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<b>Paper Reference:</b>	<b>SECCB_37_2012_03</b>
<b>Action:</b>	<b>For Decision</b>

## MP091 Change Board vote

### 1. Purpose

[MP091 'Updating Security Assurance Status'](#) has undergone Modification Report Consultation and is now being presented to the Change Board for vote. The Change Board is invited to determine whether MP091 should be approved or rejected under Self-Governance.

This paper provides a high-level summary of the key points. Full details can be found in the Modification Report in Appendix A and the responses received to the Modification Report Consultation in Appendix B.

### 2. Summary of the proposal

#### What is the issue?

Once a Party completes their Full User Security Assessment (FUSA) the SEC Panel assign them one of four assurance statuses. Two of these, "Provisionally Approved" and "Deferred", indicate significant security deficiencies that require substantial remediation, the severity of which are not reflected in the current SEC terminology.

The Security Sub Committee (SSC) believes that the assurance status set should be clear to Parties and accurately reflect the situation. As such it proposes to amend these assurance status as follows:

- "Provisionally Approved" to "Deferred"; and
- "Deferred" to "Rejected".

Additionally, there is no current provision for the SSC to require a Party to undertake a second FUSA. The SEC only allows for updates to the original User Security Assessment Response. The SSC believes it would be more appropriate that where a Party is set a status of "Rejected" a second FUSA is more appropriate than an updated User Security Assessment Response. A second FUSA would provide assurance that the significant deficiencies have been addressed.

#### What is the Proposed Solution?

MP091 will amend SEC Section G to reflect the new Assurance Statuses and, where a User has a status set as "rejected", allow the SSC to require a further FUSA be completed.

### 3. Modification Report Consultation responses

Three responses were received to the MP091 consultation; two from Network Parties and one from a Large Supplier. All respondents agreed that this modification should be approved, citing SEC Objective (g)<sup>1</sup>.

One respondent noted a grammatical error in the legal text. We have rectified this in the version being presented to the Change Board. They also noted that further clarity could be provided around the security statues applied to Verification User Assessments (VUSAs) and Self Assessments. We believe further clarity could be provided in this area and will look at raising a suitable proposal in the near future, but that this modification should proceed for approval.

### 4. Next steps

#### Determination approach

The Panel has determined that SECMP091 is a Self-Governance Modification. The Change Board's vote will therefore form a decision on the modification.

This decision will subject to a 10 Working Day objection period where Parties can refer the decision to the Panel. If no objection is received, the Change Board's decision will be final.

#### Implementation approach

The Panel has agreed this Modification be implemented on **27 February 2020** (February 2020 SEC Release) if a decision to approve is received on or before 20 December 2019.

### 5. Recommendations

The Change Board is requested to:

- **AGREE** that MP091 should proceed to vote;
- **DETERMINE** whether MP091 should be **APPROVED** or **REJECTED** under Self-Governance; and
- **PROVIDE** rationale for this decision against the General SEC Objectives.

Adam Lattimore

SECAS Team

13 December 2019

#### Attachments

- **Appendix A:** MP091 Modification Report
  - **Annex A:** MP091 Legal Text (updated)

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<sup>1</sup> Facilitate the efficient and transparent administration and implementation of the SEC

- **Appendix B:** MP091 Modification Report Consultation responses