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MP161 'Significant Code Review – Retail Code Consolidation'

Annex A

Legal text – version 1.0

About this document

This document contains the redlined changes to the SEC that would be required to deliver this Modification Proposal.

Section A 'Definitions and Interpretation'

These changes have been redlined against Section A version 15.0.

Amend Section A1.1 as follows:

A1. DEFINITIONS

A1.1 In this Code, except where the context otherwise requires, the expressions in the left hand column below shall have the meanings given to them in the right hand column below:

BSC	means the Balancing and Settlement Code maintained under the Electricity System Operator Licence.
Consequential Change	means either (as the context requires) a Modification to this Code or a variation to another Energy Code, which (in either case) the Cross Code Steering Group considers would be necessary to give full and timely effect to a variation under a different Energy Code (if that variation was approved).
Cross Code Steering Group	means the group of that name established under the Change Management Schedule of the REC.
Energy Market Data Item	means the most granular level of data defining a specific attribute in respect of a data type, the permissible values for which are defined and controlled in the Energy Market Data Specification.
Energy Market Data Specification	a common set of standards for all industry data represented within the Energy Market Architecture Repository as defined in the REC.
Energy Market Meta Data Owner	means the organisation responsible for the control of the meta data associated with the Energy Market Data Item or Energy Market Message as defined in the REC.
Energy Market Message	has the meaning given to that expression in the REC.
Lead Code	means the Energy Code which the Cross Code Steering Group has designated as being the Lead Code for the purpose of progressing a variation that is likely to have an impact on any other Energy Codes.
Lead Code Modification	means the variation to the Lead Code that gives rise to an associated Consequential Change.
Meter Asset Manager	has the meaning given to that expression in the ~~SPAA~~UNC.

Meter Operator	has the meaning given to ~~that~~ the expression "Meter Operator Agent" in the ~~MRA~~BSC.
Metering Point	has the meaning given to that expression in the ~~MRA~~REC.
MPAN	means, in respect of a Smart Metering System (or Electricity Meter), the ~~Supply Number~~unique identifier (or each of the ~~Supply Numbers~~unique identifiers) allocated ~~under the MRA~~by the relevant Electricity Network Party to the Metering Point(s) at which the import or export of electricity is recorded by that Smart Metering System (or Electricity Meter).
MPRN	means, in respect of a Smart Metering System (or Gas Meter), the Supply Meter Point Reference Number allocated by the relevant Gas Network Party to the Supply Meter Point at which the supply of gas is recorded by that Smart Metering System (or Gas Meter).
~~**MRA**~~	~~means the Master Registration Agreement established pursuant to the Electricity Distribution Licences.~~
REC	means the Retail Energy Code maintained pursuant to Condition 11B of the Electricity Supply Licences and Condition 11 of the Gas Supply Licences.
REC Code Manager	has the meaning given to the expression "Code Manager" in the REC.
Registered	Means the Registered Supplier, as defined in the ~~MRA or the SPAA, as applicable~~REC (and "Registration" shall be interpreted accordingly).
~~**SPAA**~~	~~means the Supply Point Administration Agreement established pursuant to the Gas Supply Licences.~~
~~**Supply Number**~~	~~has the meaning given to that expression in the MRA.~~

Section B 'Accession'

These changes have been redlined against Section B version 7.0.

Amend Section B1.21 as follows:

~~MRA and UNC~~Market Participant Identifiers

B1.21 The Panel shall, as soon as reasonably practicable after a person becomes a Party, notify the DCC of the unique identifiers (if any) by which such person is identified under the ~~MRA or the UNC~~UNC and/or BSC, as set out in the Party Details contained in the relevant Accession Agreement. The Panel shall, as soon as reasonably practicable after a Party notifies any change or addition to such unique identifiers under Section M6 (Party Details), notify the DCC of such change or addition.

Section D 'Modification Process'

These changes have been redlined against Section D version 7.0.

Amend Section D1.3 as follows:

D MODIFICATION PROCESS

D1. RAISING DRAFT PROPOSALS

Modifications

D1.1 This Code may only be varied in accordance with the provisions of this Section D.

D1.2 Each variation of this Code must commence with a proposal made in accordance with the provisions of this Section D1 (a Draft Proposal) or a direction under Section D9A (Authority-Led Variations).

Persons Entitled to Submit Draft Proposals

D1.3 A Draft Proposal may be submitted by any of the following persons (the Proposer):

(a) a Party;

(b) Citizens Advice or Citizens Advice Scotland;

(c) any person or body that may from time to time be designated in writing by the Authority for the purpose of this Section D1.3;

(d) the Authority or the DCC acting at the direction of the Authority, but in each case only in respect of variations to this Code which are in respect of a Significant Code Review; ~~and~~

(e) the Panel (where all Panel Members at the relevant meeting vote unanimously in favour of doing so), but only in respect of variations to this Code which are intended to give effect to:

(i) recommendations contained in a report published by the Panel pursuant to Section C2.3(i) (Panel Duties);

(ii) recommendations contained in a report published by the Code Administrator pursuant to Section C7.2(c) (Code Administrator); and/or

(iii) Fast-Track Modifications (as described in Section D2.8 (Fast-Track Modifications)); and/or

~~(iv) consequential changes to this Code required as a result of changes proposed or already made to one or more other Energy Codes.~~

(f) the REC Code Manager and/or the Code Administrator where a Consequential Change to this Code has been identified.

Add Section D12 as follows:

D12 LEAD CODE AND CONSEQUENTIAL CHANGES

Cross Code Steering Group

D12.1 The Panel shall from time to time nominate to the REC Code Manager one or more individuals to represent the Panel on the Cross Code Steering Group. The Panel shall ensure that each of the nominated individuals has the appropriate skills, knowledge and experience to participate in accordance with the Cross Code Steering Group's terms of reference.

D12.2 The Panel may discharge the requirements of Section D12.1 by ensuring the Code Administrator provides appropriate representatives.

Lead Code

D12.3 Where the Cross Code Steering Group determines that this Code is to be used as the Lead Code, the Code Administrator shall:

(a) progress the Lead Code Modification in accordance with this Code;

(b) coordinate with the other affected Energy Codes so that they can progress a Consequential Change under their Energy Code in parallel with the process under this Code;

(c) publish a timetable setting out the proposed progression of the Lead Code Modification and any associated Consequential Changes; and

(d) notwithstanding Section D9 (Modification Proposal Decision), the Modification Proposal under this Code shall only be approved if both:

(i) the Modification Proposal is approved in accordance with this Code; and

(ii) the associated Consequential Changes under the other Energy Codes are all approved in accordance with those other Energy Codes.

Consequential Change

D12.4 Where the Cross Code Steering Group determines that another Energy Code is the Lead Code for a Modification Proposal, then the Code Administrator shall progress the relevant Consequential Change to this Code in accordance with this Section D, but subject to the following:

(a) the Code Administrator shall progress the Modification to the timetable determined under the Lead Code;

(b) if the Lead Code Modification under the Lead Code is withdrawn or rejected prior to a Change Board determination the Code Administrator shall Withdraw the Consequential Change in accordance with Section D5 except that Sections D5.4 and D5.5 shall not apply.

(c) notwithstanding Section D9 (Modification Proposal Decision), the Modification Proposal under this Code shall only be approved if both:

(i) the Modification Proposal is approved in accordance with this Code; and

(ii) the variation to the Lead Code is approved in accordance with the Lead Code.

Appeal to the Authority

D12.5 The Panel may appeal the determination of any associated Consequential Change to the Authority where:

(a) this Code is the Lead Code;

(b) the Lead Code Modification is approved;

(c) one or more associated Consequential Changes are not approved; and

(d) such an appeal is raised within 30 Working Days of a determination on the Lead Code Modification or relevant Consequential Change.

D12.6 Where another Energy Code is the Lead Code any determination under this Code on an associated Consequential Change may be sent to the Authority for appeal within 30 Working Days of such a determination on request of the Lead Code (in which case the approval or rejection of the Consequential Change shall be determined by the Authority as if Section D9.2 applied).

Energy Market Data

D12.7 Where a Modification Proposal is progressed in relation to an Energy Market Message and/or an Energy Market Data Item defined within the Energy Market Data Specification, the relevant Energy Market Meta Data Owner shall be defined as the Lead Code.

D12.8 The Code Administrator shall ensure that the meta data for all relevant Energy Market Messages and Energy Market Data Items utilised under this Code are defined within the Energy Market Data Specification administered in accordance with the REC Change Management Schedule.

Section E 'Registration Data'

These changes have been redlined against Section E version 8.0.

Amend Section E2.1 as follows:

E2. PROVISION OF DATA

Responsibility for Providing Electricity Registration Data

E2.1 The Electricity Network Party in respect of each MPAN relating to its network shall provide (or procure that its Registration Data Provider provides) the following information to the DCC in respect of that MPAN (insofar as such information is recorded in the relevant registration systems). The information in question is the following:

(a) the identity of the Electricity Network Party for the MPAN;

(b) whether or not the MPAN has a status that indicates that it is 'traded' (as identified in the ~~MRA~~REC), and the effective date of that status;

(c) the identity of each person which has been (at any time within the 24 months preceding the date on which the Registration Data is provided), is, or is due to become Registered in respect of the MPAN, including (to the extent applicable) the date on which each such person became or ceased to be (or is to become or ceased to be) Registered in respect of the MPAN;

(d) the identity of each person which has been (at any time within the 24 months preceding the date on which the Registration Data is provided), is, or is due to become the Meter Operator in respect of the MPAN, including (to the extent applicable) the date on which each such person became or ceased to be (or is to become or ceased to be) Meter Operator in respect of the MPAN;

(e) the address, postcode and UPRN for the Metering Point to which the MPAN relates;

(f) the direction of energy flow to or from the Metering Point to which the MPAN relates (and the date from which that direction of flow has been effective);

(g) the profile class (as defined in the ~~MRA~~REC) assigned to the MPAN, and each and every other (if any) profile class assigned to the MPAN at any time within the 24 months preceding the date on which the Registration Data is provided (including the date from and to which such profile class was effective); and

(h) details of whether an objection has been received regarding a change to the person who is to be Registered in respect of the MPAN, and whether that objection has been removed or upheld, or has resulted in the change to the person who is to be Registered being withdrawn (as at the date on which the Registration Data is provided).

Section K 'Charging Methodology'

These changes have been redlined against Section K version 10.0.

Amend Section K11 as follows:

Mandated Non-Domestic Smart Metering System	means, from time to time, each MPAN or MPRN associated with a Designated Premises (regardless of whether or not a Smart Metering System has been installed or Enrolled), but excluding: a. those MPANs and MPRNs associated with premises in respect of which the DCC is exempted from the requirement to Enrol Smart Metering Systems in accordance with the Statement of Service Exemptions; b. those MPANs that do not have the status of "traded" (as identified in the ~~MRA~~REC) and those MPRNs that do not have a status that indicates that gas is off-taken at the supply point (as identified in the UNC); c. those MPANs associated with a Designated Premises at which there is installed an Advanced Meter pursuant to the provisions of standard condition 39 of the Electricity Supply Licence; and d. those MPRNs associated with a Designated Premises at which there is installed an Advanced Meter pursuant to the provisions of standard condition 33 of the Gas Supply Licence.
Mandated Smart Metering System	means, from time to time, each MPAN or MPRN associated with a Domestic Premises (regardless of whether or not a Smart Metering System has been installed or Enrolled), but excluding: (a) those MPANs and MPRNs associated with premises in respect of which the DCC is exempted from the requirement to Enrol Smart Metering Systems in accordance with the Statement of Service Exemptions; and (b) those MPANs that do not have the status of "traded" (as identified in the ~~MRA~~REC) and those MPRNs that do not have a status that indicates that gas is off-taken at the supply point (as identified in the UNC).

Schedule 5 'Accession Information'

These changes have been redlined against Schedule 5 version 7.0.

Amend Schedule 5 as follows:

SEC SCHEDULE 5 – ACCESSION INFORMATION

1 The Applicant's full name.

2 Whether the Applicant is a company or a natural person or a partnership etc.

3 The Applicant's jurisdiction of incorporation (if applicable).

4 The Applicant's registered number (if applicable).

5 The Applicant's registered address (or, if not applicable, its principal address).

6 Where the Applicant is incorporated or resident outside Great Britain, an address in Great Britain for the receipt of legal notices on the Applicant's behalf.

7 The Applicant's VAT registration number (if applicable).

8 The Applicant's address for invoices under the Code.

9 The Applicant's address or addresses for all other notices under the Code.

10 The Party Category into which the Applicant considers it will initially fall.

11 The Energy Licences held by the Applicant (including any for which it has applied).

12 Details of any Parties that are Affiliates of the Applicant (where the Applicant is a company).

13 Where the Applicant holds one or more Energy Supply Licences, details of the unique identifiers by which the Applicant is identified under the ~~MRA~~ BSC and/or the UNC (as applicable) for the purposes of recording the Applicant's Registration for an MPAN or MPRN.

14 Where the Applicant holds an Electricity Distribution Licence or a Gas Transporter Licence, details of the unique identifier by which the Applicant is identified under the ~~MRA~~ BSC and/or the UNC (as applicable) for the purposes of recording the network to which an MPAN or MPRN relates.

Appendix X 'Registration Data Interface Specification'

These changes have been redlined against Appendix X version 1.0.

Amend Definitions as follows:

DEFINITIONS

In this document, except where the context otherwise requires:

- expressions defined in section A of the Code (Definitions and Interpretation) have the same meaning as is set out in that section; and
- the expressions in the left hand column below shall have the meanings given to them in the right hand column below.

~~Data Transfer Catalogue~~Energy Market Data Specification	~~has the meaning given to that expression in the MRA.~~ means the Data Specification which forms part of the REC.
Supported Version	means the latest version of the ~~Data Transfer Catalogue~~Energy Market Data Specification data flow that the DCC supports for use with the Registration Data Interface as listed and as updated from time to time on the Website.

Amend Section 3.16 as follows:

3.16 For electricity Registration Data Files, the DCC shall employ a file naming convention that ensures that each file sent through the Registration Data Interface has a unique name, using the following items separated by the underscore character, giving the overall naming layout: DCCO_D0123_123456 where:

(a) 'DCCO' is the organisation identifier (as defined in the Energy Market Data Specification~~by the MRA~~);

(b) 'D0123' is the flow reference (as defined in the Energy Market Data Specification~~by the MRA~~); and

(c) '123456' is a unique reference number (unique within DCC files for each Electricity Network Party).

Amend Section 3.18 as follows:

3.18 Each Electricity Registration Data Provider shall provide the following files, which shall conform to the latest Supported Version of the specified data flow structures as defined in the Energy Market Data Specification ~~Data Transfer Catalogue~~:

(a) Initial upload and full Registration Data Refresh File

To provide the DCC with an initial population of Registration Data and any subsequent full Registration Data refresh, each Electricity Registration Data Provider shall send a Registration Data Refresh File as specified in the Energy Market Data Specification ~~Data Transfer Catalogue~~ D0353 data flow;

(b) Registration Data Update File

To notify the DCC of any changes to relevant Registration Data, each Electricity Registration Data Provider shall send a Registration Data Update File as specified in the ~~Data Transfer Catalogue~~ Energy Market Data Specification ~~-~~D0348 data flow.

(c) Registration Data Refresh File - Partial refresh

To provide the DCC with a partial refresh of Registration Data, each Electricity Registration Data Provider shall send a Registration Data Refresh File as specified in the Energy Market Data Specification ~~Data Transfer Catalogue~~ D0349 data flow;

(d) Response File - DCC Service Flag update rejections

To notify the DCC of any data records rejected during processing of a DCC Status File due to validation errors, each Electricity Registration Data Provider shall send a Response File as specified in the Energy Market Data Specification ~~Data Transfer Catalogue~~ D0351 data flow; and

(e) Response File - DCC Service Flag update acknowledgement

To notify the DCC of successful processing of the DCC Status File, each Electricity Registration Data Provider shall send a Response File as specified in the Energy Market Data Specification ~~Data Transfer Catalogue~~ D0172 data flow.

Amend Section 3.19 as follows:

3.19 The DCC shall provide the following files to each Electricity Registration Data Provider conforming to the data flow structures as defined in the Energy Market Data Specification ~~Data Transfer Catalogue~~:

(a) DCC Status File

To notify Electricity Network Parties of DCC Service Flag updates and the identity of the person that the DCC believes to be registered in relation to an MPAN as set out in Section E2.4 of the Code, the DCC shall send a DCC Status File as specified in the Energy Market Data Specification ~~Data Transfer Catalogue~~ D0350 data flow.

Appendix Y 'Registration Data Code of Connection

These changes have been redlined against Appendix Y version 1.0.

Amend Section 1.17 as follows:

1.17 Electricity Registration Data Provider timetable for file provision:

Type	Action	Time
Full Refresh	Full Refresh of the Registration Data to the DCC	As per Section E2.7(a) of the Code
Partial Refresh	A Partial Refresh is a submission of a subset of the Registration Data to the DCC	The file(s) shall be submitted within the timelines directed in the ~~Master Registration Agreement~~REC
File re-submission	Re-submission of a file that is not received or is corrupt	Within 1 Working Day of the request for re-submission having been made pursuant to clause 1.14 of this document

Table 1 - Timetable – Electricity