



Draft Legal Text

SECMP0044

User Security Assessment of a Shared Resource

Summary

This modification seeks to improve the User Security Assessment process where a User has engaged a Shared Resource to provide the User System on their behalf.

About this document

This document contains the draft SEC legal text that will deliver the intent of SECMP0044.

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1. Draft Legal Text

This section sets out the draft Legal Text Changes for SECMP0044. These changes have been drafted against the Smart Energy Code (SEC) version 5.12¹.

Section G 'Security'

Add new section G1.7A as follows:

Exclusion for Shared Resources providing a User System

G1.7A Where a Party acts in the role of a Shared Resource providing the User System on behalf of a User, it is not to be subject to any of the obligations expressed to be placed on Users except for those obligations set out at:

- (a) Sections G3.1 to G3.4 (deviation from configuration; Details of hardware, software and firmware; Unauthorised Activities; Duties to Detect and Respond; taking steps required by the Information Security Management System);
- (b) Section G3.6 (System Design and Operation);
- (c) Sections G3.7 to G3.9 (Management of Vulnerabilities);
- (d) Section G3.10 (Management of Data);
- (e) Section G3.11 (User Systems: Duty to Separate);
- (f) Sections G3.12 to G3.14 (User Systems: Independence of DCC Live Systems);
- (g) Sections G3.15 to G3.16 (Monitoring);
- (h) Section G3.22 (Cryptographic Processing);
- (i) Sections G3.23 to G3.24 (User Systems: Physical Location);
- (j) Section G3.25 (Supply Sensitive Check);
- (k) Sections G4.1 to G4.3 (Organisational Security; Obligations on Users);
- (l) Sections G5.14 to G5.18 (Information Security; Obligations on Users); and
- (m) Sections G5.19 to G5.24 (User Information Security Management System);
- (n) Sections G8.13 to G8.14 (Users: Duty to Cooperate in Assessment);
- (o) Sections G8.15 to G8.20 (Categories of Security Assurance Assessment);
- (p) Sections G8.21 to G8.29 (User Security Assessments: General Procedure);

¹Note that if a new version of the SEC is designated before the submission of the Final Modification Report to the Change Board or Authority, the drafting will be checked to make sure there are no consequential impacts. Our current understanding is that the specifications that SECMP0006 is amending are not expected to change as part of any forthcoming designations.

- (q) [Section G8.30 \(User Security Assessments: Further Provisions\);](#)
- (r) [Sections G8.34 to G8.36 \(Panel: Setting the Assurance Status\);](#)
- (s) [Section G8.37 \(Approval\);](#)
- (t) [Section G8.38 \(Obligations on an Approved Party\);](#)
- (u) [Section G8.39 \(Disagreement with Panel Decisions\);](#)
- (v) [Section G8.40 \(Security Assurance Assessments: Post-User Entry Process\);](#)
- (w) [Sections G8.41 to G8.43 \(Supplier Parties\);](#)
- (x) [Sections G8.48 to G8.49 \(Interpretation\);](#)
- (y) [Section G8.50 \(User Security Self-Assessment\);](#)
- (z) [Sections G8.51 to G8.53 \(Users: Obligation to Pay Explicit Charges\);](#)
- (aa) [Sections G 8.54 to G8.60 \(Events of Default\)](#)

Amend section G5.25 as follows:

G5.25 Sections G5.26 to G5.29 apply [to Shared Resources providing a User System on behalf of Users](#) in relation to a User where:

Deleted: 8

- (a) any resources which form part of its User Systems also form part of the User Systems of another User ("Shared Resources"); and
- (b) by virtue of those Shared Resources:
 - (i) its User Systems are capable of being a means by which the User Systems of that other User are Compromised (or vice versa); or
 - (ii) the potential extent to which the User Systems of either User may be Compromised, or the potential adverse effect of any Compromise to the User Systems of either User, is greater than it would have been had those User Systems not employed Shared Resources.

Add new section G5.29 as follows:

[G5.29 Where a Shared Resource is providing the User System on behalf of a User, the Shared Resource shall:](#)

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- (a) become and remain a Party;
- (b) be subject to the security obligations set out in G1.7(A)
- (c) in the event of non-compliance with the security arrangements in G1.7(a), be subject to the arrangements in M8 relating to Suspension, Expulsion and Withdrawal.

Amend G8.43 and G8.46 as follows:

Deleted: G8.43 In assessing for the purposes of Sections G8.41 and G8.42 the number of Domestic Premises supplied with electricity and/or gas through one or more Smart Metering Systems for which a User is the Responsible Supplier, that number shall, where any Shared Resources form part of both its User Systems and the User Systems of another User, be deemed to include any Domestic Premises supplied with electricity and/or gas through one or more Smart Metering Systems for which that other User is the Responsible Supplier.¶
G8.46 In assessing for the purposes of Sections G8.44 and G8.45 the number of Domestic Premises supplied with electricity and/or gas through one or more Smart Metering Systems for which a User is the Electricity Distributor and/or the Gas Transporter, that number shall, where any Shared Resources form part of both its User Systems and the User Systems of another User, be deemed to include any Domestic Premises supplied with electricity and/or gas through one or more Smart Metering Systems for which that other User is the Electricity Distributor and/or the Gas Transporter.