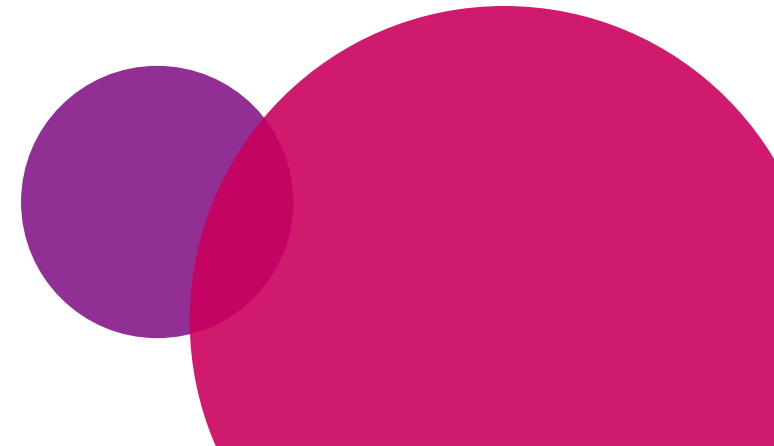








Regulatory Report

SEC Modification – DCC Assessments DCC Monthly Update

30 September 2021



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Introduction

Purpose

The purpose of this document is to provide an update on DCC's progress with the production of Preliminary Assessments (PAs) and Impact Assessments (IAs) (together "DCC Assessments") as well as progress to delivery.

This document contains the delivery dates for DCC Assessments that have been requested by Working Groups in accordance with SEC Section D6.9, as well as highlighting and providing rationale for any changes to the same report from the previous month.

Intended Audience

This report is intended for:

- SEC Panel Members
- Parties
- Ofgem
- BEIS
- SECAS

Should you have any questions in relation to or comments on this report, please contact DCC at mods@smartdcc.co.uk.

Open Assessments – PAs & IAs In Progress / Delivery Dates

 = Delayed Mods

Modification No.	CR No.	Description	PA / IA	Request Accepted	Target Completion Date (SLA)	Expected Completion Date
MP078	CR4294	Incorporation of multiple Issue Resolution Proposals into the SEC - Part 2	IA	10/05/2021	26/07/2021	TBC
MP140	CR4176	CH Stock Transfer	IA	01/07/2021	26/08/2021	TBC
MP141	CR4242	SRV Visibility for Devices on SSI	IA	01/07/2021	26/08/2021	30/09/2021
MP134B	CR4426	Use of SMKI Certificates Relating to a SoLR Event – Part 2	PA	18/08/2021	08/09/2021	TBC
MP170	CR4435	Firmware Updates to Point to Point Alt HAN Devices	PA	20/08/2021	10/09/2021	TBC

Commentary

Assessments delayed - Revised expected completion date

- **MP078 I** - DCC accepted the IA request on 10th May 2021. DCC reviewing re-submission of SP's IA, following a rejection of the 1st submission due to costs. New expected submission date being reviewed.
- **MP140** - DCC accepted IA on 1st July. DCC reviewing new expected submission date due to delayed responses from SP.
- **MP141** - Delayed with approval of PO, in progress with SP. Expected submission date targeted for 30/09.
- **MP134B** - 1st submission from SP challenged, awaiting SP response. Expected target date TBC.
- **MP170** - Delayed with SP. New expected submission date TBC.

Code Performance Measures – September SLA Submissions

This slide shows the number of PA and IA Assessments due in September 2021, and how many were submitted within SLA.

Modification No.	CR No.	Modification Name	PA Requested	PA Request Accepted	PA Submission Due (SLA)	PA/IA Submitted
MP125	CR4425	Correcting Device Information ESME Variant, Device Model and Device Manufacturer	09/08/2021	16/08/2021	06/09/2021	06/09/2021
MP162	CR4434	Market Wide Half Hourly Settlements	18/08/2021	25/08/2021	17/09/2021	17/09/2021

D11.3 Each of the following performance measures constitute a Code Performance Measure (to which the following Target Service Level and Minimum Service Level will apply, measured over the following Performance Measurement Period):

No.	Code Performance Measure	Performance Measurement Period	Target Service Level	Minimum Service Level
9	Out of the DCC Assessments required to be completed during the Performance Measurement Period, how many were completed within the required timescales.	Monthly	100%	100%