**Annex B:** **Draft Directions to designate/re-designate SEC technical specifications and Subsidiary Documents for BEIS-directed changes in the November 2020 SEC Release and to bring into effect a modification to Section L3.18 of the SEC**

The Authority (Ofgem), the SEC Panel,

SEC Parties, and other interested parties

[29 November 2020]

Dear Colleague,

**Smart Metering Implementation Programme: Draft Directions to designate/re-designate SEC technical specifications and Subsidiary Documents for BEIS-directed changes in the November 2020 SEC Release and to bring into effect a modification to Section L3.18 of the SEC**

The Directions in the Annex to this letter refer to BEIS’ consultation on matters relating to the BEIS elements of the November 2020 SEC Release that was published on 23 October 2020. These elements are being introduced into the Smart Energy Code (SEC) to support the addition of Auxiliary Proportional Controller (APC) functionality and XML signing Certificates functionality which have been consulted upon by BEIS through various consultations during 2019 and 2020 as well as making a number of minor corrections.

In accordance with Section X3.2 of the SEC (as preserved and renumbered by X1.5(iii) of the SEC) and Section X5 of the SEC, the Secretary of State has consulted the Authority, the SEC Panel and the SEC Parties on a draft of these directions and the contents of the documents being incorporated into the SEC have been subject to such consultation as the Secretary of State considers appropriate in the circumstances.

Yours faithfully,

**Duncan Stone**

Deputy Director and Head of Delivery

Smart Metering Implementation Programme

**Annex**

**Directions**

These directions are made for the purposes of the smart meter communication licences granted under the Electricity Act 1989 and the Gas Act 1986 (such licences being the “DCC Licence”) and the Smart Energy Code designated by the Secretary of State pursuant to the DCC Licence (such code being the “SEC”).

Words and expressions used in these directions shall be interpreted in accordance with Section A (Definitions and Interpretation) of the SEC.

* + 1. **Direction to Re-Designate Documents**

Pursuant to Condition 22 of the DCC Licence and Section X5 (Incorporation of Certain Documents into this Code) of the SEC, the Secretary of State directs that, with effect from 29 November 2020, the following documents:

* SEC Schedule 11 – TS Applicability Tables v9.0;
* SEC Appendix B – Organisation Certificate Policy vB2.0;
* SEC Appendix D – SMKI Registration Authority Policies and Procedures vD2.0;
* SEC Appendix M – SMKI Interface Design Specification vM2.0;
* SEC Appendix O – SMKI Repository Interface Design Specification vO2.0;
* SEC Appendix E – DCC User Interface Service Schedules vE3.0;
* SEC Appendix AB – Service Request Processing Document vAB3.0; and
* SEC Appendix AC – Inventory Enrolment and Decommissioning Procedures vAC2.0

previously designated and incorporated into the SEC are hereby re-designated and incorporated in the form of the corresponding document set out in Annex 1 to this direction.

For the avoidance of doubt such re-designation of these documents shall be without prejudice to anything done under the DCC Licence or the SEC on or after these documents first being designated, or to the continuing effectiveness of anything done under these documents prior to their re-designation (which shall have effect as if done under the re-designated documents).

* + 1. **Direction to Designate New Documents**

Pursuant to Condition 22 of the DCC Licence and Section X5 (Incorporation of Certain Documents into this Code) of the SEC, the Secretary of State directs that, with effect from 29 November 2020, the following documents:

* SEC Schedule 8 – Great Britain Companion Specification v4.0;
* SEC Schedule 9 – Smart Metering Equipment Technical Specifications 2 29 November 2019;
* SEC Schedule 10 – Communications Hub Technical Specifications v1.4;
* SEC Appendix AD – DCC User Interface Specification v4.0; and
* SEC Appendix AF – Message Mapping Catalogue v4.0

are hereby designated and additionally incorporated into the relevant Schedule or Appendix (as the case may be) of the SEC in the form of the corresponding document set out in Annex 2 to this direction, with the following modifications:

1. in the case of the XML schema contained within the DCC User Interface Specification v4.0 in Annex 2, as if the following ‘elements’ and ‘values’ were not incorporated into that schema:
   1. the elements named ‘x81C6’;
   2. the optional element name ‘ReadSecurityDetails’ within the ‘ReadDeviceLog’ complex type;
   3. the ResponseCode values E080902 and E080903;
   4. the optional ThrottledAlertSequenceId and ThrottledAlertCount elements within the DeviceAlertMessage, SMETS1ResponseMessage and DCCAlertMessage complex types; and
2. in the case of the XML schema contained within the Message Mapping Catalogue v4.0 in Annex 2, as if the following ‘elements’ and ‘types’ contained within that document were not incorporated into that schema:
   1. the elements named ‘x81C6’; and
   2. the optional CHFConnectedDeviceLog and CHFConnectedDeviceLogEntry complex types in the ReadDeviceLogRsp complex type.
      1. **Bringing into effect a modification to Section L3.18**

Pursuant to Section X3.1 (Effective Dates) of the SEC, the Secretary of State hereby designates 29 November 2020as the date from which the modification to the table in Section L13.18 of the SEC that was previously prevented from taking effect by a direction under Section X3.1 issued on 18 September 2020, is to have effect.

These directions are also being notified to the SEC Administrator.

**Annex C: Documentation Corrections for the November 2020 Release**



**Annex D: Proposed Legal Drafting for the November 2020 Documents**

Please note that whilst we are also consulting on changes to the Organisation Certificate Policy (Appendix AB) we have not included a marked-up document in this Annex because the proposed corrections are so minor. Please refer to Annex A for a description of the proposed corrections.

* SEC Schedule 8 – Great Britain Companion Specification v4.0 Draft 3:



* SEC Schedule 9 – Smart Metering Equipment Technical Specifications 2 29 November 2019 Draft 5:



* SEC Schedule 11 – TS Applicability Tables v9.1:



* SEC Appendix O – SMKI Repository Interface Design Specification v2.1:



* SEC Appendix AD – DCC User Interface Specification v4.0 Draft 2:



* SEC Appendix AF – Message Mapping Catalogue v4.0 Draft 2:

