This document is classified as **White** in accordance with the Panel Information Policy. Information can be shared with the public, and any members may publish the information, subject to copyright.

Paper Reference:	**SECP_94_1607_17**
Action:	**For Information**

Operations Report – June 2021

1. Purpose

The Operations Report is a monthly update on SECAS activities undertaken in support of the SEC during June 2021.

2. Recommendation

The Panel is requested to **NOTE** the contents of this paper.

3. SECAS Service highlights from June 2021

This report covers the following notable highlights in June:

- **Party Engagement –** we will be hosting our Annual SEC Panel Q&A webinar on 16 July 2021. We hosted the SMETS1 Enrolment and Adoption webinar on 24 June 2021 which was a resounding success.
- **Security and Privacy –** we hosted two meetings with industry on Commercial Product Assurance (CPA) matters providing feedback on questions raised to the National Cyber Security Centre (NCSC).
- **Technical Operations –** SECAS has been working with the DCC and the Sub-Committee Chairs to start developing the high-level governance framework which we will use to monitor and assess the DCC's re-procurement of the Data Services Provider.
- **Change Management –** we have seen 10 new Draft Proposals raised in June, resulting in 60 open proposals, and are actively working on staggering progression to manage industry workload.
- **Committee Support –** the team facilitated 17 Panel, Sub-Committee and Working Group meetings.

Holly Burton

SECAS Team

9 July 2021

Attachments:

- **Appendix A:** DCC Testing Notifications – UEPT, SREPT and SMETS1 Eligibility Testing (**AMBER**)

4. Market Entry, Exit and updates

4.1 SEC Parties

The following chart shows a breakdown of the number of current SEC Parties to the SEC by Voting Group. Excluding the DCC, there are currently 334 Parties and 262 Voting Groups. The full list of SEC Parties and their Voting Groups can be found in the SEC Parties List.

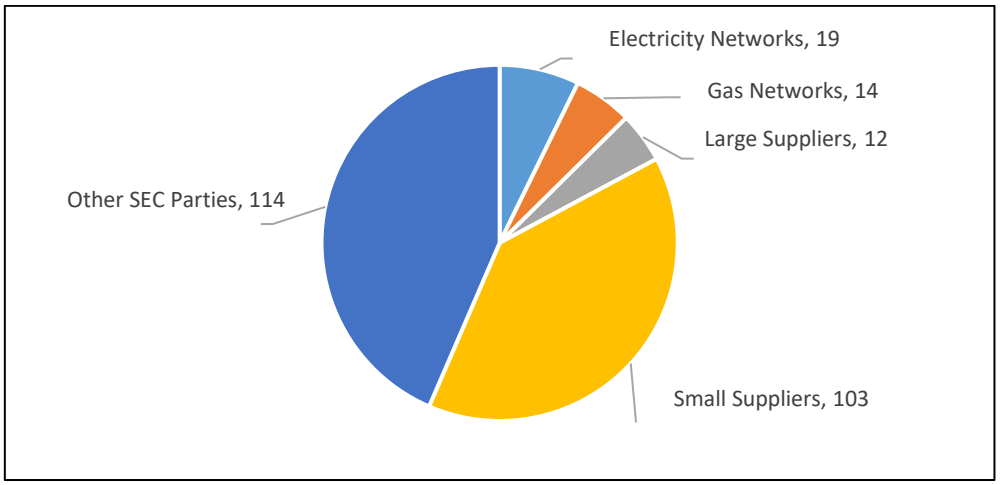


Figure 1: Breakdown of SEC Party Voting Groups by Party Category (excluding the DCC)

4.2 Market Entry and Exit

The following presents the number of Parties that have entered and exited the market over the past 12 months. It also displays the Events of Default that have occurred where three Parties Defaulted in June 2021 for failing to pay their DCC Charges:

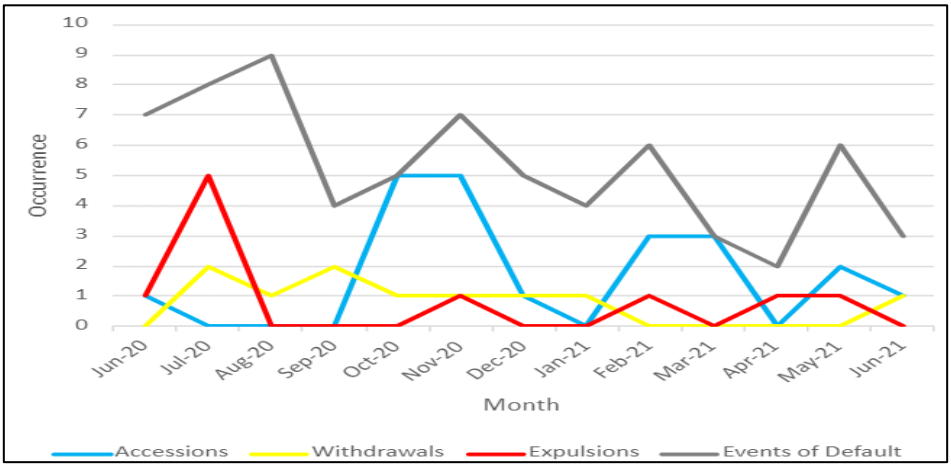


Figure 2: Accessions, withdrawals, expulsions and Events of Default in the past 12 months

In June 2021, the following Parties entered and exited the SEC:

Acceded / Withdrawn / Expelled	Party Name	Party Category
Acceded	CENSIS Technology Solutions Limited	Other SEC Parties
Withdrawn	Be 2020 Limited	Small Suppliers

Table 1: Parties that entered and exited the SEC in the previous month

4.3 User Entry Process (UEP) completion

No new Parties completed the UEP in June 2021. All current Parties that have completed the UEP can be viewed in the SEC Parties List.

To date, 125 current Parties have completed the UEP, and a combined total of 75 current Electricity Networks, Large and Small Suppliers have not completed the UEP.

4.4 Testing Updates

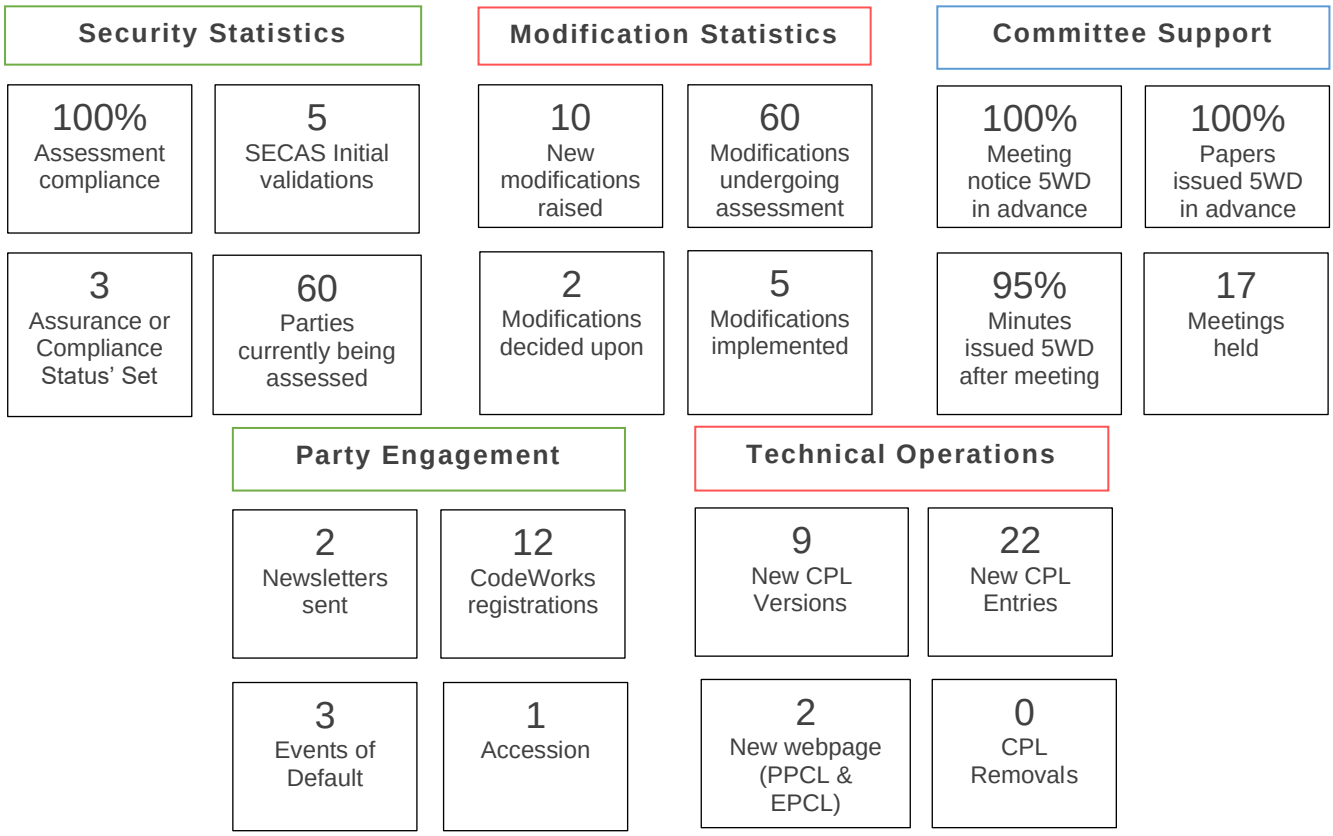
Since the last Panel meeting:

- No new SEC Parties have successfully completed **SMKI and Repository Entry Process Testing (SREPT)**;
- No new SEC Parties have successfully completed **User Entry Process Testing (UEPT)**; and
- No new SEC Parties have successfully completed **SMETS1 Eligibility Testing.**

SEC Panel members can view the full list of testing completions here.

5. Monthly SECAS Highlights

5.1 June 2021 Snapshot



5.2 Security and Privacy Update

- We hosted our usual bi-monthly Security Sub-Committee (SSC) and monthly Smart Metering Key Infrastructure Policy Management Authority (SMKI PMA) meetings.
- We hosted two meetings with industry on Commercial Product Assurance (CPA) matters, providing feedback on questions raised to National Cyber Security Centre (NCSC) with

regards to the Use Case for Device Triage. Following on from this, we have commissioned a survey of industry participants affected by the CPA Scheme to establish whether any aspects of the CPA Scheme need to be reconsidered.

- We hosted a workshop between the SSC and the User Competent Independent Organisation (CIO) on adopting new criteria for observations raised during User Security Assessments. The User CIO then presented feedback to SSC who agreed to adopt new criteria for observations raised during a User Security Assessment, including making any necessary changes to documentation.

5.3 Modifications and Releases Update

- We are currently managing 60 open proposals going through the modification framework. Ten new proposals were raised in June, and two modifications were decided upon. We are actively working to review modification timelines and stagger their progression to manage the workload of SECAS, the DCC, the Working Group, Sub-Committees and SEC Parties in assessing change. We will present our proposed approach and timelines to the Change Sub-Committee (CSC) in July for endorsement.
- The June 2021 SEC Release was successfully implemented on 24 June, delivering five approved Modification Proposals.
- We held the first CSC meeting on 29 June following the full oversight of modifications and releases being delegated to it by the Panel.
- We have been working with the other Codes to develop the working practices and terms of reference for the Cross-Code Steering Group (CCSG), which is due to take effect in September 2021. We are also beginning a further piece of work to review and enhance our cross-Code working with other Codes.

SEC versions issued in June 2021

SEC Version	Date	Key Changes
38.0	14 Jun 21	Amendments to SEC Appendix AL 'SMETS1 Transition and Migration Approach Document' directed by the Secretary of State to reflect DCC's contract with Secure SMSO Limited moving to Secure Meters (UK) Limited.
39.0	16 Jun 21	Amendments to SEC Appendix M 'SMKI Interface Design Specification' directed by the Secretary of State to simplify the process by which Certificate Signing Requests can be submitted by those accessing the SMKI service over the internet.
40.0	24 Jun 21	Amendments to six SEC documents due to the implementation of the June 2021 SEC Release.

Table 2: SEC changes released in the previous month

Modification statistics

Figure 3: Proposals currently in each modification framework stage (at time of this report)

Status	Total	Avg. duration
Proposals open (pre-decision)	60	340 WD
Proposals concluded in the last 12 months	36	287 WD

Table 3: Modification progression timescales (12-month period up to the end of June 2021)

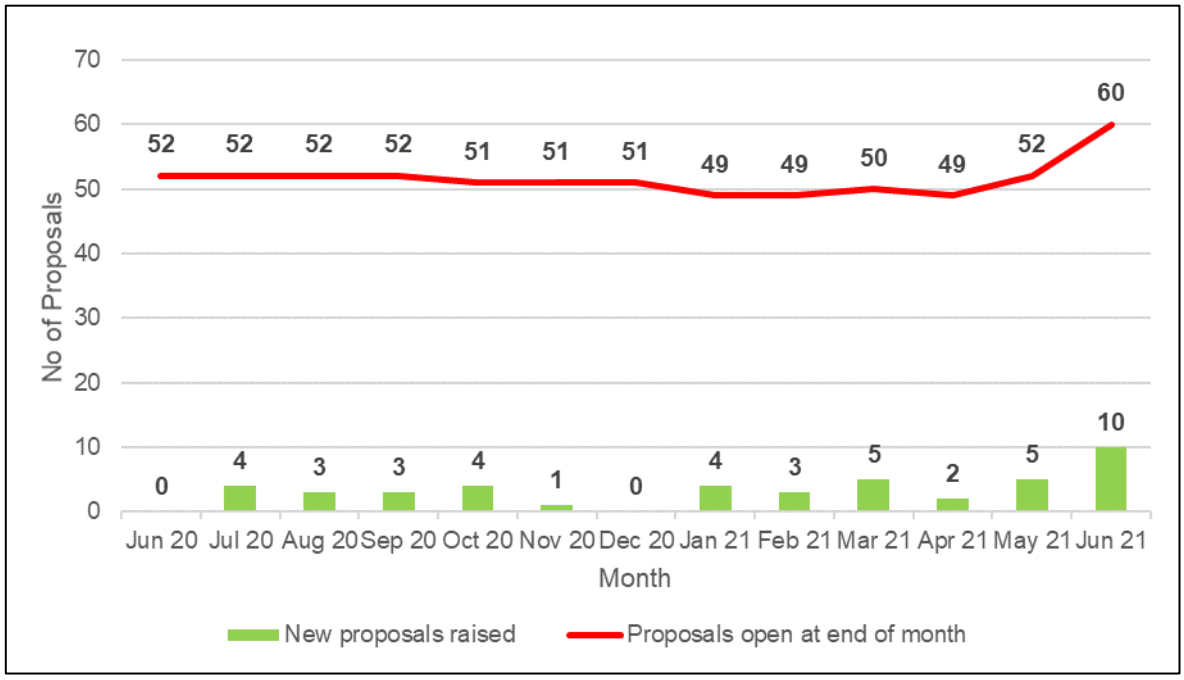


Figure 4: Proposals going through the modification process over the past 12 months

DCC Assessment statistics

Assessment	Total	Average duration
Preliminary Assessments open	1	7 WD
Preliminary Assessments completed in the last 12 months	14	62 WD
Impact Assessments open	1	38 WD
Impact Assessments completed in the last 12 months	11	93 WD

Table 4a: Summary of DCC Assessment timescales (12-month period up to the end of June 2021)

Modification	Type	Date accepted	Target duration	Current duration
MP078	IA	10 May 21	40 WD	38 WD
MP154	PA	22 Jun 21	15 WD	7 WD

Table 4b: DCC Assessments underway (as at the end of June 2021)

Modification	Type	Date returned	Target duration	Actual duration
MP117	PA	16 Jul 20	15 WD	30 WD

SECMP0066	PA	29 Jul 20	15 WD	295 WD
SECMP0007	IA	5 Aug 20	40 WD	130 WD
MP090	IA	18 Aug 20	40 WD	15 WD
SECMP0056	IA	20 Aug 20	40 WD	298 WD
MP105	PA	27 Aug 20	15 WD	30 WD
MP078	PA	3 Sep 20	15 WD	100 WD
MP122A	IA	4 Sep 20	40 WD	37 WD
MP085	PA	10 Sep 20	15 WD	38 WD
MP100	PA	25 Sep 20	15 WD	35 WD
MP109	PA	28 Sep 20	15 WD	87 WD
SECMP0024	PA	23 Oct 20	15 WD	16 WD
SECMP0010	PA	27 Oct 20	15 WD	27 WD
MP077	IA	25 Nov 20	40 WD	40 WD
MP099	IA	21 Dec 20	40 WD	165 WD
MP119	PA	27 Nov 20	15 WD	95 WD
MP104	PA	26 Jan 21	15 WD	45 WD
MP105	IA	13 Jan 21	40 WD	57 WD
MP117	IA	12 Mar 21	40 WD	74 WD
MP140	PA	23 Mar 21	15 WD	34 WD
MP141	PA	9 Apr 21	15 WD	16 WD
MP121	PA	12 May 21	15 WD	23 WD
SECMP0024	IA	7 Jun 21	40 WD	41 WD
MP107	IA	14 Jun 21	40 WD	140 WD
MP104	IA	24 Jun 21	40 WD	32 WD

Table 4c: DCC Assessments completed (12-month period up to the end of June 2021)

5.4 Code Administrator Code of Practice (CACoP) Update

- The CACoP Forum has not met since the last update. The minutes from past meetings are available on the Master Registration Agreement (MRA) website once approved by the Forum. The next meeting is on 13 July 2021.
- The central CACoP website is currently under development and is expected to launch in September 2021. The CACoP products and Forum meeting documents will be hosted on this website.
- Ofgem's Code Administrator survey is now under way, with the results expected in September 2021.

5.5 Committee Support Update

- In June, the Committee Support Team facilitated 17 Panel, Sub-Committee and Working Group meetings. This included an ad-hoc Working Group meeting to discuss MP122B ' Operational Metrics - Part 2'.
- The TAG held an additional X meeting on Wednesday 23 June to discuss the SMETS1 MOC Uplift 1.3 Test Approach and SMEST2 DMCT Test Approach.
- The Strategic Working Group held its third meeting on Monday 7 June. All remaining meetings for 2021 have now been scheduled for August, October, and December.
- The Security Sub-Committee (SSC) elections went live on Friday 2 July, and nominations are now being received via the new online form here. The SEC Panel elections will follow the same process when they go live on Wednesday 28 July.

5.6 Party Engagement Update

- We will be hosting the **Annual SEC Panel Q&A Webinar at 9:30am on 16 July 2021**. SEC Parties will be able to hear from the SEC Panel Chair regarding key activities over the past year and plans for the year ahead. To register, click here.
- We hosted the highly rated SMETS1 Enrolment & Adoption webinar on 24 June 2021. SEC Parties heard from the DCC and BEIS about the SMETS1 Meter Enrolment and Adoption process, as well as the DCC's Early Life Support and the Dormant Meter migration process. SEC Parties can view the slides and recordings of the webinar here.
- SECAS continues to publish the SEC Newsletter which currently has 818 subscribers. The last two newsletters received 315 views. You can register to receive the SEC Newsletter by using the registration form at the bottom-right of this webpage. Previous newsletters can be viewed here.
- The SECAS Helpdesk offers an email and telephone service to anyone requiring advice. Most contact is made by email, with volumes illustrated in Figure 4, and topic breakdowns in Figure 5. We received five telephone queries over the past month.

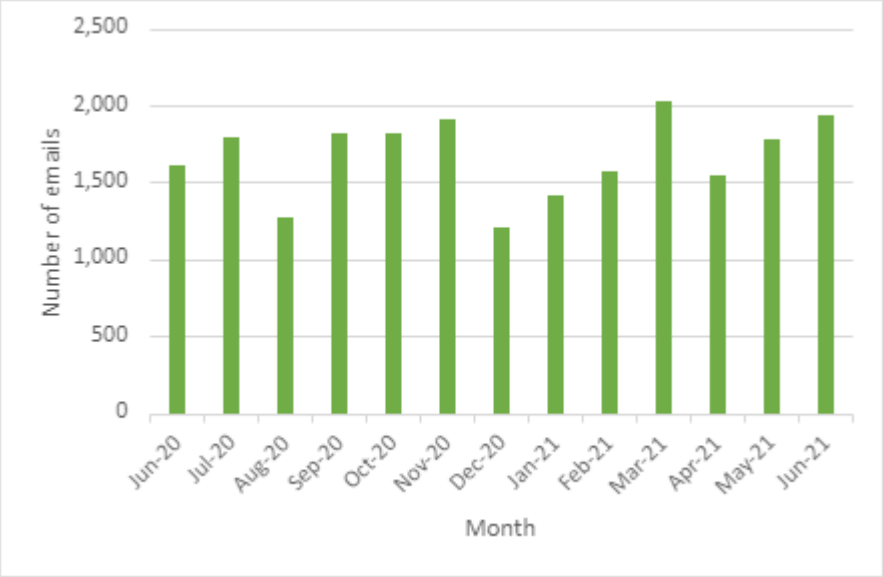


Figure 5: SECAS Helpdesk Emails over the past 12 months

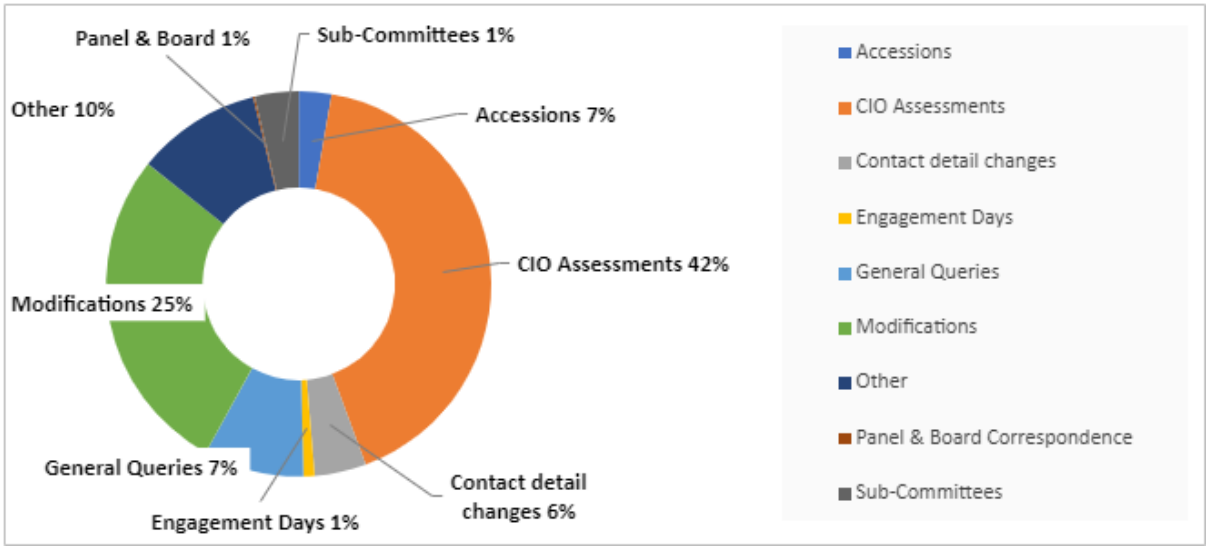


Figure 6: The number of SECAS Helpdesk emails received per topic in the previous month

- CodeWorks is the digital version of the SEC, providing users with enhanced features such as the ability to filter the Code by Party category, view red-lined proposed changes, and find related documents easily.
- SEC Parties can register to CodeWorks here. SECAS reviews how many are registered to the platform each month, which is shown below per Party / Stakeholder category.
- Thank you to all those who have registered to CodeWorks. In June 2021, we reached a great milestone of 500 CodeWorks Users:

CodeWorks Users		
43 Network Parties	121 Small Suppliers	103 Large Suppliers
84 BEIS, DCC and Ofgem users	7 Committee Members	142 Other SEC Parties

- The SECAS website remains popular with users with 28,564 page visits in June 2021. The top five viewed pages in the month were:
  - About the SEC – (The Smart Energy Code).
  - Modifications – (Modifications Tracker).
  - Document Download Centre
  - Login
  - Home Page

5.7 Technical Operations Update

The Technical Operations team in SECAS support the Technical Architecture and Business Architecture Sub-Committee (TABASC), Testing Advisory Group (TAG) and Operations Group (OPSG). Key highlights from June are set out below:

- SECAS has worked with the DCC to obtain data to support reporting against the performance metrics introduced during the recent review and has compiled this into the first report which was presented to the OPSG during June. The report was well received by OPSG members, who confirmed that the performance reported this month reflects their own experience of DCC's performance better than previous reports.
- SECAS has worked with the DCC and the Sub-Committee Chairs to arrange for each Sub-Committee to review the high-level business needs which will be used to undertake market engagement for the Data Services Provider (DSP) procurement programme. This has included working with the DCC to understand the information it has provided, obtaining a clear view of what decisions the DCC requires, and helping the DCC to prepare materials for each Sub-Committee. The DSP business needs will be reviewed by OPSG, TABASC and TAG.
- The team oversees the production and publication of several Products lists. The graph below sets out the number of SEC product list versions which SECAS has published over the past 12 months by type. The Deployed Products List (DPL) continues to be the most produced list:

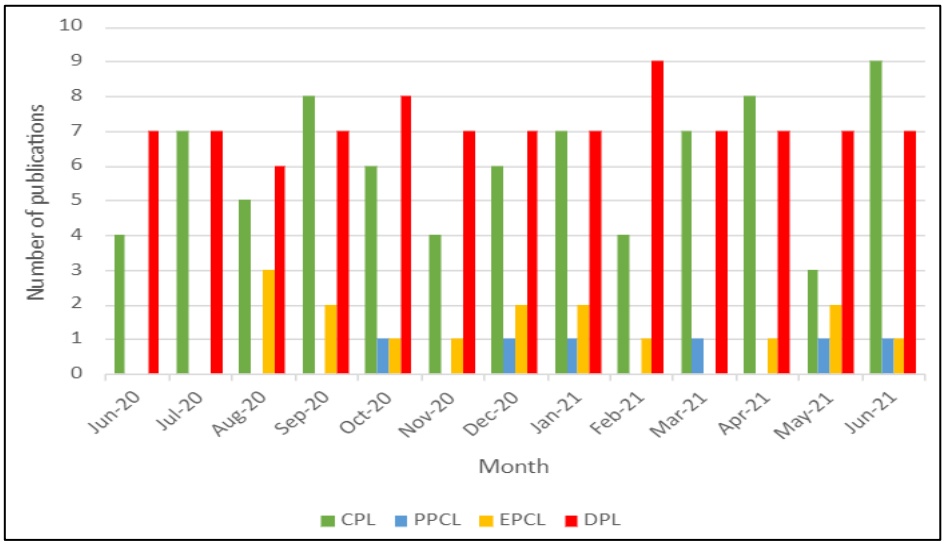


Figure 7: The number of lists that SECAS has produced each month, over the past 12 months

Useful links: Central Products List, Pending Products Combination List, Eligible Products Combination List and Deployed Products List

5.8 Smart Meter Device Assurance (SMDA) Update

- The DAR currently consists of 18 devices, ranging from GSME, ESME and PPMID devices with partial assurance for credit and/or pre-payment in the Central/ South region and Credit and/or prepayment in the Northern Region.
- There are currently five devices actively testing in the SMDA test lab with five requests for exemption testing being assessed.

6. SEC Meeting Details

The table below contains links to documents associated with SEC meetings held in **June 2021**:

Date	Meeting
1 June	Operations Group Meeting 50
2 June	Monthly Working Group Meeting – June 2021
3 June	Technical Architecture and Business Architecture Sub-Committee Meeting 66
7 June	Strategic Working Group Meeting 03
8 June	MP122B Ad-Hoc Working Group
9 June	Smart Metering Key Infrastructure Policy Management Authority Meeting 82
9 June	Security Sub-Committee Meeting 125
16 June	Other SEC Parties Engagement Call
18 June	SEC Panel Meeting 93
23 June	Security Sub-Committee Meeting 126
23 June	Change Board Meeting 55
23 June	Small Suppliers Engagement Call
24 June	SMETS1 Enrolment & Adoption webinar
28 June	Operations Group Meeting 51
30 June	Testing Advisory Group Meeting 80

Figure 8: A summary of all SEC meetings held in the previous month