

Smart Energy Code

SEC Checklist for Small Suppliers

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Domestic Small Suppliers were required to be DCC Users by 25th November 2017. Prior to becoming a **DCC User**.

Non-Domestic Small Suppliers are required to be DCC Users by 31st August 2018. Prior to becoming a **DCC User**.

SEC Parties are required to fulfil a range of pre-conditions and testing. The aim of this guide is to provide a checklist of the SEC requirements **Small Supplier Parties** must go through on the path to becoming a DCC User.

SEC Checklist for becoming a DCC User

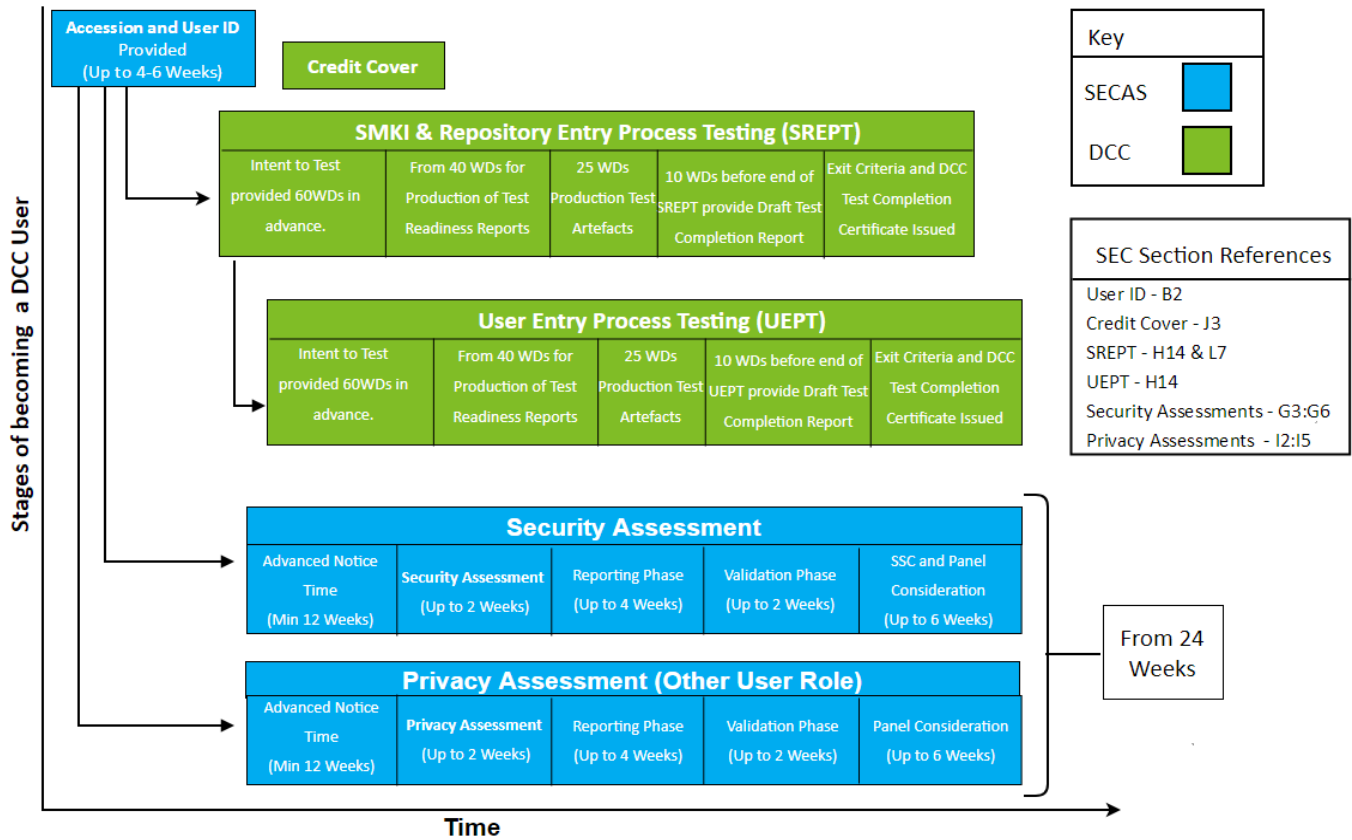
Each of the below requirements are cross-referenced with the SEC. Many of these are also specified in SEC Section H1.10.

- User ID** – Small Supplier Parties will need an EUI-64 compliant identifier for use as your User ID. This is obtained by applying to the SEC Panel via the SECAS helpdesk, as described in the ID Allocation Procedure, available from the SEC website.
SEC Reference – Section B2 ‘DCC, User and RDP Identifier.’
- Credit Cover** – Small Suppliers are required to put in place a form of Credit Support (Bank Guarantee, Letter of Credit and/or a Cash Deposit) if their Credit Cover Requirement is over the Credit Cover Threshold.
SEC Reference – Section J3 ‘Credit Cover’.
- SMKI & Repository Entry Process Tests (SREPT)** – As a Small Supplier you will want to access the Smart Metering Key Infrastructure (SMKI) Repository and to become an Authorised Subscriber under the Device and Organisation Certificate Policies. For this to happen, you must complete SREPT.
SEC Reference – Section L7 ‘SMKI and Repository Entry Process Tests’.
- User Entry Process Tests (UEPT)** – To ensure your systems are interoperable with the DCC systems, you will need to complete the user entry tests for that User Role. UEPTs test the User’s ability to utilise the suite of Service Requests available to them (defined in the Common Test Scenarios) and access to the Self-Service Interface. You must provide 60 working days’ notice to DCC of your intention to commence testing.
SEC Reference – Section H1 ‘User Entry Process’ and SEC Appendix R ‘Common Test Scenarios Document’ (currently inactive but is a designated section).
- User Security Assessment** – Parties accessing DCC data are required to undertake a Security Assessment by the User Independent Security Assurance Service Provider. Visit the SEC website for more information on how to initiate an assessment.
SEC Reference – Sections G3-G6 ‘System Security: Obligations on Users’, ‘Information Security: Obligations on the DCC’ and Users’ and ‘Anomaly Detection Thresholds: Obligations on the DCC and Users’.
- Privacy Audit** – Suppliers have privacy conditions in their licences, however, if you also want to operate in the capacity of ‘Other’ User Role you must complete a Privacy Audit.
SEC Reference – Section I2 ‘Other User Privacy Audits’.

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The following timeline has been produced to inform Parties of the indicative timescales associated with each of the elements of the User Entry Process.



Disclaimer

The timescales above are indicative and are dependent upon:

1. The Credit Cover time-frame is dependent upon commercial discussions with the DCC. The DCC will review the Credit Cover requirement each month to ensure the correct cover is in place.
2. Completion of SREPT and UEPT are dependent on individual Parties and the time-frames assume that the connection with the DCC Gateway works successfully.
3. Exit Criteria and Testing Completion:
 - a. Within 2WDs, the DCC confirm receipt of notification of Test Completion;
 - b. Within 5WDs of receipt of the notification, the DCC review the completion report;
 - c. Within 2WDs of successful Exit Quality Gate Review meeting, the DCC confirm Test Completion.
4. The timescale of Security and Privacy Assessments will depend upon the scale of the User System being assessed and the preparedness of the Party undergoing the assessment. Time required to make changes in accordance with User CIO observations will also need to be taken into account.
5. Depending on the outcome of the assessments, further actions may need to be taken.

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