

Annex A – Variations to be made to the SEC as a consequence of the activation of Section L13

1. The SEC shall be varied, pursuant to Section X3.6 (Provisions to be Effective Subject to Variations), with effect from 13 July 2016 in the manner indicated below.

(a) in Section A, insert in alphabetical order the following definition:

"Smart Card Token has the meaning given to that expression in Annex A of the DCCKI Certificate Policy."; and

"Personnel Authentication Certificate has the meaning given to that expression in Annex A of the DCCKI Certificate Policy."; and

"Personnel Authentication Certificate Application has the meaning given to that expression in Annex A of the DCCKI Certificate Policy.";

(b) in Section G, in Section G2:

(i) in the subheading immediately prior to Section G2.36, after "Cryptographic Credential Tokens" insert "and Smart Card Tokens";

(ii) in Section G2.36, after each reference to "Cryptographic Credential Token" insert "or Smart Card Token";

(iii) in Section G2.37, after "Cryptographic Credential Tokens" insert "or Smart Card Tokens"; and

(iv) in Section G2.38:

(A) after "Cryptographic Credential Token" insert "or Smart Card Token"; and

(B) after "Cryptographic Credential Tokens" insert "or Smart Card Tokens".

(c) in Section L13:

(i) in Section L13.3, replace the existing Section with the following:

"L13.3 The DCC shall authorise any Party or RDP to submit a DCCKI Certificate Signing Request, or any User to submit a Personnel Authentication Certificate Application, and so to become a DCCKI Subscriber, where that person has successfully completed the relevant procedures and satisfied the criteria set out in the DCCKI Certificate Policy and the DCCKI RAPP.";

(ii) in Section L13.13, replace the existing Section with the following:

"L13.13 For the purposes of this Section L13, the "DCCKI Interface Design Specification" shall be a SEC Subsidiary Document of that name which:

(a) shall specify the technical details of the DCCKI Service Interface;

(b) shall include the protocols and technical standards that apply to the DCCKI Service Interface;

(c) shall base those technical standards on PKIX/IETF/PKCS open standards, where:

(i) PKIX is the Public Key Infrastructure for X.509 Certificates, being an IETF set of standards for certificate and certificate revocation list profiles as specified in IETF RFC 5280;

(ii) the IETF is the Internet Engineering Task Force; and

(iii) PKCS is the Public Key Cryptography Standard; and

(d) may set out the procedure by which a DCCKI Authorised Subscriber and the DCC may communicate over the DCCKI Service Interface, and may in particular specify any requirements on:

(i) a DCCKI Authorised Subscriber which accesses, or is seeking to access, the DCCKI Service Interface;

(ii) the DCC in relation to the provision of means of access to the DCCKI Service Interface and/or any steps which must be taken by it in relation to communications made by a DCCKI Authorised Subscriber and received by it over the DCCKI Service Interface.";

(iii) in Section L13.14, replace the existing Section with the following:

"L13.14 For the purposes of this Section L13, the "DCCKI Code of Connection" shall be a SEC Subsidiary Document of that name which:

(a) shall set out the way in which DCCKI Authorised Subscribers may access the DCCKI Service Interface;

- (b) shall specify the procedure by which DCCKI Authorised Subscribers and the DCC may communicate over the DCCKI Service Interface;
 - (c) shall include a description of the way in which the mutual authentication and protection of communications taking place over the DCCKI Service Interface will operate; and
 - (d) may specify any requirements on a DCCKI Authorised Subscriber which accesses, or is seeking to access, the DCCKI Service.";
- (iv) in the subheading immediately prior to Section L13.43, after "DCCKI Certificate Signing Requests" insert "and Personnel Authentication Certificate Applications";
- (v) in Section L13.43, after "DCCKI Certificate Signing Request" insert "and each Personnel Authentication Certificate Application";
- (vi) in Section L13.44, after each reference to "DCCKI Certificate Signing Request" insert "or Personnel Authentication Certificate Application"; and
- (vii) in Section L13.45, replace the existing Section with the following:

"L13.45 Where any DCCKI Certificate is Issued to a DCCKI Eligible Subscriber in response to a DCCKI Certificate Signing Request, or any Personnel Authentication Certificate is Issued to a DCCKI Eligible Subscriber in response to a Personnel Authentication Certificate Application, that DCCKI Eligible Subscriber shall:

 - (a) establish whether the information contained in that DCCKI Certificate or Personnel Authentication Certificate is consistent with information that was contained in the DCCKI Certificate Signing Request or Personnel Authentication Certificate Application (as the case may be);
 - (b) if it identifies that the DCCKI Certificate or Personnel Authentication Certificate contains any information which is untrue or inaccurate, immediately inform the DCC that it rejects the DCCKI Certificate or Personnel Authentication Certificate and give to the DCC its reasons for doing so; and

(c) in the absence of any such rejection, become a DCCKI Subscriber for that DCCKI Certificate or Personnel Authentication Certificate."