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Paper Reference:	SECCB_28_2703_02
Action:	For Decision

SECMP0070 Change Board vote

1. Purpose

[SECMP0070 'Permission to give Alt HAN Forum vires for enduring management and maintenance of the Exempt Premises List \(EPL\)'](#) has undergone Modification Report Consultation and is now being presented to the Change Board for vote. The Change Board is invited to determine whether SECMP0070 should be approved or rejected under Self-Governance.

This paper provides a high-level summary of the key points. Full details can be found in the Modification Report in Appendix A and the responses received to the Modification Report Consultation in Appendix B.

2. Summary of the proposal

What is the issue?

The Alt HAN Forum is constrained by the Alt HAN Service and Activities as outlined in SEC Section Z 'Alt HAN Arrangements'. Within Section Z, there is no information relating to the Exempt Premises List. However, in practice, management of the Exempt Premises List is linked to Alt HAN Activities and Services, as is outlined in the Supply Licence Conditions.

Under the current governance, there are risks associated with Alt HAN Co taking any action in contracting for the Exempt Premises List services, due to it not being explicitly referenced in the SEC. Such actions could be subject to challenge, due to funding of these activities via the Alt HAN Costs, which are only recoverable in respect of the Alt HAN Forum's specified functions and powers.

What is the Proposed Solution?

To avoid any potential risks of challenge, it is proposed to amend Section Z to include the management of the Exempt Premises List to fall under Alt HAN Services and Activities. This would then mean the Alt HAN Forum is within their remit to carry out the management of the Exempt Premises List uncontended.

3. Modification Report Consultation responses

We received six responses to the consultation (five Large Suppliers and one Small Supplier). All six respondents were in support of the proposed solution of SECMP0070. Rationales were based upon agreeing that this modification would better facilitate SEC Objective (h), agreeing with the Proposer's views that this would clarify the management of the Exempt Premises List via the Alt Han Forum.

4. Next steps

Determination approach

The Panel has determined that SECMP0070 is a Self-Governance Modification. The Change Board's vote will therefore form a decision on the modification.

This decision will subject to a 10 Working Day objection period where Parties can refer the decision to the Panel. If no objection is received, the Change Board's decision will be final.

Implementation approach

The Panel has agreed the following implementation approach of:

- 10 Working Days following approval.

If the Change Board votes to approve the modification at this meeting and no objections are received, SECMP0070 would be implemented on 26 April 2019 (10 Working Days after the objection period closes).

5. Recommendations

The Change Board is requested to:

- **AGREE** that SECMP0070 should proceed to vote;
- **DETERMINE** whether SECMP0070 should be **APPROVED** or **REJECTED** under Self-Governance; and
- **PROVIDE** rationale for this decision against the General SEC Objectives.

Jordan Crase

SECAS Team

20 March 2019

Attachments

- **Appendix A:** SECMP0070 Modification Report
 - **Annex A:** Legal Text
- **Appendix B:** SECMP0070 Modification Report Consultation responses