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## SECP\_76\_1701 - Panel Paper Overview

This document provides an overview of all the papers to be presented at the SEC Panel meeting on 17 January 2019; so that Parties can determine those papers that may be of interest.

An indication of the focus of each paper has been provided to highlight whether it is of interest to a particular reader, i.e. security, technical, governance.

Following the meeting, SECAS will issue meeting headlines, which outline any decisions and outcomes from the Panel meeting.

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### Decision, Discussion and Information Items

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#### SECP\_76\_1701\_01 – Minutes and Actions Outstanding (For Information)

**Paper focus:**

- Governance

**Summary:**

The final minutes from the December 2019 Panel meeting and an update on outstanding actions from previous meetings.

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#### SECP\_76\_1701\_02 – Privacy Assessment Reviews - Shared Resource Provider 'C' and Other User 'H' (For Decision) (AMBER)

**Paper focus:** Late paper

- Privacy

**Summary:**

This late paper provides Shared Resource Provider 'C's Privacy Self-Assessment and Other User 'H's Full User Privacy Assessment Report to support the Panel in reaching a decision.

The Panel is requested to set an assurance status for Other User 'H' in terms of compliance with each of its obligations under Sections I1.2 to I1.5, in accordance with Section I2.23. The Panel is also requested to agree whether the User response is satisfactory and proportionate for Shared Resource Provider 'C' in terms of compliance with each of its obligations under Sections I1.2 to I1.5, in accordance with Section I2.26.

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#### SECP\_76\_1701\_03 – Consumption Data Approach Change Notice – Other User 'C' (For Decision) (RED)

**Paper focus:**

- Privacy

**Summary:**

Other User 'C' has provided the SEC Panel with a notification as the Party intends to change its approach to obtaining Consumption Data. This is in accordance with the guidance given in the Privacy Controls Framework V2.7, specifically regarding SEC Obligation I1.2.

The Panel is recommended to agree that Other User 'C' will require a further privacy assessment.

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**SECP\_76\_1701\_04 – Smart Metering Assurance for SMETS2 Device Interoperability on Change – Initial Assessment (For Decision) (AMBER)**

**Paper focus:**

- Governance

**Summary:**

Following receipt of a letter from BEIS requesting that the Panel take forward five recommendations arising out of a review of Smart Metering Assurance for SMETS2 Device interoperability, SECAS undertook a review of the feasibility of each of the recommendations. This paper sets out the consequential impacts on the SEC and Panel activities.

The Panel is requested to agree the outlined approach to the implementation of each of the five recommendations.

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**SECP\_76\_1701\_05 – SEC Panel Risk and Issue Register update (For Decision) (AMBER)**

**Paper focus:**

- Governance

**Summary:**

This paper sets out a revised set of risks, following a full review of all risks on the SEC Panel Risk Register and Issues on the Issue Register.

The Panel is requested to agree the recommendations regarding the SEC Panel Risk and Issue Registers.

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**SECP\_76\_1701\_06 – Mitigating Supplier of Last Resort Risk – Project Brief (For Decision) (GREEN)**

**Paper focus:**

- Governance
- Supplier of Last Resort

**Summary:**

This paper sets out the key deliverables for the Mitigating Supplier of Last Resort (SoLR) Risk project, updates the Panel on the progress made so far, and outlines the remaining work and timelines.

The Panel is requested to approve the recommendation of the Project to the SECCo Board for release of funds.

**SECP\_76\_1701\_07 – Technical Effectiveness Review Survey Responses (For Information)**  
(AMBER)

**Paper focus:**

- Governance
- Technical

**Summary:**

This paper summarises the analysis of responses collated from the Effectiveness Review Survey undertaken by SECAS in September 2019 on behalf of the Technical Architecture and Business Architecture Sub-Committee (TABASC).

The Panel is invited to note the results of the survey.

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**SECP\_76\_1701\_08 – SEC Technical Issue Resolution Capability and TSIRS transition – Phase 1 update (For Decision)** (AMBER)

**Paper focus:**

- Governance
- Technical

**Summary:**

This paper provides the Panel with an update on Phase 1 of this programme, which was to confirm the scope and service expectations for the technical specification issue resolution capability in an enduring state, as well as the activities and costs associated with transition.

The Panel is requested to approve the issuance of a Request for Information such that Phase 1 can be completed.

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**SECP\_76\_1701\_09 – Procurement of the CIO for the Security and Privacy Assurance of DCC Users – Project Brief (For Decision)** (AMBER)

**Paper focus:**

- Governance
- Security
- Privacy

**Summary:**

The purpose of this paper is to advise the Panel of the Competent Independent Organisation (CIO) Procurement activity.

The Panel is requested to agree the procurement activities, the proposed procurement timetable and the appointment of a Procurement Sub-Group.

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**SECP\_76\_1701\_10 – Planned Maintenance Trial Update (For Decision)**

**Presentation focus:**

- Governance

**Summary:**

This presentation sets out a request from the DCC to continue with the Planned Maintenance trial until [MP092 'New Planned Maintenance methodology'](#) is implemented.

The Panel is requested to approve the DCC's request.

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**SECP\_76\_1701\_11 – Current Events of Default (For Decision) (RED)**

**Paper focus:**

- Governance

**Summary:**

This paper provides the Panel with an update on two new and three existing Events of Default, in addition to Ofgem Licence revocations and Network Operator non-compliances. Actions taken since the last SEC Panel meeting are also reported.

The Panel is requested to agree the recommended actions for the two new Events of Default and Parties affected by Ofgem's Licence revocations. The Panel is also requested to note the three existing Events of Default and Network Operator non-compliance updates.

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**SECP\_76\_1701\_12 – Digitalisation Strategy (For Decision)**

**Paper focus:**

- Governance

**Summary:**

The purpose of this paper is to seek the Panel's approval to implement a Digitalisation Strategy, which considers how SEC Parties interact with the SEC and SECAS.

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**SECP\_76\_1701\_13 – Updates to the Change Board Terms of Reference (For Decision)**

**Paper focus:**

- Governance

**Summary:**

This paper informs the Panel of the proposed changes to the Change Board's Terms of Reference, following a regular review.

The Panel is requested to approve the updated Change Board Terms of Reference.

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**SECP\_76\_1701\_14 – Change Status Report – January 2020 (For Information)**

**Paper focus:**

- Change
- Reporting

**Summary:**

This paper provides an update on the progress of Modification Proposals going through the change process, a summary of potential future release content, alongside the DCC Impact Assessment (IA) and Preliminary Assessment (PA) timetable.

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**SECP\_76\_1701\_15 – SEC Modification Timetables (For Decision)**

**Paper focus:**

- Change

**Summary:**

This paper provides an update on the timetables for all Modification Proposals currently in the Refinement Process.

The Panel is requested to agree the programmes of work and timelines in this paper.

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**SECP\_76\_1701\_16 – Change of implementation date for MP081 ‘Alignment of DUIS and CHISM to reflect current DCC Processing’ (For Decision)**

**Paper focus:**

- Change

**Summary:**

This paper sets out a revision of the implementation date of [MP081 ‘Alignment of DUIS and CHISM to reflect current DCC Processing’](#).

The Panel is requested to agree to request that the Authority directs a revised implementation date for MP081.

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**SECP\_76\_1701\_17 – MP084 ‘Other User Panel Seating Amendment’ Modification Report (For Decision)**

**Paper focus:**

- Change

**Summary:**

[MP084 ‘Other User Panel Seating Amendment’](#) has undergone the Refinement Process. SECAS has completed the assessment of the areas requested by the Panel and prepared the Modification Report. This paper provides a high-level summary of the key points.

The Panel is requested to agree that MP079 should be progressed to the Report Phase and progressed as an Authority Determined Modification. The Panel is also requested to approve the Modification Report and implementation approach.

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## **SECP\_76\_1701\_18 – MP88 ‘Power to raise modifications’ Modification Report (For Decision)**

### **Paper focus:**

- Change

### **Summary:**

[MP088 ‘Power to raise modifications’](#) has undergone the Refinement Process. SECAS has completed the assessment of the areas requested by the Panel and prepared the Modification Report. This paper provides a high-level summary of the key points.

The Panel is requested to agree that MP079 should be progressed to the Report Phase and progressed as an Authority Determined Modification. The Panel is also requested to approve the Modification Report and implementation approach.

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## **SECP\_76\_1701\_19 – DP099 ‘Incorporation of multiple Issue Resolution Proposals into the SEC – Batch 4’ Problem Statement (For Decision)**

### **Paper focus:**

- Change

### **Summary:**

[DP099 ‘Incorporation of multiple Issue Resolution Proposals into the SEC - Batch 4’](#) has undergone the Development Stage and the Change Sub-Committee believes this Draft Proposal is ready to be converted to a Modification Proposal. This paper sets out the proposed approach for progressing this modification for the Panel’s approval.

The Panel is requested to agree that DP099 is ready to be converted to a Modification Proposal, should be progressed to the Refinement Process and agree the first package of work and the timetables for MP099.

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## **SECP\_76\_1701\_20 – DP102 ‘Power Outage Alerts triggered by an OTA firmware upgrade’ Problem Statement (For Decision)**

### **Paper focus:**

- Change

### **Summary:**

[DP102 ‘Power Outage Alerts triggered by an OTA firmware upgrade’](#) has undergone the Development Stage and the Change Sub-Committee believes this Draft Proposal is ready to be converted to a Modification Proposal. This paper sets out the proposed approach for progressing this modification for the Panel’s approval.

The Panel is requested to agree that DP102 is ready to be converted to a Modification Proposal, should be progressed to the Refinement Process and agree the first package of work and the timetables for MP102.

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## **SECP\_76\_1701\_21 – DP103 ‘DCC SOC2 Assessments’ Problem Statement (For Decision)**

### **Paper focus:**

- Change

**Summary:**

[DP103 'DCC SOC2 Assessments'](#) has undergone the Development Stage and the Change Sub-Committee believes this Draft Proposal is ready to be converted to a Modification Proposal. This paper sets out the proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP103 is ready to be converted to a Modification Proposal, should be progressed to the Refinement Process and agree the first package of work and the timetables for MP103.

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**SECP\_76\_1701\_22 – DP105 'Sending SR11.2 to Devices in Suspended State' Problem Statement (For Decision)**

**Paper focus:**

- Change

**Summary:**

[DP105 'Sending SR11.2 to Devices in Suspended State'](#) has undergone the Development Stage and the Change Sub-Committee believes this Draft Proposal is ready to be converted to a Modification Proposal. This paper sets out the proposed approach for progressing this modification for the Panel's approval.

The Panel is requested to agree that DP105 is ready to be converted to a Modification Proposal, should be progressed to the Refinement Process and agree the first package of work and the timetables for MP105.

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**SECP\_76\_1701\_23 – SEC Releases update (For Decision)**

**Paper focus:**

- Governance

**Summary:**

This paper provides an update on the scope of the SEC Releases in 2020 and 2021, and sets proposed acceptance criteria to use for SEC Releases.

The Panel is requested to re-baseline the Release Implementation Document for the June 2020 SEC Release and agree the updated set of acceptance criteria.

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## **Standing Agenda Items**

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**SECP\_76\_1701\_24 – BEIS Update (For Information)**

**Presentation focus:** To be provided on the day.

- Governance
- Reporting

**Summary:**

A presentation that provides the Panel with an update on recent publications, forthcoming consultations and key milestones.

The Panel is requested to note the update.

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#### **SECP\_76\_1701\_25 – DCC Update (For Information)**

**Presentation focus:** To be provided on the day.

- Governance
- Reporting

**Summary:**

A presentation that provides the Panel with an update on the activities undertaken by the DCC since the last Panel meeting.

The Service Request Forecasting Problem Statement has also been provided for information.

The Panel is requested to note the update.

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#### **SECP\_76\_1701\_26 – SEC Panel Sub-Committee Report (For Information)**

**Paper focus:**

- Governance
- Reporting

**Summary:**

An update on recent activities from the Panel Sub-Committees. It highlights the key issues discussed and details specific points the Sub-Committees would like to bring to the Panel's attention.

The Panel is requested to note the update.

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#### **SECP\_76\_1701\_27 – DCC Reporting (For Information)**

**Paper focus:**

- Governance
- Reporting

**Summary:**

This paper details the Post Commissioning Information report for November 2019 provided by the DCC for the SEC Panel to review, as required by the Smart Energy Code (SEC).

This paper sets out the observations raised by the Operations Group against each DCC report that has been delegated to them.

The Panel is requested to note the observations raised by the Operations Group.

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#### **SECP\_76\_1701\_28 – Operations Report – December 2019 (For Information)**

**Paper focus:**

- Governance
- Reporting



**Summary:**

The Operations Report is a monthly update based on SECAS activities in support of the SEC. It includes a breakdown of days by driver and provides commentary related to the activities undertaken by the SECAS team in December 2019.

The Panel is requested to note the report.

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**SECP\_76\_1701\_29 – Transitional Governance Update (For Information) (GREEN)**

**Paper focus:**

- Governance
- Reporting

**Summary:**

A compendium of activities occurring under the Smart Metering Implementation Programme (SMIP). The paper includes updates on the following areas:

- High level updates from Transitional Work Group meetings attended by SECAS and the Panel Chair in the last month;
- An overview of relevant notices from the Secretary of State.

The Panel is requested to note the update.

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