

X TRANSITION

X1. GENERAL PROVISIONS REGARDING TRANSITION

Overriding Nature of this Section

- X1.1 The provisions of this Section X shall apply notwithstanding, and shall override, any other provision of this Code.

Transition Objective

- X1.2 The objective to be achieved pursuant to this Section X (the “**Transition Objective**”) is the efficient, economical, co-ordinated, timely, and secure process of transition to the Completion of Implementation.
- X1.3 The “**Completion of Implementation**” shall occur on the date designated for the purpose of this Section X1.3 by the Secretary of State (or such person as the Secretary of State may designate for the purposes of this Section X1.3), once the Secretary of State (or the person so designated) is of the opinion that:
- (a) the documents referred to in Section X5 and that the Secretary of State (or the person so designated) considers material to the implementation of this Code have been incorporated into this Code in accordance with that Section;
 - (b) the provisions of this Code that the Secretary of State (or the person so designated) considers material to the implementation of this Code apply in full without any variation pursuant to this Section X (or, where any such variations do apply, the requirements of Sections X1.3(c) will still be met despite such variations ending in accordance with Section X1.5(a) and/or X1.5A(a)); and
 - (c) each Party that holds an Energy Licence is (or would be had such Party acted in accordance with Good Industry Practice) reasonably able (on the assumption that such Party acts in accordance with Good Industry Practice) to perform its obligations, and to exercise its rights, under this Code to the extent that the Secretary of State (or the person so designated) considers such obligations or rights material to the implementation of this Code.
- X1.4 Before designating a date for the purpose of Section X1.3, the Secretary of State (or the person designated for the purposes of this Section X1.3) must consult the Authority, the Panel and the Parties in respect of the proposed date. Such consultation must allow such period of time as the Secretary of State (or the person so designated) considers appropriate in the circumstances within which representations or objections may be made.

Ending of the Application of this Section X

- X1.5 With effect from the earlier of:
- (a) Completion of Implementation; or
 - (b) 31 October 2018,

this Code shall automatically be modified so as to delete the following provisions of this Section X (and all variations to this Code provided for in, or made by directions pursuant to, the following provisions shall cease to apply):

- (i) Section X1.11;
- (ii) Section X2 (Effective Provisions at Designation), except for:
 - (A) Section X2.3(d), which shall continue to apply, but re-numbered as Section X2.1; and
 - (B) Sections X2.7 and X2.8, which shall continue to apply, but re- numbered as Sections X2.2 and X2.3 respectively;
- (iii) Section X3 (Provisions to Become Effective Following Designation), except for:
 - (A) Section X3.1, which shall continue to apply, but amended to read: "Each Section, Schedule and SEC Subsidiary Document (or any part thereof) shall be effective, unless (at the time of a provision being added or modified by the Secretary of State pursuant to section 88 of the Energy Act 2008) the Secretary of State directs that such addition or modification is not to have effect, or is not to have effect until a later date. Where the Secretary of State directs that an addition or modification is not to have effect, the Secretary of State may subsequently designate a date from which it is to have effect."; and
 - (B) Section X3.9, which shall continue to apply, but re-numbered as X3.2;
- (iv) Section X4 (Governance Set-up Arrangements);
- (v) Section X6 (Transitional Variations);
- (vi) Section X7 (Transitional Incident Management Procedures);
- (vii) Section X8 (Developing CH Support Materials); and
- (viii) Section X10 (Threshold Anomaly Detection Procedures).

X1.5A With effect from:

- (a) Completion of Implementation:
 - (i) this Code shall automatically be modified so as to delete the provisions of this Section X not deleted pursuant to Section X1.5, save for Section X1.1, X1.3, this Section X1.5A, Section X5 and Section X11 which shall not be deleted; and
 - (ii) any variations to this Code provided for in, or made by directions pursuant to, the provisions deleted by Section X1.5A(a) shall cease to apply (save as set out in Section X5.8);
- (b) 1 November 2028, this Section X (and any variations to this Code provided for in, or made by directions pursuant to, this Section X) shall cease to apply (save as set out in Section X5.8), and this Code shall automatically be modified from that date so as to delete this Section X.

General Obligations

- X1.6 Each Party shall take all reasonable steps to do all such things as are within its power and necessary or expedient in order to facilitate achievement of the Transition Objective.
- X1.7 Each Party shall provide such reasonable co-operation and assistance to the other Parties and to the Panel as may be necessary to facilitate compliance with the provisions of this Section X, and with any variations to this Code provided for in (or made by directions pursuant to) this Section X.
- X1.8 Without prejudice to its legal rights, no Party shall take any step, or exercise any right, which is intended to (or might reasonably be expected to) hinder or frustrate the achievement of the Transition Objective.

Information

- X1.9 Each Party shall provide to the Secretary of State, in such manner and at such times as the Secretary of State may reasonably require, such Data as the Secretary of State may reasonably require in order to enable the Secretary of State to assess progress towards (and to facilitate) achievement of the Transition Objective. No Party shall be obliged to provide information under this Section X1.9 where such Party is obliged to provide such information under its Energy Licence, or where such information is expressly excluded from the information that such Party is obliged to provide under its Energy Licence.
- X1.10 If a Party is aware of any matter or circumstance which it considers will materially delay or frustrate the achievement of the Transition Objective, that Party shall promptly inform the Secretary of State of such matter or circumstance.

Network Parties to become Subscribers

- X1.11 [NOT IN USE]

Day-One Elective Communication Services

- X1.12 Where the Secretary of State designates one or more draft Bilateral Agreements for the purposes of this Section X1.12 (each of which drafts must specify the potential Elective Communication Services to be provided thereunder, and the DCC's potential counterparty thereunder), then:
- (a) the DCC shall, within 10 Working Days thereafter, make a formal offer to each of the counterparties in question for the Elective Communication Services in question as if Section H7.12 (Formal Offer) applied;
 - (b) such offer shall be on the basis of the draft Bilateral Agreement designated by the Secretary of State (subject only to the addition of the applicable Elective Charges, any termination fee and any credit support requirements);
 - (c) the counterparty shall be under no obligation to accept such offer; and
 - (d) any agreement entered into pursuant to this Section X1.12 shall be a Bilateral Agreement.

Disputes

- X1.13 In the event of any dispute between the Parties (or between the Panel and any Party) as to whether a particular Party is obliged to undertake a particular activity pursuant to Section X1.6 to X1.12 (inclusive), a Party (or the Panel) may refer the matter to the Secretary of State (or, where designated by the

Secretary of State for such purposes, the Panel or the Authority) for determination (which determination may include a requirement to comply with such terms and conditions as the person making it considers appropriate in all the circumstances of the case). Any determination by the Secretary of State or by the Authority pursuant to this Section X1.13 shall be final and binding for the purposes of this Section X1. Any determination by the Panel pursuant to this Section X1.13 shall be subject to appeal to the Secretary of State (or, where designated by the Secretary of State for such purposes, to the Authority), the determination of such appeal being final and binding for the purposes of this Section X1.

Modification of this Section X

X1.14 The variations to this Code provided for in, or made by directions pursuant to, this Section X shall not constitute modifications that should be subject to Section D (Modification Process). For the avoidance of doubt, this Section X shall be capable of being modified under Section D (Modification Process).

SECCo

X1.15 The provisions of this Section X1 (and the definitions used in this Section) shall apply to SECCo as if SECCo was a Party.

Publication of Draft Subsidiary Documents by the DCC

X1.16 Where, pursuant to this Code or the DCC Licence, the DCC is required to prepare or produce and to consult upon a draft (or further draft) of a document (or to resubmit a document) that is intended to be incorporated into this Code as a SEC Subsidiary Document, the DCC shall, at or around the same time as the DCC sends such document to the Secretary of State, publish on the DCC Website:

- (a) a copy of the document sent to the Secretary of State; and
- (b) a summary of any material comments raised in response to the consultation and a brief description of the reasons why any associated changes to the document were or were not made.

Testing in respect of Additional Release Services

X1.17 A Party seeking to become a User for a particular User Role must undertake the User Entry Process Tests relevant to that User Role, as described in Sections H1 (User Entry Process) and H14 (Testing). Certain Services are only available to Parties that have become a User for the applicable User Role, as described in Section H3 (DCC User Interface) and the DCC User Interface Services Schedule. Where the Secretary of State makes directions pursuant to Section X3 (Provisions to Become Effective Following Designation) whereby the Common Test Scenarios Document is varied on it first becoming effective so that there are Service Requests that are deemed to be omitted from the document, then the following provisions shall apply:

- (a) the Service Requests that are subject to such a direction shall, for so long as the variation in respect of that Service Request remains in effect, be "**Additional Release Services**";
- (b) Parties that start User Entry Process Tests at a time where there are Additional Release Services shall undertake (and be able to successfully complete) the User Entry Process Tests without reference to those Additional Release Services;
- (c) a User that completes User Entry Process Tests that did not include testing of Service Requests that used to be (but are no longer) Additional Release Services shall (notwithstanding any other

provision of this Code) not be an Eligible User for those Service Requests until that User has successfully completed the applicable Additional SR Tests for those Service Requests; and

- (d) **"Additional SR Tests"** means, in respect of one or more Service Requests that used to be (but are no longer) Additional Release Services, testing equivalent to User Entry Process Tests but undertaken only in respect of those Service Requests. Accordingly, and without limitation, the following shall apply:
 - (i) Additional SR Tests shall constitute a Testing Service, and shall therefore be subject to the provisions of Section H14 (Testing Services);
 - (ii) Additional SR Tests shall be provided by the DCC, and shall be capable of being undertaken by Parties, in accordance with Sections H14.12 to H14.21 (User Entry Process Tests), but:
 - (A) construed by reference to only those relevant Service Requests;
 - (B) where a Party has already demonstrated capability for the purposes of User Entry Process Tests, this can be relied upon for the purposes of the Additional SR Tests (unless the DCC considers that this is not appropriate for those Additional SR Tests);
 - (C) potentially (as provided for in the Common Test Scenarios Document) without the need to re-test the DCC Gateway Connection;
 - (D) without the need to re-test the Self-Service Interface; and
 - (E) subject to any other exceptions provided for in the Common Test Scenarios Document.

DCC Live Services Criteria Report

X1.18 This Section X1.18 shall apply where the DCC produces a report concerning its readiness to commence provision of the Services (or any part of the Services), and where the Secretary of State directs the Panel to review that report. Where this Section X1.18 applies, the Panel shall review the DCC's report and report to the Secretary of State in accordance with the criteria, scope and timing specified in the Secretary of State's direction.

Developing ETAD for RDP Entry Process Tests

X1.19 The DCC shall develop a revised Enduring Testing Approach Document which provides the detailed processes concerning the RDP Entry Process Tests in accordance with Section X1.20, such that the revised document can be re-designated pursuant to Section X5 (Incorporation of Certain Documents into this Code). The revisions shall include the following in respect of the RDP Entry Process Tests:

- (a) entry criteria for RDPs wishing to undertake the tests;
- (b) exit criteria demonstrating successful completion of the tests; and
- (c) the process for first exchanging between the RDP and the DCC a full set of the Data to be exchanged under Section E2 (Provision of Data).

X1.20 The procedure by which the DCC is to develop the revisions to the Enduring Testing Approach Document is as follows:

- (a) the DCC shall produce a draft by such date as the Secretary of State may direct;
- (b) in producing the draft, the DCC must consult appropriately with Parties and other interested persons;
- (c) where disagreements with the Parties arise concerning the proposed content of the draft, the DCC shall seek to reach an agreed solution with them, but without prejudice to the purposes of the document;
- (d) having complied with (b) and (c) above, the DCC shall submit the draft revisions to the Secretary of State as soon as is reasonably practicable, and in any case by such date as the Secretary of State may direct;
- (e) when submitting a draft under paragraph (d) above, the DCC shall indicate to the Secretary of State: (i) why the DCC considers the draft to be fit for purpose; (ii) copies of the consultation responses received; and (iii) any areas of disagreement that arose during the consultation process and that have not been resolved; and
- (f) the DCC must comply with the requirements with respect to process, timeframe and/or further development of content in any direction that is given by the Secretary of State regarding the draft document.

X2. EFFECTIVE PROVISIONS AT DESIGNATION

Provisions to have Effect from Designation

- X2.1 The Secretary of State shall be entitled to direct the Panel to cancel or suspend any Modification Proposal, in which case the Panel shall cancel or suspend the Modification Proposal in question and it shall not then be further progressed or implemented (or, in the case of suspension, shall not then be further progressed or implemented until the Secretary of State so directs).
- X2.2 Where a Section is stated in this Section X2 to apply subject to more than one variation, then the Secretary of State may:
 - (a) designate different dates from which each such variation is to cease to apply; and/or
 - (b) designate a date from which one or more such variations are to cease to apply (without prejudice to the continued application of the other such variations).
- X2.3 Before designating any dates for the purpose of this Section X2, the Secretary of State must consult the Authority, the Panel and the Parties in respect of the proposed date. Such consultation must allow such period of time as the Secretary of State considers appropriate in the circumstances within which to make representations or objections with respect to the proposed date. The requirement for consultation may be satisfied by consultation before, as well as after, the designation of this Code.

X3. PROVISIONS TO BECOME EFFECTIVE FOLLOWING DESIGNATION

Effective Dates

- X3.1 Each Section, Schedule and SEC Subsidiary Document (or any part thereof) shall be effective, unless (at the time of a provision being added or modified by the Secretary of State pursuant to section 88 of the Energy Act 2008) the Secretary of State directs that such addition or modification is not to have effect,

or is not to have an effect until a later date. Where the Secretary of State directs that an addition or modification is not to have effect, the Secretary of State may subsequently designate a date from which it is to have effect.

General

- X3.2 Before designating any dates and/or making any directions for the purpose of this Section X3, the Secretary of State must consult the Authority, the Panel and the Parties in respect of the proposed date and/or the draft direction (as applicable). Such consultation must allow such period of time as the Secretary of State considers appropriate in the circumstances within which to make representations or objections with respect to the proposed date and/or the draft direction (as applicable).

X4. GOVERNANCE SET-UP ARRANGEMENTS

[NOT IN USE]

X5. INCORPORATION OF CERTAIN DOCUMENTS INTO THIS CODE

Smart Metering Equipment Technical Specifications

- X5.1 The document designated by the Secretary of State, titled "Smart Metering Equipment Technical Specifications" and containing any one or more of the following:

- (a) the ESME Technical Specification;
- (b) the GSME Technical Specification;
- (c) the IHD Technical Specification;
- (d) the PPMID Technical Specification;
- (e) the HCALCS Technical Specification; or
- (f) the SAPC Technical Specification,

in accordance with Part G of Condition 22 of the DCC Licence shall, from the relevant date designated by the Secretary of State for the purpose of such document and of this Section X5.1, be incorporated in to this Code as the Schedule specified in such designation.

Communications Hub Technical Specifications

- X5.2 The document designated by the Secretary of State as the Communications Hub Technical Specifications in accordance with Part G of Condition 22 of the DCC Licence shall, from the relevant date designated by the Secretary of State for the purpose of such document and this Section X5.2, be incorporated into this Code as the Schedule specified in such designation.

Certificate Policies

- X5.3 Any document designated by the Secretary of State as a Certificate Policy in accordance with Part G of Condition 22 of the DCC Licence shall, from the relevant date designated by the Secretary of State for

the purpose of such document and this Section X5.3, be incorporated into this Code as the Schedule or SEC Subsidiary Document specified in such designation.

Other Technical Specifications

- X5.4 Each of the technical specifications and procedural or associated documents designated by the Secretary of State in accordance with Part C of Condition 22 of the DCC Licence shall, from the relevant date designated by the Secretary of State for the purpose of such document and this Section X5.4, be incorporated into this Code as the Schedule or SEC Subsidiary Document specified in such designation.

Re-Designation of Documents

- X5.5 Paragraph 29(b) of Condition 22 of the DCC Licence includes a power for the Secretary of State to re-designate any document of a type referred to in Sections X5.1 to X5.4, subject to such amendments as he considers requisite or expedient. Where the Secretary of State exercises that power in relation to any such document:
- (a) it shall be incorporated into this Code in substitution for the form of that document that was previously incorporated;
 - (b) the other provisions of this Section X5 shall apply to it as if it were a document being designated for the first time; and
 - (c) references in those provisions to the document being designated shall be read as referring to it being re-designated

Supplementary Provisions

- X5.6 Paragraph 30 of Condition 22 of the DCC Licence includes a power for the Secretary of State to specify supplementary, incidental, consequential, governance or other provisions which are to have effect in this Code from the date designated for such purpose by the Secretary of State. This Code shall automatically be amended so as to include such provisions with effect from such date.

General

- X5.7 This Code provides for the development of certain documents which may then be incorporated into this Code pursuant to this Section X5. Where this Code sets out the required purpose or content of such documents, the Secretary of State may designate for incorporation under this Section X5 documents that fulfil only part of that purpose or include only part of that content, with a view to subsequently re-designating more complete documents at a later date.
- X5.8 The incorporation of documents into this Code pursuant to this Section X5 (and any provisions made pursuant to Section X5.6) shall not constitute a modification that should be subject to Section D (Modification Process). The incorporation of documents into this Code pursuant to this Section X5 (and any provisions made pursuant to Section X5.6) shall not constitute a variation of this Code that is time limited in accordance with Section X1.5A (and such documents and provisions shall remain part of this Code notwithstanding the deletion of this Section X5 in accordance with Section X1.5A).
- X5.9 The documents incorporated into this Code pursuant to this Section X5 (and any provision made pursuant to Section X5.6) shall, from the date of their incorporation, be subject to modification in accordance with the provisions of this Code.

- X5.10 Before designating any dates for the purpose of this Section X5, the Secretary of State must consult the Authority, the Panel and the Parties in respect of the proposed date. Such consultation must allow such period of time as the Secretary of State considers appropriate in the circumstances within which to make representations or objections with respect to the proposed date to be designated. The requirement for consultation may be satisfied by consultation before, as well as after, the designation of this Code.
- X5.11 Before designating any date from which a document is to be incorporated into this Code pursuant to this Section X5, the content of such document must have been subject to such consultation as the Secretary of State considers appropriate in the circumstances (whether or not under this Code, whether or not undertaken by the Secretary of State and whether before or after the designation of this Code).

X6. TRANSITIONAL VARIATIONS

[NOT IN USE]

X7. TRANSITIONAL INCIDENT MANAGEMENT PROCEDURES

[NOT IN USE]

X8. DEVELOPING CH SUPPORT MATERIALS

[NOT IN USE]

X9. INTERIM DEVICE AND USER SYSTEM TESTING¹

Interim Device Testing

- X9.1 The DCC shall provide a testing service (referred to in this Section X9 as "**GFI Testing**") to enable eligible persons to test the interoperability of Devices (other than those comprising Communications Hubs) with the DCC Systems and with the Communications Hubs to be provided as part of the Testing Services, such that those Devices are able to respond to Commands received from or via the DCC in accordance with the requirements defined in the GB Companion Specification.
- X9.2 The following shall apply in respect of GFI Testing:
- (a) the following persons shall be eligible to undertake GFI Testing: Parties and persons that have signed agreements based on the Specimen Enabling Services Agreement (subject only to such variations from such specimen form as are reasonable in the circumstances, including so as to require compliance with this Section X9.2);
 - (b) the references in Section X9.1 to "Communications Hubs", "DCC Systems" and "Devices" shall be interpreted as including reference to prototypes or simulations of those things (and GFI Testing shall not include communication via the SM WAN, or a simulation of the SM WAN);
 - (c) Section H14 (Testing Services) shall apply in respect of GFI Testing as if GFI Testing was a Testing Service, and the DCC and each person undertaking GFI Testing shall comply with Sections H14 in respect of GFI Testing as if GFI Testing was a Testing Service (provided that none of the following shall apply: Sections H14.3, H14.9, H14.10 and H14.11);

¹ This section X9 was included from 18 April 2016 as a variation under section X6 provisions.

- (d) persons undertaking GFI Testing must each comply with such reasonable supplemental obligations as the DCC may notify to them from time to time (provided that such obligations are not inconsistent with the provisions of the Code that are in effect at that time); and
- (e) the Testing Issue process in Section H14.37 to H14.45 (General: Testing Issue Resolution Process) shall not apply to GFI Testing, but the DCC must take reasonable steps to provide support and assistance to a person undertaking GFI Testing in order to assist that person in resolving Testing Issues encountered when undertaking GFI Testing.

Pre-UEPT Testing

- X9.3 The DCC shall allow each Party that is entitled to use a DCC Gateway Connection to establish and validate a connection via that DCC Gateway Connection to a test environment to be used for the purposes of Pre-UEPT Testing.
- X9.4 The DCC shall, with effect from 6 May 2016, provide a testing service (referred to in this Section X9 as "**Pre-UEPT Testing**") that enables Parties to test their capability (and that of their Systems) to undertake the following activities over a DCC Gateway Connection:
 - (a) the sending of (at least) the following Service Requests (which are identified by reference to the numbering used in the Common Test Scenarios Document):
 - (i) 4.1.1;
 - (ii) 5.1, 5.2 and 5.3;
 - (iii) 6.2.7, 6.11, 6.15.1, 6.15.2, 6.17, 6.20.1, 6.21 and 6.23;
 - (iv) 8.1.1, 8.2, 8.3, 8.4, 8.6, 8.7.1, 8.7.2, 8.8.1, 8.8.2, 8.9, 8.11, 8.12.1, 8.12.2, 8.13, 8.14.1, 8.14.2, 8.14.3 and 8.14.4; and
 - (v) 11.1, 11.2, 11.3, 12.1 and 12.2;
 - (b) the sending of one or more Signed Pre-Commands; and
 - (c) the receipt of Pre-Commands and Service Responses in respect of (at least) the Service Requests set out in paragraph (a) above (in the case of Pre-Commands, only to the extent those Service Requests are designed to generate Pre- Commands).
- X9.5 From as soon as the DCC is reasonably able to do so, the DCC shall expand the Pre- UEPT Testing to include the ability of Parties to test their capability (and that of their Systems) to send each of the Service Requests identified in the Common Test Scenarios Document but not listed in Section X9.4(a).
- X9.6 The following shall apply in respect of Pre-UEPT Testing:
 - (a) the references in Sections X9.4 and X9.5 to "Service Requests", "Signed Pre- Commands", "Pre-Commands", "Service Responses", "Device Alerts" and "DCC Alerts" shall be interpreted as including simulations of those things, which simulations may:
 - (i) include standardised or sample Data; and

- (ii) omit Certificates, GBCS Payloads, Digital Signatures or Message Authentication Codes that would otherwise be required;
- (b) Section H14 (Testing Services) shall apply in respect of Pre-UEPT Testing as if Pre-UEPT Testing was a Testing Service, and the DCC and each Party undertaking Pre-UEPT Testing shall comply with Sections H14 in respect of Pre-UEPT Testing as if Pre-UEPT Testing was a Testing Service (provided that none of the following shall apply: Sections H14.3, H14.4, H14.9 and H14.10);
- (c) persons undertaking Pre-UEPT Testing must each comply with such reasonable supplemental obligations as the DCC may notify to them from time to time (provided that such obligations are not inconsistent with the provisions of the Code that are in effect at that time); and
- (d) the Testing Issue process in Section H14.37 to H14.45 (General: Testing Issue Resolution Process) shall not apply to Pre-UEPT Testing, but the DCC must take reasonable steps to provide support and assistance to a Party undertaking Pre-UEPT Testing in order to assist that Party in resolving Testing Issues encountered when undertaking Pre-UEPT Testing.

Interaction with Device and User Systems Tests

X9.7 Not used.

X9.8 Not used.

X9.9 Not used.

X10. THRESHOLD ANOMALY DETECTION PROCEDURES

[NOT IN USE]

X11. SECRETARY-OF-STATE-LED VARIATIONS

Overview

X11.1 This Section X11 applies in respect of variations to this Code which the Secretary of State has the power to make under statute, Energy Licences and/or other provisions of this Code, and provides for a testing process to be followed in respect of such variations. References in this Section X11 to proposed variations includes variations which the Secretary of State is considering, is consulting on or has decided upon but not yet fully implemented.

Optional Analysis

X11.2 Where the Secretary of State so directs from time to time in respect of one or more proposed variations to this Code, the DCC shall analyse and report to the Secretary of State on the matters set out in that direction in accordance with the process and timescale set out in that direction. Such matters may include, without limitation:

- (a) the extent to which changes would be required to the DCC Total System were the proposed variation to be made; and/or
- (b) the likely development, capital and operating costs associated with such changes, and any consequential impact on the Charges.

SEC Variation Testing Approach Document

- X11.3 Each SEC Variation Testing Approach Document is to be developed by the DCC pursuant to this Section X11, and then incorporated into this Code pursuant to Section X5 (Incorporation of Certain Documents into this Code).
- X11.4 Where the Secretary of State so directs from time to time in respect of one or more proposed variations to this Code, the DCC shall develop a draft SEC Variation Testing Approach Document in respect of those proposed variations. The DCC shall develop that document in accordance with the timetable directed by the Secretary of State, in consultation with such other persons (if any) as the Secretary of State may direct, and otherwise in accordance with any process that the Secretary of State may direct.
- X11.5 Each draft SEC Variation Testing Approach Document produced by the DCC shall set out the following in respect of the proposed variation(s), which must be consistent with any directions concerning the same made by the Secretary of State:
- (a) the testing objectives;
 - (b) the testing to be undertaken;
 - (c) the testing environments to be used;
 - (d) the timetable for testing;
 - (e) the entry criteria for the start of testing or for the start of testing phases;
 - (f) the persons other than the DCC that are entitled or obliged to participate in testing;
 - (g) the entry criteria for the testing participants and the DCC;
 - (h) roles, responsibilities and obligations of the DCC and of the testing participants in respect of testing;
 - (i) the process for making amendments to the document, which shall include amendments directed by the Secretary of State;
 - (j) the process for resolving disputes under the document;
 - (k) the exit criteria for completion of testing (or stages of testing); and
 - (l) the process by which testing will be determined to be complete.
- X11.6 The DCC shall submit each draft SEC Variation Testing Approach Document to the Secretary of State, indicating:
- (a) why the DCC considers the draft to be fit for purpose;
 - (b) copies of the consultation responses received; and
 - (c) any areas of disagreement that arose during the consultation process and that have not been resolved,

and, the DCC shall comply with any direction given by the Secretary of State to re-consider, re-consult and/or re-submit the draft document.

Compliance with SEC Variation Testing Approach Document

- X11.7 The DCC and each person other than the DCC that participates in (or is required to participate in) testing under a SEC Variation Testing Approach Document shall comply with the SEC Variation Testing Approach Document.
- X11.8 Section H14 (Testing Services) and the Enduring Testing Approach Document shall apply in respect of testing under a SEC Variation Testing Approach Document as if such testing was a Testing Service under Section H14.34 (Modification Implementation Testing); and each participant in such testing shall be deemed to be a Testing Participant for such purposes.