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Paper Reference:	**SECP_66_1503_01**
Action:	**For Information**

Actions Outstanding

Outlined below are the actions outstanding from previous meetings and their current status. The Action Reference identifies the meeting number followed by the action number.

Action Reference	Action	Responsibility	Target Completion Date	Update	Status
SECP62/06	The DCC to review Modification Proposals that have previously been rejected due to costs, as part of its cost benchmarking study.	DCC	April 2019	The Benchmarking Review has been completed and a draft report has been presented to DCC for review and comment. The Final Report is being presented to the Steering Committee (established to oversee this work with representation from SECAS, SEC Panel, and DCC) on 12 March. Once the final report has been approved, it will be shared as appropriate. An action plan will be developed based on the insights and recommendations from the review, with a progress summary to be presented at the April SEC Panel.	Open

SECP64/01	SECAS to review the Other User role to review the controls in place for Other Users and determine whether the category needs to be subdivided to cater for different groups within the category that have different requirements.	SECAS	15/03/2019	SECAS and the User CIO have reviewed the Other User role and summarised their findings in SECP_66_1503_03. Action to be closed following the March Panel Meeting.	Open
SECP64/02	SECAS to ensure the DCC provides a documented post-release Lessons Learnt, for comment.	DCC	April 2019	DCC have concluded its initial stage of identifying lessons learnt from Release 2.0, and is currently identifying themes and key lessons, and engaging across the business on how R2.0 lessons are being embedded in other programmes. DCC will provide a paper at the April Panel meeting.	Open
SECP64/05	The DCC to complete the Impact Assessment for SECMP0060.	DCC	08/02/2019	The Impact Assessment for SECMP0060 was completed on 08/02/2019.	CLOSED
SECP65/01	SECAS to issue the minutes from the SoLR workshop held on 13 February 2019 to all SEC Parties.	SECAS	11/03/2019	The minutes will be circulated to all SEC Parties on 11/03/2019 following internal review.	CLOSED
SECP65/02	SECAS to publish the Privacy Controls Framework v2.3 on the SEC Website.	SECAS	15/02/2019	SECAS published the PCF v2.3 on the SEC Website on 15/02/2019.	CLOSED

SECP65/03	SECAS to publish the Draft Budget as the Approved Budget to take effect on 1 April 2019.	SECAS	08/03/2019	SECAS published the Approved Budget to the SEC Website on 08/03/2019.	CLOSED
SECP65/04	SECAS to transfer Issue 3 from the issues log to the risk register.	SECAS	08/03/2019	SEC Panel Issue 3 has been transferred from the SEC Panel Issues Register to the SEC Panel Risk Register as the risk no longer presents an Issue. DCC requests for Planned Maintenance will be directed to the SEC Panel for approval.	CLOSED
SECP65/05	DCC to provide an updated Forward Schedule of Change to the Operations Group, and to clarify the terms 'functional' and 'non-functional' in terms of customer impact, with regards to its planned maintenance schedule.	DCC	08/03/2019	The DCC provided the Ops Group with its updated proposals for Planned Maintenance on 05/03/2019. The DCC provided assurances to the Ops Group regarding the population of the Forward Schedule of Change and classification of core and non-core functionality. An update will be provided under agenda item 06.	CLOSED
SECP65/06	SECAS to confirm with the lawyers the process and status regarding expulsion of a Party whilst retaining the SEC Party identifiers. Following this, SECAS to share a version of the ID Allocations spreadsheet with the Panel.	SECAS	08/03/2019	The lawyers have confirmed that the Panel could expel the Party in question and that the usual expectation is that they would be expelled. A draft version of the ID Allocation Spreadsheet version 9.5, with row 111 updated with amendments agreed with the lawyers, can be found in Appendix A to this paper. In addition, a draft SEC Parties list dated 20/02/19 (Appendix B) is attached, with the lawyer's proposal that the entry for the	CLOSED

				Party in question be simply removed if and when the Panel takes the decision to expel that Party.	
SECP65/07	SECAS to seek further input on whether either option (in a SoLR event where the SoLR Supplier wishes to maintain the systems of the Defaulting Supplier) would present any material change to User systems. SECAS to consult with SSC, SMKI PMA and TABASC.	SECAS	April 2019	The SSC were comfortable with either solution. However, the SMKI PMA considered that the certificates must be changed. The TABASC, SSC & SMKI PMA Chairs and SECAS have discussed this, and SECAS have amended the process so that it can be implemented incrementally. This will be presented to the TABASC and will be in line with guidance recently provided following the latest SMKI PMA meeting.	Open
SECP65/08	SECAS to include Interested Parties on the distribution list for the SEC Newsletter.	SECAS	30/03/2019	As part of the SEC website development, SECAS will include a section to direct non-SEC parties to sign up to the SEC Newsletter.	Open
SECP65/09	SECAS to provide an update on the positions of SECMP0007, SECMP0010 and SECMP0013 at the March Panel meeting.	SECAS	15/03/2019	A verbal update will be provided at the March Panel meeting under agenda item 11.	Open
SECP65/10	In regard to SECMP0025, SECAS to provide answers to Panel Member queries relating to data ownership.	SECAS	27/02/2019	An answer was provided to the Panel Member on his queries ahead of the Change Board vote on 27/02/2019.	CLOSED

SECP65/11	SECAS to issue a request for information to Parties on the scope and timing of the November 2019 SEC Release and whether the impacts of this release would be manageable if implemented in November 2019. Any responses received to this will be presented at the March 2019 meeting.	SECAS	08/03/2019	A notification and request was issued to the industry following the Panel meeting. The responses are summarised in SECP_66_1503_13.	CLOSED
SECP65/12	SECAS to update the Delegations Register to reflect the delegation of the CSR and SR to the OPSG.	SECAS	26/02/2019	The SEC Delegations Register was updated to reflect the delegation of the CSR and the SR to the OPSG on 26/02/2019.	CLOSED
SECP65/13	OPSG to notify Parties that they must provide forecasting reports to the DCC as per SEC Section H3.24.	OPSG	March 2019	The OPSG discussed the requirements around Forecasting obligations at its meeting on 05/03/2019. It was agreed that in the next few weeks SECAS, on behalf of the OPSG, will draft letters and send to those Parties who have not provided Forecasts in accordance with SEC requirements.	Open
SECP65/14	DCC to present lessons learned document, with regards to the appeal of the Incentive Scheme Milestone 1B, at the March 2019 Panel meeting.	DCC	08/03/2019	Following bilateral discussion between DCC and SECAS, DCC has identified the lessons following the appeal of Incentive Milestone 1 and provided responses. These were provided to Panel Member (DL) who has confirm he is satisfied with the DCC's responses.	CLOSED