



26 November 2019

The Authority (Ofgem), the SEC Panel, SEC Parties and other interested parties

Dear Colleague,

**SMART METERING IMPLEMENTATION PROGRAMME: CONSULTATION ON RE-DESIGNATION DATE OF SMART ENERGY CODE SUBSIDIARY DOCUMENTS TO SUPPORT DCC's SMETS1 SERVICE**

1. This letter constitutes a consultation on dates to incorporate into the Smart Energy Code (SEC) new versions of Subsidiary Documents (SSDs) which support the enrolment and operation of SMETS1 devices in the DCC's national communications network. This supports the interoperability of these devices when consumers change energy supplier.
2. Government has made various modifications to the smart metering regulatory framework to support the provision of a SMETS1 service including via a number of subsidiary documents to the SEC. The latest version of documents are set out in the Testing Baseline Requirements Document<sup>1</sup>. We expect that the regulatory framework will continue to need to be amended from time to time in support of different Device Model Combinations (DMCs) being enrolled and in support of different operating capabilities, notably in the light of evidence from testing.
3. In support of planned further entries to the list of Eligible Product Combinations on 9 December 2019, DCC has published and subsequently baselined through BEIS transitional governance two amendments to Appendix AM - SMETS1 Supporting Requirements 1.0 (S1SR). The first consultation concluded 21 October 2019<sup>2</sup> and the second 15 November 2019<sup>3</sup>. The amended S1SR has now been submitted to BEIS for approval, together with DCC's consultation conclusions and copies of the responses received from stakeholders.
4. Separately, on 27 June 2019 DCC ran a consultation on proposals for a fast track process for Pending Product Combinations Tests (PPCT) where a new DMC arises as a

---

<sup>1</sup> <https://smartenergycodecompany.co.uk/the-developing-sec/>

<sup>2</sup> <https://www.smartdcc.co.uk/customer-hub/consultations/dcc-responses/dcc-conclusion-on-changes-to-the-smets1-supporting-requirements-document-1/>

<sup>3</sup> <https://www.smartdcc.co.uk/customer-hub/consultations/dcc-responses/response-to-the-s1sr-consultation/>

result of the need for an urgent firmware upgrade to one or more existing Device Models on security grounds. The consultation included proposed changes to the Enduring Testing Approach Document (ETAD) - Appendix J to the Smart Energy Code (SEC) and consequential amendments to the Service Request Processing Document (SRPD) - SEC Appendix AB. The consultation ended 11 July 2019. We anticipate DCC sending us their conclusions on this consultation imminently.

5. We propose that amended SEC Appendix AM – SMETS1 Supporting Requirements, to SEC Appendix J – Enduring Testing Approach Document and to SEC Appendix AB – Service Request Processing Document are re-designated on 9 December 2019 (or where necessary within one month of thereafter).
6. A draft direction letter is set out at **Annex A** and a marked-up version of the SMETS1 Supporting Requirements document in legal effect is set out at **Annex B**. We expect the DCC to publish on its website marked up versions of the documents to support the fast-track PPCT process shortly.

Consultation questions	
<b>Q1</b>	Do you agree with the proposed re-designation date of 9 December 2019 (or, if the Secretary of State considers it necessary, within one month thereafter) for the incorporation of SEC Appendix AM – SMETS1 Supporting Requirements?
<b>Q2</b>	Do you agree with the proposed re-designation date of 9 December 2019 (or, if the Secretary of State considers it necessary, within one month thereafter) for the incorporation of SEC Appendix J – Enduring Testing Approach Document and to SEC Appendix AB – Service Request Processing Document?

7. Comments on this proposal should be submitted by **17:00 on 4 December 2019** to: smartmetering@beis.gov.uk or addressed to: Smart Metering Implementation Programme – Regulation, Department for Business, Energy & Industrial Strategy, 1 Victoria Street, London SW1H 0ET.
8. Information provided in response to this consultation, including personal data, may be subject to publication or release to other parties, or to disclosure in accordance with the access to information regimes (these are primarily the Freedom of Information Act 2000, the Data Protection Act 2018 and the Environmental Information Regulations 2004).
9. Individual responses to this consultation may be published and you should therefore let us know if you are not content for your response or any part of it to be published. If you indicate that you do not want your response published, we will not publish it automatically, but it could still be subject to information requests as detailed above. If you do not want your individual response to be published, or to otherwise be treated

as confidential, please say so clearly in writing when you send your response to the consultation. For the purposes of considering access to information requests, it would also be helpful if you could explain to us why you regard the information you have provided as confidential. If we receive a request for disclosure of the information, we will take full account of your explanation, but we cannot give an assurance that confidentiality can be maintained in all circumstances. An automatic confidentiality disclaimer generated by your IT system will not, of itself, be regarded by us as a confidentiality request.

Yours faithfully,



**Duncan Stone**

Deputy Director & Head of Delivery  
Smart Metering Implementation Programme

**Annexes:**

Annex A: Draft Designation Direction

Annex B: SEC Appendix AM – SMETS1 Supporting Requirements 1.1 draft – marked up against version 1.0 in legal effect

## ANNEX A: DRAFT DESIGNATION DIRECTION

### Direction

This direction is made for the purposes of the smart meter communication licences granted to Smart DCC Ltd under the Electricity Act 1989 and the Gas Act 1986 (such licences being the "**DCC Licence**") and the Smart Energy Code designated by the Secretary of State pursuant to the DCC Licence (such code being the "**SEC**").

Words and expressions used in this direction shall be interpreted in accordance with Section A (Definitions and Interpretation) of the SEC.

Pursuant to Condition 22 of the DCC Licence and Section X5 (Incorporation of Certain Documents into this Code) of the SEC, the Secretary of State directs that, with effect from [9 December 2019], the **SMETS1 Supporting Requirements** previously designated and incorporated into the SEC as Appendix AM of the SEC is hereby re-designated and incorporated in the form set out in Annex [x] of this direction.

Pursuant to Condition 22 of the DCC Licence and Section X5 (Incorporation of Certain Documents into this Code) of the SEC, the Secretary of State directs that, with effect from [9 December 2019], the **Enduring Testing Approach Document** previously designated and incorporated into the SEC as Appendix J is hereby re-designated and incorporated in the form set out in Annex [x] of this direction.

Pursuant to Condition 22 of the DCC Licence and Section X5 (Incorporation of Certain Documents into this Code) of the SEC, the Secretary of State directs that, with effect from [9 December 2019], the **Service Request Processing Document** previously designated and incorporated into the SEC as Appendix AB is hereby re-designated and incorporated in the form set out in Annex [x] of this direction.

For the avoidance of doubt any re-designation of the Subsidiary Documents shall be without prejudice to anything done under the DCC Licence or the SEC on or after these documents first being designated, or to the continuing effectiveness of anything done under these documents prior to their re-designation (which shall have effect as if done under the re-designated documents).

This direction is also being notified to the SEC Administrator and an updated version of the SEC to reflect these changes will be available on the SEC website in due course.