SECP_66_1503 - Panel Paper Overview

This document provides an overview of all the papers to be presented at the SEC Panel meeting on 15 March 2019; so that Parties can determine those papers that may be of interest.

An indication of the focus of each paper has been provided to highlight whether it is of interest to a particular reader, i.e. security, technical, governance.

Following the meeting, SECAS will issue meeting headlines, which outline any decisions and outcomes from the Panel meeting.

Decision and Discussion Items

SECP_66_1503_01 – Minutes and Actions Outstanding (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	

Summary:

The final minutes from the February 2019 Panel meeting and an update on outstanding actions from previous meetings.

SECP_66_1503_02 – Privacy Assessment Review – Other User 'L' (For Decision) (RED)

Paper focus:

Governance		Privacy	✓	Technical	
Change		Reporting		Other	

Summary:

This paper provides the Panel with Other User 'L's Privacy Assessment Report and Privacy Assessment Management Response to support the Panel in reaching a decision on the assurance status for this Party, as required by SEC Section I2.23.

The Panel is requested to consider the report and set an assurance status for Other User 'L'.

SECP_66_1503_03 – Considerations relating to the 'Other User' Role (For Discussion) (RED)

Paper focus:

Governance		Privacy	✓	Technical	
Change		Reporting		Other	✓

Summary:

As per a Panel action (SECP64/01), SECAS and the User CIO have undertaken a review to explore potential further categorisation of the Other User Role. This paper sets out a request for the Panel to

consider an option for Parties to apply to accede to the SEC in a new User Role with no access to any SRV which returns sensitive data.

SECP_66_1503_04 – SMETS1 Services Initial Operating Capability SIT Completion Decision (For Decision)

Paper focus:

Governance		**Security**		**Technical**	✓
Change		**Reporting**		**Other**	✓

Summary:

This paper provides details of the Testing Advisory Group's (TAG) considerations, and associated recommendations, regarding the completion of the SMETS1 Services Initial Operating Capability (IOC) System Integration Testing (SIT) and whether the exit criteria for the test phase has been met.

The Panel is requested to agree that IOC SIT has successfully been completed.

SECP_66_1503_05 – SMETS1 IOC Live Services Criteria Overview (For Information)

Presentation focus:

Governance	✓	**Security**		**Technical**	✓
Change		**Reporting**		**Other**	

Summary:

The purpose of this presentation is to provide the Panel with the DCC's initial view of how the Live Services Criteria for the SMETS1 Initial Operating Cohort will be assured.

The Panel is requested to note the presentation.

SECP_66_1503_06 – Planned Maintenance Proposal (For Decision) (GREEN)

Presentation focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	✓

Summary:

The purpose of this presentation is to provide the Panel with an updated Planned Maintenance proposal, following consideration at the March OPSG meeting.

The Panel are requested to approve a six-month trial of the proposed changes to Planned Maintenance, commencing 1 April 2019.

SECP_66_1503_07 – Credit Cover (For Discussion) (RED)

Presentation focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

The purpose of this presentation is to provide the Panel with an overview of the DCC's credit cover process.

The Panel are requested to discuss the options presented and agree a way forward.

SECP_66_1503_08 – Current Events of Default (For Decision) (RED)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

This paper provides the Panel with an update on four existing Events of Default and two new Events of Default, and actions taken since the last SEC Panel meeting.

The Panel is requested to agree the recommendations for each Event of Default.

SECP_66_1503_09 – Panel Information Policy Update (For Decision)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

The Panel Information Policy is a document that the Panel has established to maintain, classify, handle, and store Party Data in accordance with SEC Section M4.13 and M4.14. Appendix A to this paper sets out amendments to the Panel Information Policy, as per instruction from the SECCo Board at its February 2019 meeting.

The Panel is requested to approve version 3.1 of the Panel Information Policy.

SECP_66_1503_10 – Code Administration Code of Practice Overview (For Information)

Presentation focus: To be provided on the day.

Governance	✓	Security		Technical	
Change		Reporting		Other	

Summary:

The purpose of this presentation is to provide the Panel with a high-level overview of the Code Administration Code of Practice (CACoP), SECAS involvement and recent updates.

Change Items

SECP_66_1503_11 – Change Status Report – March 2019 (For Information)

Paper focus:

Governance		Security		Technical	
Change	✓	Reporting	✓	Other	

Summary:

An update on the progress of Modification Proposals going through the change process, a summary of potential future release content, alongside the DCC Impact Assessment (IA) and Preliminary Assessment (PA) timetable.

The Panel is requested to note the contents of this paper.

SECP_66_1503_12 – SEC Modification Timetables (For Decision)

Paper focus:

Governance		Security		Technical	
Change	✓	Reporting		Other	✓

Summary:

This paper provides an update on the timetables for all Modification Proposals currently in the Refinement Process.

The Panel is requested to agree the work packages and timelines in this paper.

SECP_66_1503_13 – November 2019 SEC Release update (For Decision)

Paper focus

Governance		Security		Technical	
Change	✓	Reporting		Other	✓

Summary:

Following the Panel's consideration of the proposed scope of the November 2019 SEC Release at its February meeting, SECAS have sought further views on the impacts and timing of this release. This paper summarises the current status of this release and the views received from Parties.

The Panel is requested to confirm if they wish to proceed with a November 2019 SEC Systems Release and, if so, to agree the scope of this release on the DCC Systems.

SECP_66_1503_14 – SECMP0058 'Changes to the Governance of the Self-Service Interface' Modification Report (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

SECMP0058 'Changes to the governance of the Self-Service Interface' has undergone the Refinement Process and the Working Group has now completed its assessment of the areas requested by the Panel and prepared the Modification Report. This paper provides a high-level summary of the key points.

The Panel is requested to approve the Modification Report, the implementation approach and that SECMP0058 is a Self-Governance Modification.

SECP_66_1503_15 – SECMP0060 'Amend Requirements to remove 'Pending' devices from SMI' Modification Report (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

SECMP0060 'Amend Requirements to remove 'Pending' devices from SMI' has undergone the Refinement Process and the Working Group has now completed its assessment of the areas requested by the Panel and prepared the Modification Report. This paper provides a high-level summary of the key points.

The Panel is requested to approve the Modification Report, the implementation approach, and that SECMP0060 is a Self-Governance Modification.

SECP_66_1503_16 – Change Process Improvements Update (For Information)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

This paper provides an update on the feedback SECAS has received from participants in relation to the Modifications Process. It also outlines the activities being undertaken and the next steps in delivering improvements and solutions to the issues identified.

The Panel is requested to note the contents of this paper.

Standing Agenda Items

SECP_66_1503_17 – BEIS Update (For Information)

Presentation focus: To be provided on the day.

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

A presentation that provides the Panel with an update on recent publications, forthcoming consultations and key milestones.

The Panel is requested to note the update.

SECP_66_1503_18 – DCC Update (For Information)

Presentation focus: To be provided on the day.

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

A presentation that provides the Panel with an update on the activities undertaken by the DCC since the last Panel meeting.

The Panel is requested to note the update.

SECP_66_1503_19 – SEC Panel Sub-Committee Report (For Discussion)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

An update on recent activities from the Panel Sub-Committees. It highlights the key issues discussed and details specific points the Sub-Committees would like to bring to the Panel's attention.

This paper also contains a confidential appendix which provides a breakdown of forecast and actual DCC Self Service Interface Sprint Costs.

The Panel is requested to discuss the SSI sprint costs and note the update.

SECP_66_1503_20 – SEC Panel Risk and Issue Register (For Decision)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	✓

Summary:

This paper provides a monthly update on the SEC Panel Risk Register and the SEC Panel Issues Register, which includes amendments to five existing risks and two existing issues.

The Panel is requested to agree the amendments to the Risk and Issues Register.

SECP_66_1503_21 – DCC Reporting (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

This paper details the reports provided by the DCC for the SEC Panel to review, as required by the Smart Energy Code (SEC).

The paper also sets out the observations raised by the Operations Group against each report delegated to them.

The Panel is requested to note the report.

SECP_66_1503_22 – Operations Report – February 2019 (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

The Operations Report is a monthly update based on SECAS activities in support of the SEC. It includes a breakdown of days by driver and provides commentary related to the activities undertaken by the SECAS team in February 2019.

The Panel is requested to note the report.

SECP_66_1503_23 – Smarter Markets Project Update (For Information)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

This paper provides an overview of the developments and work undertaken in support of the Ofgem Smarter Markets project.

The Panel is requested to note the update.

SECP_66_1503_24 – Transitional Governance Update (For Information) (GREEN)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

A compendium of activities occurring under the Smart Metering Implementation Programme (SMIP). The paper includes updates on the following areas:

- High level updates from Transitional Work Group meetings attended by SECAS and the Panel Chair in the last month;
- An overview of relevant notices from the Secretary of State.

The Panel is requested to note the update.