This document is classified as **White** in accordance with the Panel Information Policy. Information can be shared with the public and any members may publish the information, subject to copyright.

Headlines of the Security Sub-Committee (SSC) 128_2807

At every meeting, the SSC review the outcome for Users' Security Assessments and set an Assurance status for Initial Full User Security Assessments (FUSAs) or a Compliance status for Verification User Security Assessments (VUSAs) and subsequent FUSAs. The SSC also reviews outstanding actions, monitors the risks to the Commercial Product Assurance (CPA) certification of Devices, considers available updates from the DCC on SMETS1 enrolment and Anomaly Detection and any reported changes in Shared Resource Providers by Users and reported Security Incidents and Vulnerabilities.

The SSC reviewed the following User Security Assessments, the outcomes of which are classified as **RED** and therefore recorded in the Confidential Meeting Minutes:

- Set the Compliance Status for two Full User Security Assessments (FUSAs);
- Set the Compliance Status for two Verification User Security Assessments (VUSAs);
- Approved two Full User Security Assessment Remediation Plan and Director's Letters
- Approved one Verification User Security Assessment Remediation Plan and Director's Letter
- Set the Compliance Status for one Security Self-Assessment (SSA).

The SSC also discussed the following items:

Matters Arising

- The SSC noted an update regarding the SEC Panel annual Q&A Seminar. **(GREEN)**
- The SSC noted a response from the SEC Panel regarding SSC recommendations to consider Events of Default for User security non-compliances. **(RED)**
- The SSC noted details of Penetration Testing provided by a User. **(RED)**
- The SSC noted an update regarding escalation procedures for User Security Assessments. **(RED)**
- The SSC noted an update regarding SSC Member elections. **(GREEN)**
- The SSC noted an update regarding Change Resolution Proposal (CRP) 535 and Issue Resolution Proposal (IRP) 613. **(RED)**
- The SSC noted a Doodle Poll for Network Evolution Data Service Provider (DSP) for the Strategic Outline Case. **(RED)**

Agenda Items

9. **SCF Updates and Feedback from Material Observations Discussion:** The User CIO presented proposed updates to the Security Controls Framework (SCF) and SSC approved publication of the proposed SCF updates, subject to minor amendments. **(AMBER)**

10. **DCC CIO Security Controls Framework:** The User CIO presented the approach to the proposed DCC CIO Security Controls Framework and received feedback from the SSC. **(RED)**

12. **CPA Monitoring:** The SSC was presented with an update on the early expiry of Commercial Product Assurance (CPA) Certificates. **(RED)**

13. **CPA-Related Issues:** The SSC Chair and BEIS presented an update on ongoing discussions with NCSC regarding CPA-related issues. **(AMBER)**

14. **DP177 'Replacement CPA Evaluation Assurance and Certification Provider':** SECAS and the Proposer presented an update on DP177 'Replacement CPA Evaluation Assurance and Certification Provider'. The SSC expressed interest in the Modification, therefore updates will be provided at future meetings.

15. **DP172 'Reduced CPA & CPL requirements for innovation and Device field trials':** SECAS and the Proposer presented an update on DP172 'Reduced CPA & CPL requirements for innovation and Device field trials' and the SSC expressed interest in the Modification, therefore updates will be provided at future meetings.

16. **SMETS1 Update:** The SSC noted DCC updates regarding the different aspects of SMETS1 enrolment including the Migration Summary; MOC Secure remediations; active and monthly dormant Migration process; CIO report updates; Over-The-Air Programming (OTAP) risks; and Dual Control Organisation (DCO) capacity for Firmware upgrades. **(RED)**

17. **Anomaly Detection Report:** The DCC presented the latest Anomaly Detection Report, and the SSC noted the report. **(RED)**

18. **Post-Commissioning Report:** The DCC presented the latest Post-Commissioning Report for June 2021, and the SSC noted the report. **(RED)**

19. **DSP Technical Refresh:** The DCC presented the Data Service Provider (DSP) technical refresh, and the SSC noted no objections to the refresh proposals that were presented. **(RED)**

20. **NE: DSP Risk Assessment:** The DCC presented the Network Evolution (NE) DSP Risk Assessment and the SSC provided feedback to the DCC. **(RED)**

21. **TSP CIO Interim Report:** The DCC CIO presented the Trusted Service Provider (TSP) CIO Interim Report, and the SSC noted the report. **(RED)**

22. **Risk Register Review:** The SECAS Security Experts presented the Risk Register Review and the SSC noted amendments to the Risk Register. **(RED)**

23. **MP170 'Firmware updates to Point to Point Alt HAN Devices':** Alt HAN Co presented an update on MP170 'Firmware updates to Point to Point Alt HAN Devices' and the SSC provided advice regarding Security Requirements.

24. **New Draft Proposals and Modification Proposals Update:** Updates were given on new Draft Proposals and Modification Proposals:
    - DP171 'Undertaking a FUSA without a Supply Licence';
    - DP176 'Customer Analytics Reporting'; and
    - DP178 'Removing DSP validation against the SMI join status for SR8.8.x'.

    Updates were given on Draft Proposals and Modification Proposals as previously requested by SSC due to the potential impacts on security:

    - MP104 'Security Improvements'
    - MP107 'SMETS1 Validation of SRV 6.15.1'
    - MP109 'ADT and Exit Quarantine file delivery mechanism'
    - MP113 'Unintended Data Disclosure when using SR8.2'
    - MP128 'Gas Network Operators SMKI Requirements'
    - MP162 'SEC changes required to deliver MHHS'
    - MP167 'Review of SEC documents'
    - DP168 'CPL Security Improvements'
    - DP173 'SMKI & DCCKI Document Set reviews'

For further information regarding the Security Sub-Committee, please visit here.

Next Meeting: Wednesday 11 August 2021