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MP091 ‘Updating Security Assurance Status’

Annex A

Legal text – version 1.1

About this document

This document contains the redlined changes to the SEC that would be required to deliver this Modification Proposal.

These changes have been drafted against SEC Version 6.17.

Section G 'Security'

Amend Sections G8.19, G8.36, G8.37 and G8.38 as follows:

G8.19 A "Follow-up Security Assessment" shall be an assessment carried out by the User Independent Security Assurance Service Provider, following a User Security Assessment, in accordance with the provisions of Section G8.29~~8~~.

Panel: Setting the Assurance Status

G8.34 Following the completion of that initial Full User Security Assessment, the Security Sub-Committee shall ensure that copies of both the User Security Assessment Report and User Security Assessment Response are provided to the Panel.

G8.35 Following the receipt by it of the User Security Assessment Report and User Security Assessment Response, the Panel shall promptly consider both documents and (having regard to any advice of the Security Sub-Committee) set the assurance status of the Party, in relation to its compliance with each of its obligations under Sections G3 to G6 in the relevant User Role, in accordance with Section G8.36.

G8.36 The Panel shall set the assurance status of the Party as one of the following:

- (a) approved;
- (b) approved, subject to the Party:
 - (i) taking such steps as it proposes to take in its User Security Assessment Response in accordance with Section G8.26(b); or
 - (ii) both taking such steps and being subject to a Follow-up Security Assessment by such date as the Panel may specify,
- (c) ~~provisionally approved~~~~deferred~~, ~~subject to~~ and:
 - (i) the Party having first taken~~ing~~ such steps as it proposes to take in its User Security Assessment Response in accordance with Section G8.26(b) and been subject to a Follow-up Security Assessment; and

- (ii) the Panel having determined that it is satisfied, on the evidence of the Follow-up Security Assessment, that such steps have been taken; or
- (d) ~~deferred/rejected,~~ and subject to:
 - (i) the Party shall have a second Full User Security Assessment amending its User Security Assessment Response to address any issues identified by the Panel as being, in the opinion of the Panel, not adequately addressed in that response as submitted to the Security Sub-Committee; and
 - (ii) upon completion of the second Full User Security Assessment the Panel will reconsidering the assurance status in accordance with Section G8.35 ~~in the light of such amendments to the User Security Assessment Response.~~

Approval

G8.37 For the purposes of Sections H1.10(c) and H1.11 (User Entry Process Requirements):

- (a) a Party shall be considered to have successfully demonstrated that it meets the applicable security requirements of this Section G8 when:
 - (i) the Panel has set its assurance status to 'approved' in accordance with either Section G8.36(a) or (b); or
 - (ii) the Panel has set its assurance status to '~~provisionally approved~~deferred' in accordance with Section G8.36(c) and the requirements specified in that Section have been met; and
- (b) the Panel shall notify the Code Administrator as soon as reasonably practicable after the completion of either event described in paragraph (a)(i) or (ii).

Obligations on an Approved Party

G8.38 Where the Panel has set the assurance status of a Party to 'approved² subject to' ~~one of~~

~~the requirements~~ specified in Section G8.36(b), the Party shall take the steps to which that approval is subject in accordance with that section.