MP157 'Removal of DUIS v1.1 and v2.0'

Annex A

Legal text – version 1.0

About this document

This document contains the redlined changes to the SEC that would be required to deliver this Modification Proposal.

Section A 'Definition and Interpretation'

These changes have been redlined against Section A version 15.0.

Amend Section A.1 as follows:

DUIS XML Schema   means, in relation to any ~~v~~Version of the DCC User Interface Specification, the version of the DUIS XML Schema contained within it, as specified in the defined term 'DUIS XML Schema' in that ~~V~~version of the DCC User Interface Specification.

Maintenance Validity Period has the meaning given to that expression in Section A3.19 (The Maintenance Validity Period) or (in the case of the DUIS) Section A3.36 (DCC User Interface Specification and Message Mapping Catalogue).

TS Applicability Tables   means the document set out in Schedule 11 which has the content described at Section A3.3~~2~~~~3~~ (The TS Applicability Tables).

Version   in relation to:

    (a)   a Technical Specification, has the meaning given to that expression in Section A3.2 (Versions of the Technical Specifications); ~~and~~

    (b)   the GBCS or CPA Security Characteristics, has the meaning given to that expression in Section A3.26 (GB Companion Specification and CPA Security Characteristics)~~;~~; and

    (c)   the DCC User Interface Specification, has the meaning given to that expression in Section A3.36 (DCC User Interface Specification and Message Mapping Catalogue).

and in ~~the~~each case of Technical Specifications, the GBCS or CPA Security Characteristics includes both the Principal Version and Sub-Version of that document. The concepts of Principal Version and Sub-Version do not apply to the DCC User Interface Specification.

Amend the title of Section A3 as follows:

A3.   **TECHNICAL SPECIFICATIONS, THE GB COMPANION SPECIFICATION, ~~AND~~ THE CPA SECURITY CHARACTERISTICS, AND THE DCC USER INTERFACE SPECIFICATION**

Amend Section A3.1 as follows:

A3.1 This Section A3 makes provision in relation to:

    (a) the maintenance in this Code of different versions of each of the Technical Specifications;

    (b) the relationship between each version of a Technical Specification and:

        (i) the GB Companion Specification; and

        (ii) the CPA Security Characteristics; and

    (c) the interpretation of the Code in respect of the Technical Specifications, GB Companion Specification, ~~and~~ CPA Security Characteristics, the DCC User Interface Specification, and the Message Mapping Catalogue.

Amend Section 3.19 as follows:

A "Maintenance Validity Period" means:

    (a) the period of time during which a Device or other apparatus may be maintained in accordance with the requirements of that Version of the Technical Specification; and.

    (b) the period of time during which User can interface to the DCC in accordance with that Version of the DCC User Interface Specification.

Amend Section 3.20 as follows:

A Maintenance Validity Period shall:

    (a) commence on the **"Maintenance Start Date"** that is identified in relation to that Version of the Technical Specification or the DCC User Interface Specification in the TS Applicability Tables; and

    (b) end on any **"Maintenance End Date"** that may be identified in relation to that Version of the Technical Specification or the DCC User Interface Specification in the TS Applicability Tables.

Amend Section 3.22 as follows:

Each Version of a Technical Specification or DCC User Interface Specification shall be retained in the relevant Schedule to the Code at all times during which it remains within its Installation Validity Period (if any) and/or its Maintenance Validity Period.

Amend Section 3.23 as follows:

Where, in respect of any Version of a Technical Specification or DCC User Interface Specification:

(a) no Installation Validity Period has been assigned, or any Installation Validity Period that was assigned has expired; and

(b) the Maintenance Validity Period has expired,

that Version shall be deemed automatically to be deleted from the Code on the day immediately following whichever is the later of its Installation End Date (if any) or Maintenance End Date, and the part of the Schedule or the part of the composite document in the Schedule (as the case may be) in which it is set out shall then automatically be marked 'Not Used'.

Amend Section 3.24 as follows:

The Code Administrator shall at all times maintain on the Website copies of those Versions of each Technical Specification, DCC User Interface Specification and Message Mapping Catalogue which have been deleted from the Code in accordance with Section A3.23, together with a record of the Installation Start and End Dates (if any) and the Maintenance Start and End Dates relating to each such Version.

Amend Section A3.32 as follows:

A3.32   There shall be a document to be known as the "**TS Applicability Tables**", which shall be set out at Schedule 11 to the Code following its initial designation in accordance with Section X5 (Incorporation of Certain Documents into this Code) by the Secretary of State in reliance on Section X5.4 (Other Technical Specifications), and shall:

(a)   in relation to each Technical Specification, list each of the Versions of that Technical Specification that have been produced;

(b)   in relation to each such Version of that Technical Specification, identify:

   (i)   any Installation Start Date that has been assigned to it;

   (ii)   in the case of each Version of the ESMETS, the GSMETS and the IHDTS with a Principal Version number of 1, the General Installation End Date that has been assigned to it;

   (iii)   in the case of each Version of a Technical Specification other than one identified in paragraph (ii), any Installation End Date that has been assigned to it (or a statement that no such date has yet been determined);

   (iv)   the Maintenance Start Date;

   (v)   the Maintenance End Date (or a statement that no such date has yet been determined);

   (vi)   the relevant Version(s) of the GBCS;

   (vii)   any Applicability Period relating to any such relevant Version of the GBCS;

(c)   in relation to each Version of the GBCS, identify the relevant Version(s) of the CPA Security Characteristics;

(d)   set out which version of each Technical Specification is contained within each of the documents contained within Schedule 9 of the Code; and

(e)   in relation to each ~~v~~Version of the DUIS, identify:

    (i)   the date from which that ~~v~~Version becomes applicable; and

    (ii)   the date after which that <u>V</u>~~v~~ersion ceases to be applicable (or a statement that no such date has yet been determined).

Amend Section A3.35 as follows:

A3.35   The information set out in the TS Applicability Tables shall be regarded as conclusive for all purposes of any question as to the:

(a)   Installation Validity Period of any Version of a Technical Specification other than in any case where both:

    (i)   it is a Version of the ESMETS, the GSMETS and the IHDTS with a Principal Version number of 1; and

    (ii)   a Derogation has been granted to any Supplier Party in accordance with Section A4 (Derogation from General Installation End Date), and has not been revoked, specifying an Alternative Installation End Date in respect of that Version of the ESMETS, the GSMETS and the IHDTS;

(b)   Maintenance Validity Period of any Version of a Technical Specification;

(c)   relevant Version(s) of the GBCS in relation to any Version of a Technical Specification;

(d)   Applicability Period of any Version of the GBCS;

(e)   relevant Version(s) of the CPA Security Characteristics in relation to any version of the GBCS; and

(f)   relevant ~~supported v~~Version <u>or Version</u>~~(s)~~ of the DUIS <u>which can be used at a given time by the DCC and Users</u>.

Amend Section A3.36 as follows:

A3.36   The DCC User Interface Specification may exist in more than one version <u>(a "**Version**"). Each such Version shall be subject to a "**Maintenance Validity Period**" which:</u>

    <u>(a)   commences on the start date that is identified in relation to that Version of the DCC User Interface Specification in the TS Applicability Tables; and</u>

(b)   ends on the Maintenance End date (if any) that may be identified in relation to that Version of the DCC User Interface Specification in the TS Applicability Tables.

Amend Section A3.37 as follows:

A3.37   Where there is more than one Version of the DCC User Interface Specification:

(a)   each such Version shall contain a different Version of the DUIS XML Schema (but a Version of the DCC User Interface Specification may be modified, and its version number updated, without any corresponding change to the DUIS XML Schema);

(b)   there shall be, in respect of each such Version, one or more corresponding versions of the Message Mapping Catalogue;

(c)   a User may submit any Service Request, in respect of which it is an Eligible User, in accordance with any Version of the DCC User Interface Specification which is (at the relevant time) within its Maintenance Validity Period;

(d)   in accordance with the requirements of each version of the DCC User Interface Specification, each such Service Request must identify the Version of the DUIS XML Schema in accordance with which it has been submitted;

(e)   any obligation on the DCC or any User in relation to any Service Request or associated communication shall be interpreted by reference to the provisions of the Version of the DCC User Interface Specification that contains the DUIS XML Schema that is identified in that Service Request;

(f)   the obligation on the DCC at Section H11.1 (Parse and Correlate Software) to provide Parse and Correlate Software shall be interpreted as an obligation to provide a separate version of the Parse and Correlate Software in respect of each version of the DCC User Interface Specification (and each corresponding version of the Message Mapping Catalogue); and

(g)   any other obligation on the DCC under this Code in relation to the Parse and Correlate Software shall be read as an obligation applying separately in respect of each such version of that software.

Add a new Section A3.37A as follows:

A3.37A   Each Version of the DCC User Interface Specification shall be retained in the relevant Schedule to the Code at all times during which it remains within its Maintenance Validity Period. Where the Maintenance Validity Period of a Version of the DCC User Interface Specification has expired, then that Version (and any version of the Message Mapping Catalogue that corresponds only to that Version of DUIS as indicated by the Parse and Correlate Applicability Matrix) shall be deemed automatically to be deleted from the Code on the day immediately following the end of that DCC User Interface Specification's Maintenance Validity Period, and the part of the Schedules in which they are set out shall then automatically be marked 'Not Used'. The Code Administrator shall at all times maintain on the Website copies of those Versions of the DCC User Interface Specification and Message Mapping Catalogue which have been deleted from the Code in accordance with this

Section A3.37A, together with a record of the Maintenance Validity Period relating to each such DCC User Interface Specification Version.

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Schedule 11 'Technical Specification Applicability Table'

These changes have been redlined against Schedule 11 version 12.0.

Amend DUIS Table as follows:

DUIS Maintenance Validity Period

DUIS Version	Maintenance Start date	Maintenance End date
1.1	21/07/2017	26/11/2021
2.0	01/02/2018	26/11/2021
3.0	28/07/2019	TBC
3.1	29/11/2019	TBC
4.0	29/11/2020	TBC