access SMETS information on the Device's user interface.	SMETS1 ESME, SMETS1 GSME	The Device shall be configured such that a PIN does not have to be entered to gain access to SMETS information on the Device's user interface, and such that the Energy Consumer cannot subsequently set such a PIN.
'Blacklisting' of access to the HAN	SMETS1 CHF	The Device shall be configured so that only 'whitelisted' Devices recorded on the SMI as being associated with the SMETS1 CHF can have access to the HAN, and that access is not controlled via any 'blacklisting' mechanism.
Configuration of actions to be taken on the occurrence of SMETS1 Mandated Events.	SMETS1 ESME, SMETS1 GSME	The Device shall be configured so that a Security Log or Event Log entry is created on occurrence of the SMETS1 Mandated Event where required by Table 2 and information is capable of being provided by the Device to the relevant S1SP to allow the S1SP to create any corresponding SMETS1 Alert, where required by Table 2.
Additional functionality not meant for Energy Consumer use, such as that available for installing engineers.	Any SMETS1 Device	The Device shall be configured so that Energy Consumers cannot access such facilities so for example, including the setting of any associated PINs or passwords so that they do not have default values.
Supplier name and / or contact details that may be visible to the Energy Consumer	Any SMETS1 Device	The Device shall be configured so that such values are either blank or not capable of being displayed to the Energy Consumer.
MPAN (ESME) or MPRN (GSME) whose value can be accessed via the Device's user interface or via the home area network.	SMETS1 ESME, SMETS1 GSME	The Device shall be configured so that such values are either blank or correctly identify the Metering Point for which the Smart Meter in question is measuring supply of energy in to the Premises.



Display of Currency Units	SMETS1 ESME SMETS1 GSME SMETS1 IHD SMETS1 PPMID	The Device shall be configured so that Currency Units (with its SMETS1 meaning) are displayed as GBP.
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**Table 5**

## **14 Remote Party Role**

- 14.1 Where a Remote Party Role Code is required in a Certificate or a Certificate Signing Request, the DCC shall use the Remote Party Role of 's1SPxmlSigning' (with its meaning in the Organisation Certificate Policy) when the Certificate or Certificate Signing Request relates to the DCC acting in the role of an S1SP.

## **15 SMETS1 Data Items**

- 15.1 When a User creates a SMETS1 Service Request containing a field in Table 6, the User shall populate that field according to the meanings in Table 6.

<b>DUIS / Message Mapping Catalogue field</b>	<b>Meaning of values</b>
SuspendDebtDisabled	For an ESME: <ul style="list-style-type: none"> <li><b>true:</b> shall mean that, if the Supply is Disabled (with its SMETS1 Meaning) pursuant to SMETS1 5.3.6.2, then the ESME shall suspend Time-based Debt Recovery.</li> <li><b>false:</b> shall mean that, if the Supply is Disabled (with its SMETS1 Meaning) pursuant to SMETS1 5.3.6.2, then the ESME shall continue with Time-based Debt Recovery</li> </ul> For a GSME: <ul style="list-style-type: none"> <li><b>true:</b> shall mean that, if the Supply is Disabled (with its SMETS1 Meaning) pursuant to SMETS1 4.3.6.2, then the GSME shall suspend Time-based Debt recovery.</li> <li><b>false:</b> shall mean that, if the Supply is Disabled (with its SMETS1 Meaning) pursuant to SMETS1 4.3.6.2, then the GSME shall continue with Time-based Debt Recovery</li> </ul>
SuspendDebtEmergency	<ul style="list-style-type: none"> <li><b>true:</b> shall mean that, if Emergency Credit (with its SMETS1 Meaning) is in use, then the Device shall suspend Time-based Debt Recovery.</li> </ul>

	<ul style="list-style-type: none"> <li>• <b>false</b>: shall mean that, if Emergency Credit (with its SMETS1 Meaning) is in use, then the Device shall continue with Time-based Debt Recovery</li> </ul>
SupplyDepletionState	<ul style="list-style-type: none"> <li>• <b>Locked</b>: shall have the SMETS1 meaning of Disabled</li> <li>• <b>Unchanged</b>: shall have the SMETS1 meaning of Unchanged</li> </ul>
SupplyTamperState	<ul style="list-style-type: none"> <li>• <b>Locked</b>: shall have the SMETS1 meaning of Disabled</li> <li>• <b>Unchanged</b>: shall have the SMETS1 meaning of Unchanged</li> </ul>

**Table 6**

15.2 When an S1SP creates a SMETS1 Service Response containing a field in Table 6, the S1SP shall populate that field according to the meanings in Table 6.

15.3 When an S1SP creates a SMETS1 Service Response containing a field in Table 7, the S1SP shall populate that field according to the meanings in Table 7.

Message Mapping Catalogue field	Meaning
AccumlatedDebtRegister within ReadInstantaneousPrepayValuesRsp	Shall populate the field according to the SMETS1 meaning of Accumulated Debt Register, with the value being an integer value in thousandths of pence. For clarity, this is different that the SMETS2+ meaning of the same term.
AccumlatedDebtRegister within PrepaymentOperationalData	Shall populate the field according to the SMETS1 required Billing Data Log recording of the Accumulated Debt Register value, with the value being an integer value in thousandths of pence. For clarity, this is different that the SMETS2+ meaning of the same term.
Value within ConsumptionRegister	Shall populate the field according to the SMETS1 meaning of Total Consumption Register, with the value being a decimal number in metres cubed
GasActiveImportRegisterConsumption	Shall populate the field according to the SMETS1 required Daily Read Log copy of the Total Consumption Register, with the value being a decimal number in metres cubed
PrimaryValue within Gas	Shall populate the field according to the SMETS1 required Profile Data Log copy of the Total Consumption Register, with the value being a decimal number in metres cubed
Value within ActiveImportRegister	Shall populate the field according to the SMETS1 meaning of Total Active Import Register with the value being an integer number of watt hours (Wh).
ElecActiveImportRegisterConsumption	Shall populate the field according to the SMETS1 required Daily Read Log copy of the Total Active Import Register with the value being an integer number of watt hours (Wh).
PrimaryValue within Electricity	Shall populate the field according to the SMETS1 required Profile Data Log copy of the Total Active Import Register with the value being an integer number of watt hours (Wh).

Message Mapping Catalogue field	Meaning
Value within ReactiveImportRegister	Shall populate the field according to the SMETS1 Total Reactive Import Register with the value being an integer number of volt-amperes reactive hours (varh).
ReactiveEnergyImportedValue	Shall populate the field according to the SMETS1 required Profile Data Log copy of the Total Reactive Import Register with the value being an integer number of watt hours (Wh).
Value within ActiveExportRegister	Shall populate the field according to the SMETS1 meaning of Total Active Export Register with the value being an integer number of volt-amperes reactive hours (varh).
ActiveEnergyExportedValue	Shall populate the field according to the SMETS1 required Profile Data Log copy of the Total Active Export Register with the value being an integer number of watt hours (Wh).
Value within ReactiveExportRegister	Shall populate the field according to the SMETS1 meaning of Total Reactive Export Register with the value being an integer number of volt-amperes reactive hours (varh).
ReactiveEnergyExportedValue	Shall populate the field according to the SMETS1 required Profile Data Log copy of the Total Reactive Export Register with the value being an integer number of volt-amperes reactive hours (varh).
SupplyState	<p><b>Enabled</b> shall mean:</p> <ul style="list-style-type: none"> <li>• For a SMETS1 GSME, a Valve State of opened (with SMETS1 meanings); and</li> <li>• For a SMETS1 ESME, a Load Switch State of closed (with SMETS1 meanings)</li> </ul> <p><b>Disabled</b> shall mean:</p> <ul style="list-style-type: none"> <li>• For a SMETS1 GSME, a Valve State of closed (with SMETS1 meanings); and</li> <li>• For a SMETS1 ESME, a Load Switch State of opened (with SMETS1 meanings)</li> </ul> <p><b>Armed</b> shall mean:</p> <ul style="list-style-type: none"> <li>• For a SMETS1 GSME, a Valve State of armed (with SMETS1 meanings); and</li> <li>• For a SMETS1 ESME, a Load Switch State of armed (with SMETS1 meanings)</li> </ul>

**Table 7**

**16    OTA Header, Upgrade Image, OTA Upgrade Image and Authorising Remote Party Signature.**

16.1    In relation to a Manufacturer Image which is to be sent to a Device, the Authorising Remote Party Signature shall be the Digital Signature generated across the Manufacturer Image using the private key associated with the Certificate identified by the Device's Notified Critical Supplier Certificate ID. Therefore the Authorising Remote Party Signature within an Upgrade Image can be checked (i.e. a Check Cryptographic Protection step may be carried out) using the Manufacturer Image and the Public Key in the Certificate identified by the Device's Notified Critical Supplier Certificate ID.

16.2    Upgrade Image shall be the concatenation:

Manufacturer Image || 0x0040 || Authorising Remote Party Signature

16.3    For clarity, each Manufacturer Image that is capable of being distributed using an Update Firmware Service Request must have an associated Certified Products List entry containing a number of values. The OTA Header associated with that same Manufacturer Image shall be an octet string constructed using the values from that associated Certified Products List entry in the way specified in Table 8, except that the OTA Header requires, in line with the ZigBee OTA specification, elements to be 'little endian'. Where Devices of this Device Model support the ZigBee OTA Specification, the values used shall also align to the corresponding values used by such Devices in OTA firmware related processing.

<b>ZigBee OTA Message Element</b>	<b>Contents</b>	<b>Length (octets)</b>	<b>Note</b>
OTA upgrade file identifier	0x0BEEF11E	4	
OTA Header version	0x0100	2	
OTA Header length	0x003C	2	
OTA Header Field control	0x0004	2	
Manufacturer code	Value of manufacturer_identifier in the associated Certified Products List entry (with its Certified Products List meaning)	2	Identifies the Manufacturer producing the Manufacturer Image
Image type	Value of model_identifier in the associated Certified Products List entry (with its Certified Products List meaning)	2	As per the ZigBee OTA specification, this is to differentiate products from the same Manufacturer
File version	Value of firmware_version in the associated Certified Products List entry (with its Certified Products List meaning)	4	As per the ZigBee OTA specification, this is to differentiate release and build numbers for the product in question
ZigBee Stack version	0x0002	2	
OTA Header string	Manufacturer specific	32	May be used but is not required to be used in Device processing of the Manufacturer Image
Total Image size (including header)	The length in octets of OTA Upgrade Image	4	Contents to be interpreted as an unsigned integer
Minimum hardware version	Value of hardware_version.version    hardware_version.revision  in the associated Certified Products List entry (with their Certified Products List meanings)	2	
Maximum hardware version	Value of hardware_version.version    hardware_version.revision  in the associated Certified Products List entry (with their Certified Products List meanings)	2	

**Table 8**

16.4 OTA Upgrade Image shall be the concatenation:

OTA Header || Upgrade Image

16.5 For each SMETS1 CHF, each SMETS1 ESME and each SMETS1 GSME with which the S1SP has (in each case) established communication, the S1SP shall maintain a Most Recently Verified Manufacturer Image Hash which shall be a 256-bit integer value and which shall be set to the value zero prior to the time at which the S1SP first communicates with the Device in question.

## **17 Processing SMETS1 Service Requests**

17.1 The obligation on DCC to carry out Equivalent Steps when processing SMETS1 Service Requests shall be interpreted in light of the different requirements and device functionality set out in this document generally and in particular in this Clause [17](#). In each case, the text describing the modified processing to be undertaken by DCC (including, where appropriate the relevant S1SP) relates to the type of Service Request(s) identified by Service Reference Variant in the underlined heading immediately above the text.

### General

17.2 Where processing Service Requests that are requesting the reading of logs, and where, according to the Smart Metering Inventory, the target Device is a GSME, then the S1SP shall populate SMETS1 Responses using only data provided from the SMETS1 GSME and Unsupported Values in fields required by this Clause 17.

17.3 Where processing Service Requests for the reading of gas related information, and, according to the Smart Metering Inventory, the target Device is a GPF, then the S1SP shall populate SMETS1 Responses using any data available for the SMETS1 GSMS of which the SMETS1 GPF forms a part.

### Update Tariff (SRV 1.1.1) and Update Price (SRV 1.2.1)

17.4 SMETS1 Smart Meters are not required to support Currency Units as a Configuration Data Item (with their SMETS2 meanings). Therefore, the S1SP shall discard any value in the CurrencyUnits fields (with its DUIS meaning) when setting values on the Smart Meter as a result of such a Service Request.

For clarity, this discarding of values shall not result in an error in the SMETS1 Response.

- 17.5 For SMETS1 GSME, processing shall include the SMETS1 required capture of information in to the Billing Data Log (with its SMETS1 meaning), and so may therefore not include capturing a value for the Total Consumption Register (with its SMETS1 meaning).
- 17.6 For SMETS1 ESME, processing shall include the SMETS1 required capture of information in to the Billing Data Log (with its SMETS1 meaning), and so may therefore not include capturing values for the Total Active Import Register (with its SMETS1 meaning) or the Tariff TOU Block Register Matrix (with its SMETS2 meanings).

#### Adjust Meter Balance (SRV 1.5)

- 17.7 Where the Device is not capable of supporting Reset Meter Balance (with its SMETS2 meaning), the S1SP shall create a SMETS1 Response indicating failure.

#### Update Payment Mode (SRV 1.6)

- 17.8 Processing shall include the SMETS1 required capture of information in to the Billing Data Log (with its SMETS1 meaning), and so may therefore not include capturing values for the Total Consumption Register, Total Active Import Register or the Tariff TOU Block Register Matrix (with their SMETS1 / SMETS2 meanings).
- 17.9 Processing shall reflect the meanings of SuspendDebtDisabled and SuspendDebtEmergency in Clause 15.1.

#### Update Prepay Configuration (SRV 2.1)

- 17.10 As per DUIS, processing shall not include the setting of values equivalent to the MaxMeterBalance and MaxCreditThreshold (with their DUIS meanings) where the Device does not support such setting.

#### Top Up Device (SRV 2.2)

- 17.11 Processing shall be as specified for an Add Credit WAN Interface Command (with their SMETS1 meanings).

#### Update Debt (SRV 2.3)

17.12 Where any one or more of TimeBasedDebt1, TimeBasedDebt2 and PaymentDebtRegister values (with their DUIS meanings) is not zero, debt adjustment related processing shall be as specified for an Adjust Debt WAN Interface Command (with their SMETS1 meanings). Other processing shall be as for a SMETS2+ Device.

#### Activate Emergency Credit (SRV 2.5)

17.13 Processing shall be as specified for an Activate Emergency Credit WAN Interface Command (with their SMETS1 meanings). As for a SMETS2+ Device, if, after the Device has executed the associated instructions, emergency credit is activated on the Device then the S1SP shall return a SMETS1 Response indicating the Command executed successfully. This shall be so regardless of whether emergency credit was activated on the Device prior to the instruction's execution or not.

#### Restrict Access For Change Of Tenancy (SRV 3.2)

17.14 Where, according to the Smart Metering Inventory, the target Device is an ESME, processing shall be as specified for a SMETS1 ESMS Restrict Data WAN Interface Command (with their SMETS1 meanings).

17.15 Where, according to the Smart Metering Inventory, the target Device is a GPF, processing shall be as specified for a SMETS1 GSMS Restrict Data WAN Interface Command (with their SMETS1 meanings).

#### Clear Event Log (SRV 3.3)

17.16 Processing shall be as specified for a Clear Event Log WAN Interface Command (with their SMETS1 meanings) and, therefore, a corresponding Security Log entry (with its SMETS1 meaning) may not be created.

#### Read Instantaneous Import TOU With Blocks Matrices (4.1.3)



17.17 Where the Device is not capable of providing Tariff TOU Block Register Matrix values (with its SMETS2 meaning), the S1SP shall set the corresponding values in the SMETS1 Response to the relevant Unsupported Values.

Retrieve Change Of Mode / Tariff Triggered Billing Data Log (4.4.2) and Retrieve Billing Calendar Triggered Billing Data Log (SRV 4.4.3)

17.18 Where the Device is not capable of recording the Total Consumption Register or Total Active Import Register values (with their SMETS1 meanings) in such log entries, the S1SP shall set the corresponding values in the SMETS1 Response to the relevant Unsupported Values.

17.19 SMETS1 ESME are not required to record Tariff TOU Block Register Matrix values (with their SMETS2 meaning) but are required to record the Tariff Block Counter Matrix values in the Billing Data Log (with their SMETS1 meanings). Therefore, the S1SP shall, where the target Device is recorded as being an ESME in the Smart Metering Inventory, populate the TariffTOUBlock[1..4]RegisterMatrixValue values (with their Message Mapping Catalogue meaning) with the Tariff Block Counter Matrix values from the Billing Data Log (with their SMETS1 meanings).

17.20 For clarity, SMETS1 does not require the recording of additional prepayment values to the timetable set out in the Billing Calendar.

Retrieve Import Daily Read Log (SRV 4.6.1)

17.21 SMETS1 ESME are not required to record Tariff TOU Block Register Matrix values (with their SMETS2 meaning) but are required to record the Tariff Block Counter Matrix values in the Daily Read Log (with their SMETS1 meanings). Therefore, the S1SP shall, where the target Device is recorded as being an ESME in the Smart Metering Inventory, populate the TariffTOUBlock[1..4]RegisterMatrixValues values (with their Message Mapping Catalogue meaning) with the Tariff Block Counter Matrix values from the Daily Read Log (with their SMETS1 meanings).

17.22 For clarity, SMETS1 Smart Meters need only support 14 entries in this log.

Read Tariff Primary Element (SRV 4.11.1)

17.23 In populating a SMETS1 Response, the S1SP shall:

- (a) set CurrencyUnitsLabel to GBP and CurrencyUnitsName to Millipence (with their Message Mapping Catalogue meanings), since these values do not have to be supported by SMETS1 Devices;
- (b) set the value of PrimaryActiveTariffPrice and PrimaryActiveTariffPriceScale (with their Message Mapping Catalogue meanings) to the relevant Unsupported Value, so indicating that these values do not have to be supported by SMETS1 Devices;
- (c) Read Tariff Type (with its SMETS1 meaning) from the target SMETS1 Smart Meter to establish whether it is 'Time-of-use' or 'Time-of-use with Block' (with their SMETS1 meanings);
- (d) where the target Device is, according to the Smart Metering Inventory, a SMETS1 ESME:
  - (i) if Tariff Type is 'Time-of-use', then set the values in TariffTOUPriceMatrix to those read from the Device and the values in TariffBlockPriceMatrix (with their Message Mapping Catalogue meanings) to the relevant Unsupported Values, to denote which values are in use and so which Tariff Type; or
  - (ii) if Tariff Type is 'Time-of-use with Block', then set the values in TariffBlockPriceMatrix to those read from the Device and the values in TariffTOUPriceMatrix (with their Message Mapping Catalogue meanings) to the relevant Unsupported Values, to denote which values are in use and so which Tariff Type; and
- (e) where the target Device is, according to the Smart Metering Inventory, a SMETS1 GSME:
  - (i) if Tariff Type is 'Time-of-use', then set the values in TOUTariff to those read from the Device and omit the BlockTariff element (with their Message Mapping Catalogue meanings), to denote which values are in use and so which Tariff Type; or
  - (ii) if Tariff Type is 'Time-of-use with Block', then set the values in BlockTariff to those read from the Device and omit the TOUTariff element (with their Message Mapping Catalogue meanings), to denote which values are in use and so which Tariff Type.

17.24 Where the SMETS1 Device does not support the setting of values equivalent to the MaxMeterBalance or MaxCreditThreshold values (with their Message Mapping Catalogue meanings), then the S1SP shall, in populating a SMETS1 Response, set the values to the relevant Unsupported Value.

Read Load Limit Data (SRV 4.15)

17.25 A SMETS1 ESME cannot support a Load Limit Period (with its SMETS2 meaning) since the equivalent period is fixed at 30 seconds in SMETS1. Therefore, when populating a SMETS1 Response the S1SP shall set the LoadLimitPeriod (with its Message Mapping Catalogue meaning) to the relevant Unsupported Value.

17.26 A SMETS1 ESME cannot support a Load Limit Restoration Period (with its SMETS2 meaning) since there is no equivalent SMETS1 functionality. Therefore, when populating a SMETS1 Response, the S1SP shall set the LoadLimitRestorationPeriod (with its Message Mapping Catalogue meaning) to the relevant Unsupported Value.

Read Network Data (SRV 4.10)

17.27 A SMETS1 ESME is only required to support setting of Average RMS Voltage Measurement Period (with its SMETS1 meaning) in minutes, whereas the SMETS2 equivalent can be set in seconds. Therefore, where the SMETS1 ESME does not support setting to a resolution of seconds, the value in the MeasurementPeriod within AvgRMSVoltageProfileDataLog (with their Message Mapping Catalogue meanings) may be a multiple of 60 rather than the number of seconds requested in a prior 'Update Device Configuration (Voltage) (SRV 6.5)' Service Request.

Read Device Configuration (Identity Exc MPxN) (SRV 6.2.4)

17.28 In populating the SMETS1 Response, the S1SP shall:

- (a) not include MeterVariant or ModelType fields (with their Message Mapping Catalogue meanings), since those do not have to be supported by SMETS1 Devices; and
- (b) only include ManufacturerIdentifier (with its Message Mapping Catalogue meaning) where the target SMETS1 Device has a Device Identifier

(with its SMETS1 meaning) and, in this case, set the value of ManufacturerIdentifier (with its Message Mapping Catalogue meaning) to be the value returned by the target Device for its Device Identifier (with its SMETS1 meaning).

#### Read Device Configuration (Gas) (SRV 6.2.8)

17.29 Where the SMETS1 Device does not support the setting of values equivalent to the StabilisationPeriod or MeasurementPeriod values (with their Message Mapping Catalogue meanings), then the S1SP shall, in populating a SMETS1 Response, set the values to the relevant Unsupported Value.

#### Update Device Configuration (Load Limiting General Settings) (SRV 6.4.1)

17.30 As noted in Clauses 17.25 and 17.26, a SMETS1 ESME cannot support either a Load Limit Period (with its SMETS2 meaning) or a Load Limit Restoration Period (with its SMETS2 meaning). Therefore, the S1SP shall discard any values in the LoadLimitPeriod or LoadLimitRestorationPeriod fields (with their DUIS meanings) when setting values on the SMETS1 ESME as a result of such a Service Request. For clarity, this discarding of values shall not result in an error in the SMETS1 Response.

#### Update Device Configuration (Voltage) (SRV 6.5)

17.31 A SMETS1 ESME is only required to support setting of Average RMS Voltage Measurement Period (with its SMETS1 meaning) in minutes, whereas the SMETS2 equivalent can be set in seconds. Therefore, where the target SMETS1 ESME does not support setting to a resolution of seconds, the S1SP shall divide the value in the AverageRMSVoltageMeasurementPeriod (with its DUIS meaning) by 60, round up to the nearest integer and set the value of the Average RMS Voltage Measurement Period (with its SMETS1 meaning) to the integer number of minutes so calculated.

#### Update Device Configuration (SRV 6.7)

17.32 Where a SMETS1 GSME supports the setting of values equivalent to the StabilisationPeriod or MeasurementPeriod values (with their Message Mapping Catalogue meanings), then the S1SP shall instruct the Device to set such values. Where the Device does not support setting of such values, the S1SP cannot send such instructions to the Device and therefore shall not do so.

#### Read Event Or Security Log (SRV 6.13)

17.33 For clarity, in populating the SMETS1 Response, the S1SP shall comply with the requirements of Clause 8.

#### Update Security Credentials (KRP) (SRV 6.15.1)

17.34 The S1SP shall undertake the processing required by Clause [17](#). For clarity, since the Service Request is not to effect a change of control, any value in the RemotePartyFloorSequenceNumber field shall be discarded.

#### Update Security Credentials (CoS) (SRV 6.23)

17.35 Where the DCC has successfully authenticated the Service Request, the DCC shall then set all the Execution Counters required by Table 9 for the target Device (and for a SMETS1 ESME, the Associated SMETS1 CHF), according to the Device Type recorded for that target Device in the Smart Metering Inventory, to the value in the SupplierFloorSequenceNumber field.

17.36 The S1SP shall undertake the processing required by Clause [17](#). Where that processing is successful, the S1SP shall then set all the Execution Counters required by Table 9 for the target Device (and for a SMETS1 ESME, the Associated SMETS1 CHF), according to the Device Type recorded for that target Device in the Smart Metering Inventory, to the value in the SupplierFloorSequenceNumber field.

Type of SMETS1 Device	Execution Counters
SMETS1 ESME	Those for each Critical Service Request which can be targeted at the Device, excluding the 'Update Device Security Credentials (KRP) (SRV 6.15.1);  That for the 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is Supplier (with their DUIS meanings);  That for the 'Top Up Device (SRV 2.2)' Service Request; and  In relation to the SMETS1 CHF which is Associated with the SMETS1 ESME, that for the 'Activate Firmware (SRV 11.3)' Service Request.

SMETS1 GSME	Those for each Critical Service Request which can be targeted at the Device; and That for the 'Top Up Device (SRV 2.2)' Service Request.
SMETS1 GPF	That for the 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is Supplier (with their DUIS meanings).

**Table 9**

Retrieve Device Security Credentials (KRP) (SRV 6.24.1)

17.37 If (with their DUIS meanings):

- (a) RemotePartyRole is Supplier and there is no recorded Notified Critical Supplier ID for the target Device; or
- (b) RemotePartyRole is NetworkOperator and there is no recorded Notified Critical Network Operator ID for the target Device; or
- (c) RemotePartyRole is neither NetworkOperator nor Supplier

then the S1SP shall populate the SMETS1 Response with a single instance of RemotePartyDetails where the RemotePartyRole is as per the Service Request, StatusCode is trustAnchorNotFound and all other fields are omitted. Only otherwise shall the S1SP undertake the processing required in Clause 17.38 or 17.40 as required by RemotePartyRole.

17.38 Where RemotePartyRole is Supplier (with their DUIS meanings), the S1SP shall populate the SMETS1 Response as follows:

- (a) populate a first instance of RemotePartyDetails where CertificateUsage is DigitalSigning, ExistingCertificateHash is that from the Certificate identified by Notified Critical Supplier Certificate ID, ExistingRemotePartyId is the Notified Critical Supplier ID, StatusCode is success and RemotePartyFloorSeqNumber has the value of the Execution Counter for 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is Supplier (with their DUIS meanings); and

- (b) populate a second instance of RemotePartyDetails where CertificateUsage is KeyAgreement, ExistingCertificateHash is that from the Certificate identified by Notified Non-Critical Supplier Certificate ID, ExistingRemotePartyId is the Notified Non-Critical Supplier ID, StatusCode is success and RemotePartyFloorSeqNumber has the value of the Execution Counter for 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is Supplier (with their DUIS meanings).

17.39 Where RemotePartyRole is NetworkOperator (with their DUIS meanings), the S1SP shall populate the SMETS1 Response as follows:

- (a) populate a first instance of RemotePartyDetails where CertificateUsage is DigitalSigning, ExistingCertificateHash is that from the Certificate identified by Notified Critical Network Operator Certificate ID, ExistingRemotePartyId is the Notified Critical Network Operator ID, StatusCode is success and RemotePartyFloorSeqNumber has the value of the Execution Counter for 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is NetworkOperator (with their DUIS meanings); and
- (b) populate a second instance of RemotePartyDetails where CertificateUsage is KeyAgreement, ExistingCertificateHash is that from the Certificate identified by Notified Non-Critical Network Operator Certificate ID, ExistingRemotePartyId is the Notified Non-Critical Network Operator ID, StatusCode is success and RemotePartyFloorSeqNumber has the value of the Execution Counter for 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is NetworkOperator (with their DUIS meanings).

#### Request Handover Of DCC Controlled Device (SRV 6.21)

17.40 The S1SP shall undertake the processing required by Clause [17](#). Where that processing is successful, the S1SP shall then set Execution Counter values it holds in relation to the target Device according to the requirements of Table 10 and the Device Type recorded for the target Device in the Smart Metering Inventory. Where the DCC receives the resulting SMETS1 Response indicating success, the DCC shall set Execution Counter values it holds in relation to the target Device according to the requirements of Table 10 and the Device Type recorded for the target Device in the Smart Metering Inventory.

Type of SMETS1 Device and RemotePartyRole	Required Execution Counter settings

SMETS1 ESME or SMETS1 GSME or SMETS1 GPF where RemotePartyRole is Supplier (with their DUIS meanings)	<p>That for the 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is Supplier (with their DUIS meanings), shall be set to zero; and</p> <p>That for the 'Request Handover of DCC Controlled Device (SRV 6.21)' Service Request, for use where RemotePartyRole is Supplier (with their DUIS meanings), shall be set to <math>(2^{64} - 1)</math>.</p>
SMETS1 ESME or SMETS1 GPF where RemotePartyRole is NetworkOperator (with their DUIS meanings)	<p>That for the 'Update Device Security Credentials (KRP) (SRV 6.15.1)' Service Request, for use where RemotePartyRole is NetworkOperator (with their DUIS meanings), shall be set to zero; and</p> <p>That for the 'Request Handover of DCC Controlled Device (SRV 6.21)' Service Request, for use where RemotePartyRole is NetworkOperator (with their DUIS meanings), shall be set to <math>(2^{64} - 1)</math>.</p>

**Table 10**

#### Enable Supply (SRV 7.1)

17.41 For clarity, this command in SMETS1 is unconditional unlike in SMETS2. Therefore, the Service Request may result in supply being enabled on a SMETS1 Smart Meter when it would not be enabled on a SMETS2 Smart Meter which is in the same state.

#### Arm Supply (SRV 7.3)

17.42 On receipt of this Service Request, the S1SP shall create and send a SMETS1 Arm Valve (for SMETS1 GSME) or a SMETS1 Arm Load Switch (for SMETS1 ESME) command. For clarity, these commands in SMETS1 are unconditional unlike the equivalent command in SMETS2. Therefore, the Service Request may result in supply being armed on a SMETS1 Smart Meter when it would not be armed on a SMETS2 Smart Meter which is in the same state.

#### Join Service (Critical) (SRV 8.7.1) and Join Service (Non-Critical) (SRV 8.7.2)

17.43 If, according to the information held by the S1SP, the Devices identified by OtherDeviceID and by BusinessTargetID (with their DUIS meanings) are not both on the same SMETS1 CHF's 'SMETS1 CHF Device Log', the S1SP shall create a SMETS1 Response indicating failure; otherwise the S1SP shall create a SMETS1 Response indicating success.



Unjoin Service (Critical) (SRV 8.8.1) and Unjoin Service (Non-Critical) (SRV 8.8.2)

17.44 The S1SP shall create a SMETS1 Response indicating success.

Read Device Log (SRV 8.9)

17.45 In populating the SMETS1 Response, the S1SP shall:

- (a) include a CHFDeviceLogEntry (with its Message Mapping Catalogue meaning) for the SMETS1 GPF which is within the same SMETS1 CH as the SMETS1 CHF to which this Service Request is targeted;
- (b) include a CHFDeviceLogEntry (with its Message Mapping Catalogue meaning) for the SMETS1 ESME which is within the same SMETS1 ESMS as the SMETS1 CHF to which this Service Request is targeted; and
- (c) include, except for the SMETS1 ESME, a CHFDeviceLogEntry (with its Message Mapping Catalogue meaning) for each SMETS1 Device which communicates via the home area network using ZigBee Alliance standards managed by the SMETS1 CHF to which this Service Request is targeted.

17.46 This set of Devices for which CHFDeviceLogEntry (with its Message Mapping Catalogue meaning) would be created shall be referred to as the SMETS1 CHF's 'SMETS1 CHF Device Log'.

17.47 In populating a CHFDeviceLogEntry (with its Message Mapping Catalogue meaning) in a SMETS1 Response for a particular Device, the S1SP shall:

- (a) set the value of DeviceID (with its Message Mapping Catalogue meaning) to the Device's Device ID, where that Device ID complies with Clause 3;
- (b) set the value of SubGHzLinkQuality (with its Message Mapping Catalogue meaning) to zero, meaning that the Device is not communicating on Sub GHz frequencies;
- (c) where the Device is not able to support the LastCommunicationsDateTime (with its Message Mapping Catalogue meaning) parameter, set the

value of that parameter to the relevant Unsupported Value, to indicate that it does not support that parameter; and

- (d) where the Device is able to support the LastCommunicationsDateTime (with its Message Mapping Catalogue meaning) parameter, set the value of that parameter to a value equivalent to that returned by the Device.

#### Update HAN Device Log (SRV 8.11)

17.48 Where RequestType is Add (with their DUIS meanings), the S1SP shall undertake processing in the following sequence stopping at the point at which it creates a SMETS1 Response:

- (a) where DeviceID in the UpdateHANDeviceLog element (with their DUIS meanings) is, according to the Smart Metering Inventory a SMETS1 GPF:
  - (i) if, according to the Smart Metering Inventory, the SMETS1 GPF is Associated with the target SMETS1 CHF, the S1SP shall create a SMETS1 Response indicating success; or
  - (ii) if, according to the Smart Metering Inventory, the SMETS1 GPF is not Associated with the target SMETS1 CHF, the S1SP shall create a SMETS1 Response indicating failure;
- (b) where DeviceID in the UpdateHANDeviceLog element (with their DUIS meanings) is, according to the Smart Metering Inventory a SMETS1 ESME which the S1SP knows does not require connection to a ZigBee Specification based home area network to communicate with the SMETS1 CHF:
  - (i) if, according to the S1SP's information, the SMETS1 ESME forms part of the same SMETS1 ESMS as the SMETS1 CHF, the S1SP shall create a SMETS1 Response indicating success; or
  - (ii) if, according to the S1SP's information, the SMETS1 ESME does not form part of the same SMETS1 ESMS as the SMETS1 CHF, the S1SP shall create a SMETS1 Response indicating failure;

- (c) where DeviceID in the UpdateHANDeviceLog element (with their DUIS meanings) does not meet the criteria at (a) or that at (b):
  - (i) if, according to the information available to S1SP, the Device is already on the SMETS1 CHF's 'SMETS1 CHF Device Log', the S1SP shall create a SMETS1 Response indicating success; or
  - (ii) if, according to the information held by the S1SP, the Device is already on another SMETS1 CHF's 'SMETS1 CHF Device Log', the S1SP shall create a SMETS1 Response indicating failure and indicating which other SMETS1 CHF the Device is Associated with; or
  - (iii) if the criteria at neither (i) nor (ii) are met, the S1SP shall:
    - (A) instruct the CHF to allow the Device identified by DeviceID (with its DUIS meaning) to join the home area network controlled by the SMETS1 CHF, using the InstallCode and JoinTimePeriod (with their DUIS meaning). For clarity, SMETS1 CHF may not support a configurable home area network joining period. Only where this is so, the S1SP shall discard any JoinTimePeriod value (with their DUIS meaning); and
    - (B) ascertain (allowing for any relevant latency in the joining process, including where relevant the JoinTimePeriod (with its DUIS meaning)) whether the Device identified by Device ID (with its DUIS meaning) has joined the home area network and so is able to communicate over it. If the Device is able to communicate over the home area network, the S1SP shall create a SMETS1 Response indicating success. If the Device is not able to communicate over the home area network, the S1SP shall create a SMETS1 Response indicating failure.

17.49 Where RequestType is Remove (with their DUIS meanings), the S1SP shall undertake processing in the following sequence stopping at the point at which it creates a SMETS1 Response:

- (a) where DeviceID in the UpdateHANDeviceLog element (with their DUIS meanings) is, according to the Smart Metering Inventory a SMETS1 GPF, then the S1SP shall create a SMETS1 Response indicating failure; or
- (b) where DeviceID in the UpdateHANDeviceLog element (with their DUIS meanings) is, according to the Smart Metering Inventory a SMETS1

ESME which the S1SP knows does not require connection to a ZigBee Specification based home area network to communicate with the SMETS1 CHF, then the S1SP shall create a SMETS1 Response indicating failure;

- (c) where DeviceID in the UpdateHANDeviceLog element (with their DUIS meanings) does not meet the criteria at (a) or that at (b):
  - (i) if, according to the information available to S1SP, the Device is not already on the SMETS1 CHF's 'SMETS1 CHF Device Log', then the S1SP shall create a SMETS1 Response indicating success; or
  - (ii) if, according to the information available to S1SP, the Device is on the SMETS1 CHF's 'SMETS1 CHF Device Log', then the S1SP shall:
    - (A) instruct the SMETS1 CHF to stop the Device identified by DeviceID (with its DUIS meaning) from having access to the home area network controlled by the SMETS1 CHF, and instruct the SMETS1 CHF to confirm whether it has stopped such access; and
    - (B) where the S1SP receives a response from the SMETS1 CHF detailing successful removal of access within a period allowing for latency in the process, the S1SP shall create a SMETS1 Response indicating success. Otherwise, the S1SP shall create a SMETS1 Response indicating failure.

#### Update Firmware (SRV 11.1)

17.50 On receipt of a firmware distribution request from the DCC, the S1SP shall, for each Device identified in that request confirm that the Device:

- (a) is one for which the S1SP is operationally responsible; and
- (b) is, according to the Smart Metering Inventory, of the same Device Model as is specified by the corresponding five values in the OTA Header.

17.51 The S1SP shall notify the DCC of the list of Device IDs which fail the check at 17.50, and the DCC shall send a DCC Alert to the User that sent the original 'Update Firmware' Service Request.

17.52 Where Devices of the Device Model identified by the OTA Header are capable of having the Manufacturer Image distributed to them without that causing

the firmware to activate, the S1SP shall, for each Device which passes the check at 17.50:

- (a) if the Notified Critical Supplier Certificate ID is the same as that of a previous Device being processed where a Check Cryptographic Protection of the Authorising Remote Party Signature for that previous Device had succeeded, then:
  - (i) the S1SP shall distribute the Manufacturer Image to the Device and instruct the Device to confirm when it has successfully received that image; and
  - (ii) where the S1SP receives a response from the Device detailing successful reception within a period allowing for latency in the process, the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Successful' for this Device's Device Type (according to the Smart Metering Inventory); otherwise, the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Failed' for this Device's Device Type (according to the Smart Metering Inventory);
- (b) if the Notified Critical Supplier Certificate ID is either (1) different than that of a previous Device being processed where a Check Cryptographic Protection of the Authorising Remote Party Signature for that previous Device had succeeded or (2) the same as that of a previous Device being processed where the check of Authorising Remote Party Signature for that previous Device had failed, then the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Failed' for this Device's Device Type (according to the Smart Metering Inventory); and
- (c) if no previous Device being processed has successfully passed the Check Cryptographic Protection of the Authorising Remote Party Signature, the S1SP shall undertake the check of Authorising Remote Party Signature for this Device using the Public Key in the Certificate identified by Notified Critical Supplier Certificate ID. If that check fails then the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Failed' for this Device's Device Type (according to the Smart Metering Inventory); otherwise:
  - (i) the S1SP shall distribute the Manufacturer Image to the Device and instruct the Device to confirm when it has successfully received that image; and
  - (ii) where the S1SP receives a response from the Device detailing successful reception within a period allowing for latency in the process, the

S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Successful' for this Device's Device Type (according to the Smart Metering Inventory); otherwise, the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Failed' for this Device's Device Type (according to the Smart Metering Inventory).

17.53 Where Devices of the Device Model identified by the OTA Header are not capable of having the Manufacturer Image distributed to them without that causing the firmware to activate, the S1SP shall retain the Manufacturer Image and, for each Device which passes the check at 17.50:

- (a) if the Notified Critical Supplier Certificate ID is the same as that of a previous Device being processed where the check of Authorising Remote Party Signature for that previous Device had succeeded, the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Successful' for this Device's Device Type (according to the Smart Metering Inventory);
- (b) if the Notified Critical Supplier Certificate ID is either (1) different to that of a previous Device being processed where the check of Authorising Remote Party Signature for that previous Device had succeeded or (2) the same as that of a previous Device being processed where the check of Authorising Remote Party Signature for that previous Device had failed, then the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Failed' for this Device's Device Type (according to the Smart Metering Inventory); and
- (c) if no previous Device being processed has successfully passed the check of Authorising Remote Party Signature, the S1SP shall undertake the check of Authorising Remote Party Signature for this Device. If that check fails then the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Failed' for this Device's Device Type (according to the Smart Metering Inventory); otherwise the S1SP shall create a SMETS1 Alert for this Device to alert 'Firmware Verification Successful' for this Device's Device Type (according to the Smart Metering Inventory).

17.54 Whenever the S1SP creates a SMETS1 Alert for 'Firmware Verification Successful', the S1SP shall set the value of the Device's Most Recently Verified Manufacturer Image Hash to be the Hash of the associated Manufacturer Image.

Read Firmware Version (SRV 11.2)

17.55 Based on the information available to it, the S1SP shall populate the FirmwareVersion field (with its Message Mapping Catalogue meaning) with the value from the Certified Products List's firmware\_version field (with its Certified Products List meaning), excluding any colons from the firmware\_version field's value, that reflects the operating firmware version for the Device's Device Model.

Activate Firmware (SRV 11.3)

17.56 If the FirmwareHash value (with its DUIS meaning) is not the same as the target Device's Most Recently Verified Manufacturer Image Hash, the S1SP shall create a SMETS1 Response indicating failure.

17.57 If the FirmwareHash value (with its DUIS meaning) is the same as the target Device's Most Recently Verified Manufacturer Image Hash:

- (a) the S1SP shall instruct the Device to activate the Manufacturer Image (if necessary for the Device Model, by distributing the Manufacturer Image to it) and instruct the Device to confirm when it has successfully activated that Manufacturer Image; and
- (b) where the S1SP receives a response from the Device detailing successful activation within a period allowing for latency in the process, the S1SP shall create a SMETS1 Response indicating success; otherwise, the S1SP shall create a SMETS1 Response indicating failure.

**18 S1SP recording of notified details**

18.1 Whenever an S1SP has successfully authenticated a Service Request containing SupplierReplacementCertificates, or one containing ReplacementCertificates where the RemotePartyRole field has a value of Supplier (with their DUIS meaning), the S1SP shall, using the Certificates in SupplierReplacementCertificates or ReplacementCertificates (as the context requires), update the details it holds in relation to the target Device for each of:

- (a) Notified Critical Supplier Certificate ID;
- (b) Notified Non-Critical Supplier Certificate ID;

- (c) Notified Critical Supplier ID; and

- (d) Notified Non-Critical Supplier ID.

18.2 Whenever an S1SP has successfully authenticated a Service Request containing ReplacementCertificates where the RemotePartyRole field has a value of NetworkOperator (with their DUIS meaning), the S1SP shall, using the Certificates in ReplacementCertificates, update the details it holds in relation to the target Device for each of:

- (a) Notified Critical Network Operator Certificate ID;

- (b) Notified Non-Critical Network Operator Certificate ID;

- (c) Notified Critical Network Operator ID; and

- (d) Notified Non-Critical Network Operator ID.