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SEC Panel Meeting 56

SECP_56_1105, 11th May 2018

10:00 – 13:00, Gemserv, 8 Fenchurch Place, London, EC3M 4AJ

Final Minutes

Attendees:

Category	SEC Panel Members
SEC Panel Chair	Peter Davies
Large Suppliers	Simon Trivella
	Ashley Pocock
Small Suppliers	Mike Gibson
	Karen Lee
Electricity Networks	David Lane
Gas Networks	Leigh Page
Other SEC Parties	Hugh Mullens
	Elias Hanna (Alternate)
DCC	Tom Rothery (Observer)

Representing	Other Participants
Ofgem	Raymond Elliot
BEIS (Secretary of State)	Duncan Stone
	Robert Thornes
DCC	Ed Hurford (Part)
	Fiona Tranter (Part)
	Ian Marshall (Part)
Meeting Secretary	Hollie McGovern
SECAS	Adam Lattimore

	David Kemp (Part)
	Selin Ergiden (Part)
	Harry Jones (Part)
	Phillip Twiddy (Part)
	Mertcan Agir (Part)
	Courtney O-Connor (Part)

Apologies:

Category	SEC Panel Members
Other SEC Parties	Gary Cottrell
Ofgem	Michael Walls

1. Minutes and Actions Outstanding

The minutes from the April 2018 SEC Panel meeting were approved ex-committee and circulated.

The Panel noted that the majority of actions had been closed, with the outstanding actions on target for completion or updates to be provided under respective agenda items.

Action Reference	Update
SECP55/05	Simon Trivella, representing Large Suppliers, informed the Panel that a solution had been put forward in regard to the issues relating to Wide Area Network (WAN) LED functionality for Communications Hubs. It was noted that conversations between EUK and the DCC continued, but it was believed good progress was being made.

2. DCC Ready 2 Scale update

The DCC presented the Panel with an overview of its Ready to Scale programme, which included a high-level overview of the key components of the programme, progress of the plan to date, and a look at the next steps.

The DCC noted that the Ready to Scale programme was now closed and that it had moved into operating at scale. There was discussion around whether the DCC had provided appropriate visibility in regard to risks associated with the programme. The DCC noted that it had been open and transparent in regard to risks and costs and noted that it had been subject to price control through Ofgem. The Panel Chair noted that there needed to be more transparency surrounding costs for Users and noted that it was the expectation that the Operations Group would have been provided some sight of the costs to give Users the opportunity of reviewing their operational requirements, as they are meeting the costs.

The Panel discussed the benefit of any DCC communication being clear and delivered at the right forum. A Panel Member noted that there had been circumstances where lack of consistency and clarity on messaging had caused some misunderstandings and unnecessary concern in the wider industry, particularly when discussing firmware.

The Panel **NOTED** the update.

3. **SMKI and Repository Testing Part 4 Completion Report**

Following the test assurance carried out by the DCC on Part 4 of SMKI and Repository Testing (SRT), the DCC provided the Panel with a confidential Completion Report.

It was noted that the SMKI PMA Chair had provided a letter to the Panel Chair, which confirmed that the SMKI PMA were satisfied that the test criteria for entry to and exit from SRT Part 4 had all been passed and recommended that the Panel approve the completion of SRT Part 4.

The Panel **APPROVED** the completion of SRT Part 4.

4. **Release 2.0 Additional Production Maintenance request**

In accordance with SEC Section H8.3, the DCC requested the Panel to approve additional Maintenance required to support the upgrade to Release 2.0 (R2.0).

The DCC noted that the Maintenance period would comprise a six-hour planned maintenance window for the upgrade for R2.0, which is scheduled for 29th September 2018, in addition to the standard six-hour planned maintenance window which would take place earlier in the month, with the purpose of being used to fix any defects and ensure that the DCC systems are ready for the R2.0 upgrade.

The Panel noted that the additional maintenance requests from the DCC were undesirable and that the DCC need to get to a point where additional outages will not be necessary.

A Panel Member raised that any outages that involved taking the DUIS down would have end User impacts, and the DCC noted that it was looking into alternative solutions to avoid this.

Another Panel Member noted that while this additional maintenance request was undesirable, the detail set out in the request by the DCC was appreciated and noted that the time allocation and impacts on Users was clearly set out within the request.

The Panel **AGREED** that the DCC may undertake the proposed additional Maintenance required to uplift R2.0 into the production environment.

5. **DCC Self Service Interface Change Proposal**

The DCC informed the Panel that it had reviewed the suitability of its Self-Service Interface (SSI), and presented a proposal to deploy non-functional amendments to the SSI through SSI-only planned maintenance windows. The DCC noted that it had engaged with the Operations Group who agree that changes to the SSI are required.

The DCC noted that there would be two types of change to SSI and its supporting systems, which included both Non-functional Change and Functional Change. It was noted that the Non-functional Change would include changes that are incremental to the existing functions of the SSI, and that these changes would not remove functionality that is currently in place but enhance the usability of the system. The DCC noted that Functional Change would include the updating or replacement of fundamental screen/interface changes, or removal of existing functionality. The DCC noted that it would like to move to a more agile, enduring approach to maintaining and managing the Functionality of the SSI so that it can be rapidly amended to meet DCC Users' needs.

The DCC noted that the current requirements in the SEC relating to the SSI include very detailed specification of the design of the SSI, and that it is the shared view of the DCC and the Operations

Group that this specification is unnecessarily detailed, which consequentially leads to delays in making changes to the SSI. The DCC noted that it is currently developing a modification proposal to enable the de-scoping the highly detailed content relating to the SSI so that it can be governed in a more agile way. The need for a modification was challenged as the existing processes could be made to work more effectively.

A Panel Member noted that they felt uneasy about giving away governance of the SEC and noted that the Panel would need to devise more effective means of implementing improvements to the SSI rather than abdicate governance of sections of the SEC. SECAS noted that this could be incorporated as part of the SEC Section D review and that SECAS would work with the DCC to develop suitable governance.

The Panel **AGREED** the proposal for the revised maintenance schedule to support tactical changes to the SSI and to further explore suitable governance of such changes going forward.

6. DCC Release 2.0 Incentives Schemes Consultation outcomes and next steps

SECAS presented the Panel with an update on the BEIS conclusions on the Baseline Margin Project Performance Adjustment Scheme for Release 2.0.

The Panel noted the roles and activities that it is required to complete in support of determining whether a milestone for the incentive scheme has been met. The Panel agreed that SECAS and the DCC should continue to work together to formulate criteria for the required activities, for consideration at a future Panel meeting in line with the timetable presented in the paper.

The Panel discussed its role in directing the DCC to appoint an Independent Auditor to support the Panel in making any determinations associated with the incentive scheme. The DCC noted that the Panel has a role in agreeing the scope of work that the Auditor undertakes, but not the actual appointment of the Auditor.

The Panel **AGREED** to direct the DCC to continue the necessary activities in support of appointing an independent Performance Auditor to support the assessment of the incentive schemes project activities, and for the details of the independent Performance Audit scope and potential auditors to be brought to the Panel for comment and agreement prior to the appointment of the Auditor.

7. End of Regulatory Year Report 2017 – 2018

SECAS presented the Panel with a confidential first draft of the End of Regulatory Year Report 2017/18, developed in accordance with SEC Section C2.3 (h). The draft was provided as confidential paper in order for the Panel to provide feedback prior to the report being published on the SEC Website to Parties.

A Panel Member requested that further clarification be provided in regard to the User Entry Process, noting that it did not appear to be clear what status Parties who had completed the User Entry Process were actually in.

The Panel **AGREED** the End of Regulatory Year Report for the period 2017-18, subject to any revisions discussed at the Panel meeting.

ACTION SECP56/01: SECAS to publish the End of Regulatory Year Report 2017-2018 to the SEC Website by the 14th May 2018.

8. SEC Panel Risk and Issue Register Update

SECAS provided the Panel with an update on the SEC Panel Risk Register and Issues Log, which included two new proposed risks, and an update to an existing issue. The Panel noted that the two new proposed risks had been driven by the Security Sub-Committee (SSC) quarterly review of its Risk Register.

The Panel noted that first proposed risk relates to insufficient capacity of the User Competent Independent Organisation (CIO) which may causes delay to the User Entry Process. A Panel Member noted that it is not just the User CIO that undertakes the assessment, but also SECAS, and that there was a risk that SECAS did not have enough resource to be able to process assessments. SECAS noted it would expand the proposed risk to include SECAS, and that the mitigation would include SECAS looking to expand its resources.

The Panel noted that in regard to the second risk that relates to an alternative User CIO being used, SECAS need to be clear that the use of multiple User CIO's should not be an issue.

The Panel also noted that these risks were not new risks, but they had been moved from the SSC Risk Register to the Panel Risk Register.

The Panel:

- **AGREED** the two new proposed SEC Panel Risks; and
- **AGREED** the amendments to the SEC Panel Issues Log.

9. Update to the Privacy Controls Framework

In accordance with SEC Section I2.15, the Privacy Controls Framework (PCF) was produced on behalf of the Panel to support Other Users in their compliance with Data Privacy obligations. SECAS noted that it had been working with the User Independent Privacy Auditor (IPA) to develop the PCF further in lieu of more Parties starting to undertake their privacy assessments as part of their Other User role.

It was noted that currently no material changes had been made to the document, and that SECAS in conjunction with the User CIO are planning to make further amendments that relate to the guidance given around SEC Section I1.5 and ways to discharge authentication. It was noted that SECAS anticipated to bring another update to the June 2018 Panel meeting.

A Panel Member noted that authentication is a crucial aspect of how systems are being designed and therefore it is imperative that as much info is provided as quickly as possible.

The Panel:

- **NOTED** that further updates will be required in the near future; and
- **APPROVED** the updates to the Privacy Controls Framework for publication on the SEC website.

[Post meeting note: It was identified post-meeting that the SEC requirement to undertake a consultation prior to Panel approval has not been completed. Due to the additional amendments that are expected to be provided in the coming month, PCF version 1.2 has been published on the SEC website in draft form and a single consultation will be issued prior to cover both sets of updates].

10. Event of Default

The Panel were informed of an Event of Default that occurred in April 2018 and **AGREED** that no further action was required. Further information can be found in the confidential minutes.

It was noted that SECAS is currently engaging with stakeholders including the DCC, Ofgem, the Information Commissioner's Office and Panel Sub-Committees to develop Event of Default processes that will be more responsive to the urgency of Events of Defaults that may occur in the future, especially as more SEC Parties become DCC Users.

Given the range of actions that the SEC permits the Panel to take in the Event of Default, it is considered pragmatic to establish a framework, or guidelines, regarding what type of actions the Panel may consider appropriate in a range of scenarios. This will ensure in an Event of Default, the decision-making process can be as responsive as possible (while still considering the individual circumstances of the Event). Ensuring a consistent approach to Events of Default is also crucial from a governance perspective.

In order to start developing a framework for the decision-making process, SECAS intends to initially develop a range of scenarios for Panel to discuss and consider which actions they would consider taking.

The Scenarios will aim to draw out key questions including, but not limited to:

- How materiality is considered in determining whether or not an Event of Default has occurred;
- When it is appropriate to instruct the DCC to suspend DCC Services; and the timeliness of this action given Authority approval is required;
- Consequences of expelling a Party from the Code, including matters such as the Party's ongoing liability for unpaid DCC charges, or retrieving DCC property such as Communications Hubs;
- The role of any Panel Sub-Committees in providing the Panel advice on the circumstances, or recommending actions to take, in an Event of Default.

The Panel **AGREED** that SECAS will develop scenarios to inform a future Panel discussion regarding decisions on actions to take in Events of Default.

11. Change Status Report – May 2018

The Panel were provided with an update on the status and progress of Modification Proposals going through the Modification Process.

SECAS requested a four-month extension to the progression timetable for SECMP0009 '[Centralised Firmware Library](#)', noting that SECAS are currently engaging with Manufacturers to confirm their acceptance of the solution that has been developed by the Working Group.

SECAS noted that the Proposer and other Electricity Networks Parties have agreed to remain with the original solution for SECMP0018 '[Standard Electricity Distributor Configuration Settings](#)', and requested a one-month extension to bring the Draft Modification Report (DMR) back to the Panel in June 2018.

SECAS informed the Panel that, following consideration of responses received to the Working Group consultation for SECMP0025 '[Electricity Network Party Access to Load Switching Information](#)', some Working Group members wanted to discuss the comments made by respondents before the Modification Report is issued to Panel. SECAS requested a one-month extension to the progression

timetable, noting that this would mean that SECMP0025 would miss the cut-off date for the June 2019 Release.

SECAS requested that the Panel extend the timetables of SECMP0038 '[Sending Commands via PPMIDs](#)' and SECMP0042 '[Amendment to SMKI Services to provide DCC Users and/or SMKI Participants with Authorised Responsible Officer \(ARO\) Statistics and Information](#)' by eight months, to account for the expected delivery dates for DCC Preliminary Assessments, the time required for the Working Group to consult on the modification and for Impact Assessments to be completed.

SECAS requested a three-month extension to the timetable for SECMP0043 '[Modification to Services Force Majeure Provisions](#)', noting that the Working Group believes that further work is needed to develop an appropriate solution to the issue, and has requested further information from the DCC on possible scenarios that could arise. The DCC noted that this was an important modification which needed to be dealt with as fast as possible and queried why SECAS had requested a three-month extension; SECAS noted that it would rather be granted a long extension than to keep requesting small extensions from the Panel.

SECAS advised the Panel that no one from the industry had expressed interest in SECMP0048 '[Extension of SMETS gas Valve exemption to include Large Gas Meters installed at Domestic Premises](#)' and suggested that SECAS develop the legal text and bring the DMR back to the June 2018 Panel meeting, and the Panel noted that this sort of pragmatic update was very welcome.

The Panel:

- **AGREED** a four-month extension to the SECMP0009 timetable, with the DMR to be presented to the Panel in August 2018;
- **AGREED** a one-month extension to the SECMP0018 timetable, with the DMR to be presented to the Panel in August 2018;
- **AGREED** a one-month extension to the SECMP0025 timetable, with the DMR to be presented to the Panel in June 2018;
- **AGREED** an eight-month extension to the SECMP0038 timetable, with the DMR to be presented to the Panel in February 2019;
- **AGREED** an eight-month extension to the SECMP0042 timetable, with the DMR to be presented to the Panel in February 2019; and
- **AGREED** a three-month extension to the SECMP0043 timetable, with the DMR to be presented to the Panel in August 2018.

The Panel were also provided with an update from the DCC on the current progression of SEC Modification Proposals as they undergo Preliminary Assessments and Impact Assessments.

SECAS provided a brief update to the Panel following the Code Administrator Code of Practice (CACoP) Group meeting on 19th March 2018. It was noted that as part of its update on its Innovation Link programme, Ofgem put forward the first draft of a potential new cross-code principal aimed at aiding innovation. A Panel Member queried the criteria Ofgem will use to assess business looking to introduce innovative propositions to the energy sector against. Ofgem noted that it will look into this. It was noted that any comments from Panel Members should be sent to SECAS ahead of the June 2018 Panel meeting.

12. Modification Proposal – Draft Modification Report for SECMP0027

SECAS presented the Panel with the Draft Modification Report for SECMP0027 [‘Amending Service Request Forecasting’](#).

The Panel discussed whether the Modification should be progressed as a Path 2 or Path 3 Modification Proposal, and the Panel Chair queried whether this would raise concerns with the Authority. Ofgem confirmed that this would not be a problem as the Authority can change the path later on in the process if it deems it necessary.

The Panel:

- **AGREED** that SECMP0027 is a Path 3 Modification Proposal;
- **AGREED** that the draft legal text delivers the intention of the modification;
- **AGREED** that the modification proceeds to Modification Report Consultation; and
- **AGREED** with the recommended implementation date 1st November 2018 if a decision to approve is made by 15th October 2018.

13. SECMP0029: Modification Send-Back

The Panel were informed that the Change Board has determined that SECMP0029 [‘Business Continuity and Disaster Recovery Testing Amendments’](#) should be returned to the Panel for further work to review and develop the legal text to ensure that it fully delivers the proposed solution with no ambiguity.

SECAS noted that the Working Group would reconvene to further clarify the legal text and noted that this would mean that the Modification would now be included in the November 2018 Release rather than the June 2018 Release. SECAS confirmed that it will aim to agree changes to legal text via correspondence.

The Panel:

- **AGREED** to return SECMP0029 to the Working Group;
- **AGREED** the areas the Working Group will need to review and develop; and
- **AGREED** the timetable for this modification.

14. Modification Proposal – Initial Modification Report for SECMP0052

SECAS presented the Panel with the Initial Modification Report for SECMP0052 [‘Updates to the DCCKI CP and DCCKI RAPP’](#).

The Panel:

- **AGREED** that this should proceed to the Modification Report Consultation (MRC);

- **AGREED** the progression timetable; and
- **AGREED** that SECMP0052 should be progressed as a Path 3 Modification Proposal.

15. Modification Proposal – Initial Modification Report for SECMP0053

SECAS presented the Panel with the Initial Modification Report for SECMP0053 [‘Amend Target Response Times for Service Requests Critical to Installation and Commissioning Processes’](#).

The Panel Chair noted that the system changes should be negligible and queried why a 12-month refinement length was necessary. SECAS noted that the timetable was based on previous experience and is mostly reliant on the potential timescales for delivery of the DCC Preliminary Assessment and Impact Assessment. The Panel requested that the DCC consider what could be done to turn around any Preliminary Assessment and Impact Assessment in quicker timescales.

The Panel noted that the Working Group is expected to focus discussions on target response times in general and queried why response times were either 30 seconds or 24-hours with nothing in between.

The Panel:

- **AGREED** that this modification should be submitted into the Refinement Process to be assessed by a Working Group;
- **AGREED** the Working Group Terms of Reference (ToR);
- **AGREED** the progression timetable; and
- **AGREED** that SECMP0053 should be progressed as a Path 2 Modification Proposal.

16. Modification Proposal – Initial Modification Report for SECMP0054

SECAS presented the Panel with the Initial Modification Report for SECMP0054 [‘Changes to DCC Explicit Charges for test houses’](#).

The Panel discussed how the charges for Test Houses would be funded if not through the DCC Explicit Charges. They noted that the costs will likely be picked up by Suppliers as part of their DCC Charges, as the DCC confirmed it would not be able to absorb the costs.

The Panel had concerns that this modification, if passed in its current format, will create a precedent that would be viewed as negative by the wider industry. SECAS noted that it would feedback to the Proposer that the Panel had identified fundamental concerns about unintended consequences of this modification and how the costs would be funded.

The Panel deferred setting the progression timetable for the Modification Proposal until next month, subject to the Proposer’s decision to continue or withdraw the Modification Proposal.

17. Modification Proposal – Initial Modification Report for SECMP0055

SECAS presented the Panel with the Initial Modification Report for SECMP0055 [‘Incorporation of multiple Issue Resolution Proposals into the SEC’](#).

A Panel Member welcomed this Modification Proposal and queried when more details on the individual Issue Resolution Proposals (IRP) will be made available. It was noted that SECAS will engage with BEIS to provide a list of IRPs and this list will be made available.

There was discussion around the enduring governance for the IRPs, and BEIS confirmed that anything critical to Release 1, 2 or 3 will remain with BEIS, and those that are not considered critical will be handed over to SECAS. It was noted that following transitional releases, everything will be passed on to SECAS.

The Panel:

- **AGREED** that this modification should be submitted into the Refinement Process to be assessed by a Working Group;
- **AGREED** the Working Group Terms of Reference (ToR);
- **AGREED** the progression timetable; and
- **AGREED** that SECMP0055 should be progressed as a Path 3 Modification Proposal.

18. SEC Releases Update

SECAS presented the Panel with a paper detailing their work on a number of items in relation to the enduring SEC Release process following the approval of the Panel Release Management Policy (RMP) in February 2018. This included an update on the scope of the June 2019 SEC Release, the Release Implementation Document (RID) and the enduring release allocation process.

SECAS noted that following feedback from the Panel in April 2018, it has begun developing the RID for the June 2019 SEC Release. It was also noted that SECAS is working to develop an enduring release allocation process, which will provide Parties with an indication of when changes might go live, and to improve efficiency by enabling the scheduling of related changes into the same release.

A Panel Member raised concerns that one of the Release Principles seemed to be suggesting that there would only be one DUIS release in a year. The Panel noted that there should be efficiencies when scheduling the content of a Release, and that the aim should be to minimise the impact on DUIS. However, there should be no restriction on the number of DUIS changes.

SECAS agreed that the principle should be more clearly defined as being an aim and not a hard and fast rule that there would be only one set of DUIS changes per year as part of a SEC Release.

The Panel **NOTED** the update.

19. BEIS Update

BEIS provided the Panel with an update on the forthcoming consultations and key milestones, in addition to an update on the governance for Release 2.0 decisions.

BEIS provided the Panel with an overall approach for the enduring governance for each test phase that the Panel had previously agreed to support. The approach outlined which test phases the Panel would be required to assess if the exit criteria had been met, in addition to entry criteria for User Interface Testing (UIT).

BEIS presented the panel with an outline for timing of several governance decisions that the Panel will have to make, and it was noted that BEIS intends to write to the Panel formally requesting that it conducts these activities.

BEIS noted that the parliamentary process for the Release 2.0 SEC changes which had been laid in Parliament in March 2018 was expected to be complete in May 2018. It was expected that these changes would be incorporated into the SEC by the end of May 2018.

The Panel **NOTED** the update.

20. DCC Update

The DCC presented the Panel with an update on the activities undertaken since the last Panel meeting.

The DCC noted that there had been a Severity 1 incident in April 2018, and that a final report had been issued on 10th May 2018. The Panel Chair noted that the difficulty with the Registration Data Provider (RDP) data had not been addressed by the severity report and noted that something of that nature should be addressed. The DCC noted that it would take that away. The Panel discussed the processes surrounding application of data and non-critical access control. A Panel Member noted that it would be useful to see how many production users are connected to the production environment.

The DCC provided a brief update on SMETS2, noting that they are slightly behind the glide path in terms of tests for Single Band Communications Hubs Device Integration Testing (DIT) and Dual Band Communications Hubs System Integration Testing (SIT).

The Panel were informed that the production uplift of the functionality for SRT Part 4 was completed on 29th April 2018. The DCC noted that this leaves the final outstanding testing being undertaken for SRT Part 3b and noted that the testing was to be verified by the SMKI PMA and brought back to the Panel in June 2018.

The DCC noted that it had completed commercial negotiations with several critical service providers for SMETS1, noting that it had appointed SMETS1 Services Providers for the Initial Operating Capability (IOC) and the Middle Operating Capability (MOC) Releases. It was noted that the DCC were developing documentation required for testing and transitional requirements and were consulting with industry to prepare for IOC. The DCC noted that it was attempting better engagement with the Security Sub-Committee (SSC), and a Panel Member noted that there has been a request from the Technical Architecture and Business Architecture Sub-Committee (TABASC) for a presentation on the technical architecture. A Panel Member queried which SMETS1 meters are in development for MOC, and BEIS noted that the DCC had confirmed the current position at the SMDG meeting on 9th May 2018, with one organisation scheduled for release at MOC with its IP path yet to be announced.

A Panel Member also noted that there would need to be clarification provided on firmware updates for SMETS1 devices, as it will have a major impact on the program, and therefore early clarification is paramount.

The Panel **NOTED** the update.

21. Release 2.0 Go Live Governance Approach

The DCC informed the Panel that it will be deploying R2.0 into the production environment on 30th September 2018, and that BEIS will be issuing a formal request for Live Service Criteria. The

The DCC informed the Panel that it would be required in the coming months to provide a recommendation based on the DCC's R2.0 Live Services Criteria submission to help inform the Secretary of State's decision on whether R2.0 should go live.

The DCC noted that it expected the composition of the go live assurance documentation to require statements of confidence and residual risk, with supporting evidence around the technical and operational readiness for R2.0. The DCC noted that the responses to BEIS' request will be presented in a similar format to that which was used for R1.2 and R1.3 SMETS2 Releases.

The DCC noted that it had reviewed the timing around the engagement of the Panel and its sub-committees in order to allow the timely recommendation to BEIS two weeks prior to the Go Live date. The DCC noted that it planned to bring the formal final submission to the September 2018 Panel meeting. A Panel Member noted that this timing coincided with the Panel elections, and the Panel would need to make sure that this was dealt with before new Panel Members are appointed.

The Panel noted the request from BEIS for the DCC to produce Live Services Criteria. The DCC confirmed that it envisaged responding to similar requests from the Panel for enduring releases.

The Panel **NOTED** the draft Live Service Criteria and the governance approach for R2.0 Go Live.

22. SEC Panel Sub-Committee Report

SECAS provided the Panel with an update on recent activities from all the SEC Panel Sub-Committees. In addition, a confidential update was provided on the assurance statuses that the Security Sub-Committee (SSC) have set for Parties during April 2018.

The Panel **NOTED** the update.

23. DCC Reporting

The Panel were provided with the Certificate Signing Requests (CSR) Forecast Variance Report and the Service Request Variance and Metrics Panel Report issued to the Panel from the DCC as required by the SEC.

The Panel **NOTED** the contents of the paper.

24. Operations Report

The Panel were presented with the Operations Report for April 2018. The report provided an outline of the activities undertaken by the SECAS team in support of the SEC, in addition to a breakdown of days by driver, product and grade.

The report informed the Panel of the Parties that have officially completed the User Entry Process as described in SEC Section H1.10 and confirmation of Parties that have completed various testing activities as required by the SEC.

The Panel noted that the following organisations would be admitted as Parties to the SEC following countersignature of their Accession Agreements by the SECCo Board:

- Shell Mgt Ltd (Small Supplier); and
- Smart Pear Limited (Other SEC Party).

The Panel **NOTED** the report.

25. Smarter Markets Project Update

SECAS provided an overview of the developments and work undertaken in April 2018 in support of the Smarter Markets project.

The Panel **NOTED** the update.

26. Transitional Governance Update

SECAS presented the Panel with an update from the transitional governance entities and other smart metering related meetings and workshops attended by the SECAS in the last month.

The Panel **NOTED** the contents of the paper.

27. SEC Panel Activity Planner

The Panel were presented with the SEC Panel Activity Planner. The Activity Planner provides a high-level overview of the forthcoming Panel activities, and a forward look at Panel agenda items for the next three months based on the latest information available.

The Panel **NOTED** the contents of the paper.

28. Any Other Business

The Panel were informed that it had recently been established that the National Cyber Security Centre (NCSC) have issued a small number of Commercial Product Assurance (CPA) Certificates with conditions attached. These conditional certificates require the Vendor to make some improvements within a 12-month period. If the improvements are not made the certificates will be withdrawn. Currently, these certificates have an end date displayed of circa 5 years on the NCSC Website and the CPL. However, there is a chance that these certificates will expire before then during the conditional 12-month period. It was noted that while the Vendor has been aware of the potential for the CPA Certificate to be withdrawn, the Suppliers who are using those products may have not been made aware, unless the Vendor has communicated the nature of the conditional award. The Panel **AGREED** to issue a letter to suppliers notifying them of this issue and to check with their Manufacturers if they may be affected by this issue.

SECAS notified the Panel that a CPL issue has emerged following GBCS v1.1 coming into effect on 7th May 2018, making GBCS v1.0 no longer a valid version. SECAS noted that despite early engagement with the DCC on this matter and its potential implications on the CPL processes, the DSP was not ramped up in time and does not support GBCS v1.1. The issue surfaced due to a CPL submission that was processed on 9th May 2018, which contained GBCS v1.1. From a SEC perspective, it was a valid submission and therefore processed. However, because of this entry, the DSP cannot upload any CPL data into the DCC Smart Metering Inventory. SECAS noted that the DCC have identified the need to make a fix to the DSP processing of CPL data in order to validate

and accept GBCSv1.1, however it has not indicated a timeline for when the fix will be in place. The DCC noted that it was currently working to rectify the issue and would provide the Panel with an update as soon as possible.

A Panel Member flagged issues with data quality as some meters are being incorrectly identified as SMETS 2 meters due to erroneous data items being filled in on installation. It was noted that SECAS would engage with the Master Registration Agreement (MRA) to seek to rectify the issue.

ACTION SECP56/02: SECAS to issue a letter to suppliers notifying them of the issue relating to Commercial Product Assurance Certificates, and urging them to check with their Manufacturers to see if they may be affected by this issue.