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Smart Energy Code (SEC) Delegations Register

The Panel's Objectives are to ensure that the Code is given full and prompt effect in a way that will facilitate achievement of the SEC Objectives, and is fair and does not discriminate between SEC Parties or classes of SEC Parties.

The Panel has several Sub-Committees that provide support and assistance by governing and overseeing specific aspects of the SEC. In addition, the Panel can delegate decision-making responsibilities to the relevant Sub-Committee which has the appropriate skills and experience required to inform the decision.

Rather than amend the SEC, the SEC Panel has developed and will maintain a '**Delegations Register**'.

The purpose of this Delegations Register is to inform SEC Parties, DCC Users, DCC and other interested parties of which roles and responsibilities have been delegated from and by the SEC Panel to a Sub-Committee.

The Delegations Register contains:

- the specific SEC Clause, i.e. activity, that is delegated;
- the associated duty required;
- who it has been delegated from;
- who it has been delegated to;
- whether there is a Dispute/escalation route, and where it would be considered; and
- when the SEC Panel will review whether it is still appropriate for said Sub-Committee to still undertake this delegated role.

The Delegations Register can be found below.

SEC Clause	Duty	Delegated From	Delegated to	Delegation in place from	Dispute Route (if necessary)	Review Date when the Panel consider if Delegation still appropriate
G1.2 – G1.4	The responsibility to determine an appropriate transitional period for standards, procedures and guidelines set out in SEC Section G that the DCC and DCC Users must comply with.	Panel	Security Sub-Committee	The Panel determined to delegate this power to the Security Sub-Committee on 11 th August 2017.	N/A	As required by the Panel
G8.35	The responsibility to determine the assurance status that will be set for a Party following their initial Full User Security Assessment. This is part of the wider User Entry Process.	Panel	Security Sub-Committee	The Panel determined to delegate this power to the Security Sub-Committee on 11 th August 2017.	A Party may appeal the SSC's decision to the Panel for determination.	As required by the Panel
G9.3 (b) (iii)	The responsibility to determine any additional time the DCC is required to undertake a SOC2 assessment (above and beyond their annual SOC2 assessment and when there is any material change to the DCC Total System).	Panel	Security Sub-Committee	The Panel determined to delegate this power to the Security Sub-Committee on 13 th October 2017.	N/A	As required by the Panel
G9.3 (c)	The DCC to consult with the Panel, and obtain its approval, in respect of the scope of each assessment before that assessment is carried out.	Panel	Security Sub-Committee	The Panel determined to delegate this power to the Security Sub-Committee on 13 th October 2017.	N/A	As required by the Panel
H13.4	The DCC are required to produce a report setting out the Service Levels achieved in respect of each Performance Measure set out in SEC Section H13.1 and SEC Section L8.6.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 9 th February 2018.	N/A	As required by the Panel

SEC Clause	Duty	Delegated From	Delegated to	Delegation in place from	Dispute Route (if necessary)	Review Date when the Panel consider if Delegation still appropriate
F9.15	The DCC shall report to the Panel and SEC Parties on the number of Communications Hubs for which the reason for return, loss or destruction is determined in accordance with this Section F9 to have been a CH Pre-Installation DCC Responsibility or a CH Post-Installation DCC Responsibility.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 9 th February 2018.	N/A	As required by the Panel
F7.21	The DCC shall report to the Panel and SEC Parties on any Network Enhancement Plans that were completed during the previous quarter and any ongoing Network Enhancement Plans.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 9 th February 2018.	N/A	As required by the Panel
2.5.10 (SEC Appendix AG)	The DCC shall collate and make available to Network Parties and the Panel, data related to the time taken to resolve Incidents associated with the exchange of data pursuant to SEC Section E, where the DCC is responsible for resolving the Incident, but in order to do so, activity must be undertaken by a Registration Data Provider.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 9 th February 2018.	N/A	As required by the Panel
3.2 (Appendix AG)	The DCC shall provide details of the Open Operational Problems experienced by DCC Users.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 9 th February 2018.	N/A	As required by the Panel

SEC Clause	Duty	Delegated From	Delegated to	Delegation in place from	Dispute Route (if necessary)	Review Date when the Panel consider if Delegation still appropriate
H9.13	Within two Working Days following resolution of a Major Incident, the DCC or the Incident Party responsible for resolving that Major Incident shall provide a summary report to the Panel in respect of that Major Incident.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 9 th February 2018.	N/A	As required by the Panel
H9.14	Within 20 Working Days following resolution of a Major Incident, the DCC or Incident Party responsible for resolving that Major Incident shall conduct a review regarding that Major Incident and its resolution, and shall report to the Panel and the Authority (and, on request, the Secretary of State) on the outcome of such review.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 9 th February 2018.	N/A	As required by the Panel
Appendix AH	The Panel to oversee the implementation of non-functional changes to the Self-Service Interface. This includes DCC seeking Panel endorsement of changes, including the reviewing and logging of cost/benefits, their scheduling within the context of the agreed maintenance schedule and the decision to deploy.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 11 th May 2018.	N/A	As required by the Panel
H8.4	The DCC shall consult with and secure the agreement of the SEC Panel should it wish to make any amendments to the Planned Maintenance schedule after providing 20 Working Days' notice.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 4 th July 2018	N/A	As required by the Panel

SEC Clause	Duty	Delegated From	Delegated to	Delegation in place from	Dispute Route (if necessary)	Review Date when the Panel consider if Delegation still appropriate
H3.24	The DCC shall report to the Panel on the actual number of Service Requests sent against the forecasted volumes; and where there are exceptions, details of the Users whose actual volumes of Service Requests sent were less than or equal to 90%, or greater than or equal to 110% of their forecasted volumes.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 15 February 2019	A Party may appeal the OPSG's decision to the Panel for determination.	As required by the Panel
L8.9	The DCC shall report to the Panel on the actual number of CSRs against the forecasted volumes; and details of the Authorised Subscribers whose actual volumes of CSRs submitted were greater than, or equal to, 110% of their forecasted volumes.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 15 February 2019	A Party may appeal the OPSG's decision to the Panel for determination.	As required by the Panel
H8.15A	Any changes to the Self-Service Interface shall be processed by the DCC in accordance with the "SSI Change Governance Process". Any changes to the SSI Change Governance Process shall be prepared and consulted upon by the DCC and approved by the Panel.	Panel	Operations Group	The Panel determined to delegate this power to the Operations Group on 14 June 2019	A Party may appeal the OPSG's decision to the Panel for determination.	As required by the Panel