The Authority (Ofgem), the SEC Panel, SEC Parties
and other interested parties

12 July 2016

DIRECTION TO:

- **BRING SECTION L13 OF THE SMART ENERGY CODE (SEC) INTO LEGAL EFFECT, INCLUDING ASSOCIATED TRANSITIONAL VARIATIONS;**
- **DESIGNATE AND INCORPORATE THE DCC KEY INFRASTRUCTURE (DCCKI) INTERFACE DESIGN SPECIFICATION, DCCKI REPOSITORY INTERFACE DESIGN SPECIFICATION, AND DCCKI CODE OF CONNECTION AND DCCKI REPOSITORY CODE OF CONNECTION INTO THE SEC;**

Dear Colleague,

Introduction

1. In our response letter of 3 June 2016 to the User Entry Process and DCCKI activation consultation issued on 16 May 2016, we noted our intention to designate Section L13 (DCCKI) of the SEC, and to designate and incorporate into the SEC the DCCKI Interface Design Specification, the DCCKI Repository Interface Design Specification, and the DCCKI Code of Connection and DCCKI Repository Code of Connection on 6 July 2016. This date was determined in conjunction with the planning being undertaken by the Data and Communications Company (DCC) as part of its contingency request, thereby supporting the new milestone of 'DCCKI Certificates available' which was included in the DCC's revised plan (approved by the Smart Metering Implementation Programme SRO on 7 June 2016).

2. We noted that our proposed designation date was subject to the DCC being able to provide the DCCKI service on this date in line with the activation criteria contained in our letter of 16 May 2016. These criteria involved confirmation from the DCC and the DCCKI PMA of their readiness given the obligations imposed upon them once these provisions are activated and that,

in relation to DCC, sufficient testing had been completed.

3. We have now received confirmation of readiness against all criteria and, consequently, we are activating L13 (including the related transitional variations concluded upon in our letter of 3 June 2016) and designating and incorporating the DCCKI Interface Design Specification, the DCCKI Repository Interface Design Specification and the DCCKI Code of Connection and DCCKI Repository Code of Connection (including our changes to these documents concluded upon on 3 June 2016) on **13 July 2016**.

Direction and Designation

This direction is made for the purposes of the smart meter communication licences granted under the Electricity Act 1989 and the Gas Act 1986 (such licences being the "**DCC Licence**") and the Smart Energy Code designated by the Secretary of State pursuant to the DCC Licence (such code being the "**SEC**").

Words and expressions used in this direction shall be interpreted in accordance with Section A (Definitions and Interpretation) of the SEC.

Section L

Pursuant to Section X3.1(b) (Effective Dates) of the SEC, the Secretary of State hereby designates **13 July 2016** as the date from which Section L13 (DCC Key Infrastructure) shall have legal effect.

Pursuant to Section X3.6 (Provisions to be Effective Subject to Variations) of the SEC, the Secretary of State hereby directs that the following variations shall apply:

(a)   In respect of Section L13 (DCC Key Infrastructure), until **Service Release 1.2** (being the date from which the DCC is first required to process Service Requests under Section H4 (Processing Service Requests)), or such later date as may be designated by the Secretary of State, the DCC shall not be required by the provisions of Section L13 to:

(i)   Issue a DCCKI Certificate in response to any DCCKI Certificate Signing Request other than a DCCKI Certificate Signing Request relating to a DCCKI Infrastructure Certificate to be used for the purposes of establishing Transport Layer Security communications (as defined in the DCCKI Certificate Policy);

(ii)   Issue a Personnel Authentication Certificate in response to any Personnel Authentication Certificate Application; or

(iii)   provide the DCCKI Repository Service, but only insofar as the DCC shall, by some other secure electronic means, make available to Users and RDPs such DCCKI Certificates as are required by those Users and

RDPs for the purposes of establishing Transport Layer Security communications (as defined in the DCCKI Certificate Policy).

(b)   In respect of Section G2 (System Security: Obligations on the DCC) and Section L13 (DCC Key Infrastructure), the variations set out at **Annex A** in this direction shall apply.

Designation of SEC Subsidiary Documents

Pursuant to Condition 22.27 of the DCC Licence and Section X5 of the SEC, the Secretary of State directs that with effect from 13 July 2016:

(a) The document set out at **Annex B** in this direction is hereby designated as the **DCCKI Interface Design Specification**, and shall be incorporated into the SEC as Appendix T.

(b) The document set out at **Annex C** in this direction is hereby designated as the **DCCKI Repository Interface Design Specification**, and shall be incorporated into the SEC as Appendix U.

(c) The document set out at **Annex D** in this direction is hereby designated as the combined **DCCKI Code of Connection and DCCKI Repository Code of Connection**, and shall be incorporated into the SEC as Appendix V.

Pursuant to Section X3.1(b) (Effective Dates) of the SEC, the Secretary of State hereby designates 13 July 2016 as the date from which the documents designated in paragraphs (a) and (c) above shall have legal effect.
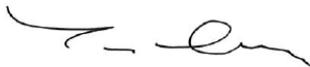
Pursuant to Condition 22.29 of the DCC Licence and Section X5.6 of the SEC:

(a) Section A1 of the SEC is hereby amended:

(i)   by replacing the words "Appendix [TBC]" in the definition of DCCKI Interface Design Specification with the words "Appendix T";

(ii)   by replacing the words "Appendix [TBC]" in the definition of DCCKI Repository Interface Design Specification with the words "Appendix U";

(iii)   by replacing the words "Appendix [TBC]" in the definition of DCCKI Code of Connection with the words "Appendix V";

(iv)   by replacing the words "Appendix [TBC]" in the definition of DCCKI Repository Code of Connection with the words "Appendix V"

(b) Some or all of the SEC Subsidiary Documents designated by the Secretary of State in paragraphs (a) to (c) above may contain references (whether directly or indirectly via defined expressions) to documents that are intended in the future to be incorporated into the SEC as SEC Subsidiary Documents. Such references shall, until the document in question has been incorporated into

the SEC, be read as references to the versions of those documents from time to time identified by the Secretary of State pursuant to Section T3.3 of the SEC (known as the Testing Baseline Requirements Document).

This direction is also being notified to the SEC Administrator and an updated version of the SEC to reflect these changes, as well as an updated Testing Baseline Requirements Document (TBRD), will be available on the SEC website in due course.

Yours faithfully

T J Guy
DECC Delivery
DECC Smart Metering Implementation Programme (SMIP)
(an official of the Department of Energy & Climate Change authorised to act on behalf of the Secretary of State)

Annexes:

Annex A: Variations to be made to the SEC as a consequence of the activation of Section L13
Annex B: DCCKI Interface Design Specification
Annex C: DCCKI Repository Interface Design Specification
Annex D: DCCKI Code of Connection and DCCKI Repository Code of Connection