SECP_68_1005 - Panel Paper Overview

This document provides an overview of all the papers to be presented at the SEC Panel meeting on 10 May 2019; so that Parties can determine those papers that may be of interest.

An indication of the focus of each paper has been provided to highlight whether it is of interest to a particular reader, i.e. security, technical, governance.

Following the meeting, SECAS will issue meeting headlines, which outline any decisions and outcomes from the Panel meeting.

Decision and Discussion Items

SECP_68_1005_01 – Minutes and Actions Outstanding (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	

Summary:

The final minutes from the April 2019 Panel meeting and an update on outstanding actions from previous meetings.

SECP_68_1005_02 – Privacy Controls Framework Amendment – Self-Assessment Questionnaire Update (For Decision) (AMBER)

Paper focus:

Governance	✓	Privacy	✓	Technical	
Change		Reporting		Other	

Summary:

SECAS and the User CIO have created questions for Users undertaking a Privacy Self-Assessment, to replace the current questionnaire that already exists in the Privacy Controls Framework, and the Panel are requested to approve these questions.

SECP_68_1005_03 – Supplier of Last Resort Workshop Update (For Information) (AMBER)

Presentation focus: To be provided on the day.

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

This confidential presentation will provide the Panel with an overview of the outputs of the Supplier of Last Resort workshops that have taken place to date.

SECP_68_1005_04 – Current Events of Default (For Decision) (RED)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

This paper provides the Panel with an update on three existing Events of Default and one new Event of Default, and actions taken since the last SEC Panel meeting.

The Panel is requested to agree the recommendation for the new Event of Default.

SECP_68_1005_05 – SEC Party Engagement Day - Preparations (For Decision)

Paper focus:

Governance	✓	Privacy		Technical	
Change		Reporting		Other	

Summary:

This paper provides the SEC Panel with the outline preparations for the SEC Panel Engagement Day in terms of the format of the day and the date for the meeting.

The Panel is requested to agree the outlined approach for the SEC Party Engagement Day.

SECP_68_1005_06 – DCC Stakeholder Engagement (For Information) (GREEN)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	

Summary:

This paper provides an overview of the DCC's stakeholder engagement approach, in addition to the DCC's draft Business and Development Plan.

The Panel are requested to note the contents of this paper.

Change Items

SECP_68_1005_07 – Change Status Report – May 2019 (For Information)

Paper focus:

Governance		Security		Technical	
Change	✓	Reporting	✓	Other	

Summary:

This paper provides an update on the progress of Modification Proposals going through the change process, a summary of potential future release content, alongside the DCC Impact Assessment (IA) and Preliminary Assessment (PA) timetable.

The Panel is requested to note the contents of this paper.

SECP_68_1005_08 – SEC Modification Timetables update (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

This paper provides an update on the timetables for all Modification Proposals currently in the Refinement Process.

The Panel is requested to agree the programmes of work and timelines in this paper.

SECP_68_1005_09 – SECMP0055 'Incorporation of multiple Issue Resolution Proposals into the SEC' Modification Report (For Decision)

Paper focus

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

SECMP0055 'Incorporation of multiple Issue Resolution Proposals into the SEC' has undergone the Refinement Process and the Working Group has now completed its assessment of the areas requested by the Panel and prepared the Modification Report. This paper provides a high-level summary of the key points.

The Panel are requested to agree that this modification be progressed to the report phase the Modification Report, the implementation approach, and that SECMP0055 is a Self-Governance Modification.

SECP_68_1005_10 – November 2019 Release Implementation Document (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

This paper summarises the current status of the November 2019 SEC Release, due to go live on 7 November 2019, and lists the modifications for inclusion in this release that do not impact on the DCC Systems. It also provides an updated copy of the Release Implementation Document (RID).

The Panel are requested to agree the updated scope of this release to cover all targeted modifications and to re-baseline the Release Implementation Document for this scope.

SECP_68_1005_11 – Proposed Scope for the June 2020 and November 2020 Releases (For Decision)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	

Summary:

This paper sets out a proposal on which DCC impacting Modification Proposals should be included in the June and November 2020 SEC Releases, for Panel's consideration.

The Panel are requested to note the provisional scope of each release and agree the next steps for the June 2020 SEC Release.

SECP_68_1005_12 – DCC Management Report – Cost Benchmarking Study (For Information) (RED)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

Following the Panel's request for the DCC to commission an independent assessment of the change process and its associated costs, this confidential paper provides an overview of the DCC's initial response to the change review report by an independent assessor.

The Panel is requested to note the report.

SECP_68_1005_13 – Change Board Decision Making (For Discussion)

Discussion focus: Verbal.

Governance	✓	**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	

Summary:

The purpose of this agenda item is to discuss the Change Board's current decision-making process, specifically around voting abstentions.

Standing Agenda Items

SECP_68_1005_14 – BEIS Update (For Information)

Presentation focus: To be provided on the day.

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

A presentation that provides the Panel with an update on recent publications, forthcoming consultations and key milestones.

The Panel is requested to note the update.

SECP_68_1005_15 – DCC Update (For Information)

Presentation focus: To be provided on the day.

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

A presentation that provides the Panel with an update on the activities undertaken by the DCC since the last Panel meeting.

The Panel is requested to note the update.

SECP_68_1005_16 – SEC Panel Sub-Committee Report (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

An update on recent activities from the Panel Sub-Committees. It highlights the key issues discussed and details specific points the Sub-Committees would like to bring to the Panel's attention.

The Panel is requested to note the update.

SECP_68_1005_17 – DCC Reporting (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

This paper details the Post Commissioning Information report provided by the DCC for the SEC Panel to review, as required by the Smart Energy Code (SEC).

The paper also sets out the observations raised by the Operations Group against each report delegated to them.

The Panel is requested to note the report.

SECP_68_1005_18 – Operations Report – April 2019 (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

The Operations Report is a monthly update based on SECAS activities in support of the SEC. It includes a breakdown of days by driver and provides commentary related to the activities undertaken by the SECAS team in April 2019.

The Panel is requested to note the report.

SECP_68_1005_19 – SEC Panel Risk and Issue Register (For Decision) (GREEN)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	✓

Summary:

This paper provides a monthly update on the SEC Panel Risk & Issues Registers, which includes amendments to mitigations for two existing risks and one existing issue.

The Panel is requested to agree the amendments to the Risk and Issues Register.

SECP_69_1005_20 – Smarter Markets Project Update (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	✓

Summary:

This paper provides an overview of the developments and work undertaken in support of the Ofgem Smarter Markets project. The paper also provides an update on SEC Changes as a consequence of the Central Switching Service (CSS) and Retail Energy Code (REC) implementation.

The Panel is requested to note the update.

SECP_68_1005_21 – Transitional Governance Update (For Information) (GREEN)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

A compendium of activities occurring under the Smart Metering Implementation Programme (SMIP). The paper includes updates on the following areas:

- High level updates from Transitional Work Group meetings attended by SECAS and the Panel Chair in the last month;
- An overview of relevant notices from the Secretary of State.

The Panel is requested to note the update.