SECP_65_1502 - Panel Paper Overview

This document provides an overview of all the papers to be presented at the SEC Panel meeting on 15 February 2019; so that Parties can determine those papers that may be of interest.

An indication of the focus of each paper has been provided to highlight whether it is of interest to a particular reader, i.e. security, technical, governance.

Following the meeting, SECAS will issue meeting headlines, which outline any decisions and outcomes from the Panel meeting.

Decision and Discussion Items

SECP_65_1502_01 – Minutes and Actions Outstanding (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	

Summary:

The final minutes from the January 2019 Panel meeting and an update on outstanding actions from previous meetings.

SECP_65_1502_02 – Privacy Controls Framework Update (For Decision) (AMBER)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

This paper outlines amendments made to the Privacy Controls Framework following discussions at the January 2019 Panel meeting, relating to compliance with SEC Section I (Privacy).

The Panel is requested to approve the Privacy Controls Framework V2.3.

SECP_65_1502_03 – CPA Certificates with 'conditional' end dates (For Information) (AMBER)

Paper focus:

Governance	✓	Security		Technical	✓
Change		Reporting		Other	

Summary:

This confidential paper provides the Panel with an update on the developments relating to the conditions that have been attached to two Commercial Product Assurance (CPA) Certificates by the National Cyber Security Centre (NCSC).

The Panel is requested to note the contents of this paper.

SECP_65_1502_04 – SMETS1 Testing Governance Planning Update (For Information)

Presentation focus:

Governance	✓	**Security**		**Technical**	✓
Change		**Reporting**		**Other**	

Summary:

This agenda item is a presentation updating the Panel on the governance planning and forthcoming Testing Advisory Group (TAG) activities in advance of SMETS1 Initial Operating Capacity (IOC) Systems Integration Testing (TAG) completion and subsequent activities in relation to SMETS1 Services Release testing.

The presentation will be presented on the day of the Panel meeting. The Panel is requested to note the contents of the presentation.

SECP_65_1502_05 – Draft Budget 2019-22 Consultation Responses (For Decision) (AMBER)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	

Summary:

In accordance with SEC Section C8.13, the Panel issued the Draft Budget for the next three Regulatory Years to all SEC Parties for consultation in January 2019. This paper addresses the feedback received and sets out potential amendments for Panel approval.

The Panel is requested to review the responses and agree any amendments to the budget.

SECP_65_1502_06 – SEC Panel Risk and Issue Register Update (For Decision)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

This paper provides a monthly update on the SEC Panel Risk Register and the SEC Panel Issues Register, which includes amendments to three existing risks and one issue.

The Panel is requested to agree the amendments to the Risk Register and Issues Register.

SECP_65_1502_07 – Risk and impact-based approach to Planned Maintenance (For Decision) (RED)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

DCC has been reviewing Maintenance Schedule requirements in the SEC with a view to improve overall outcomes for customers in relation to maintenance and service enhancing change. This paper sets out an update from the DCC on a proposed way forward ahead of raising a Modification Proposal.

The Panel is requested to agree a variation under SEC Section H8.3 to support the DCC's proposal.

SECP_65_1502_08 – Current Event of Default update and discussion (For Decision) (RED)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

This paper provides the Panel with an update on four existing Events of Default and one new Event of Default, and actions taken since the last SEC Panel meeting.

The Panel is requested to agree to the recommendations for each Event of Default.

SECP_65_1502_09 – Event of Default – Solution Options (For Decision) (GREEN)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

Following recent Events of Default involving Suppliers with SMETS2 installations operated using DCC services, a solution was developed whereby all affected Devices would require the Supplier's Organisation Certificates to be replaced. Following a suggestion from SECAS that an alternative solution may be viable that carries less operational risk, the proposals have been further investigated, and are presented to the Panel for approval.

SECP_65_1502_10 – Interested Parties Distribution List (For Decision)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	

Summary:

This paper sets out a SECAS proposal to create and utilise an Interested Party distribution list to enable companies, organisations and individuals who are not obliged to be a SEC Party, to receive public SEC related information and keep up to date with SEC developments.

The Panel is requested to consider a proposal to create an interested party's distribution list and agree the next steps.

Change Items

SECP_65_1502_11 – Change Status Report – February 2019 (For Information)

Paper focus:

Governance		Security		Technical	
Change	✓	Reporting	✓	Other	

Summary:

An update on the progress of Modification Proposals going through the change process, a summary of potential future release content, alongside the DCC Impact Assessment (IA) and Preliminary Assessment (PA) timetable.

The Panel is requested to note the contents of this paper.

SECP_65_1502_12 – Update on SECMP0007 (For Information)

Paper focus:

Governance		Security		Technical	
Change	✓	Reporting		Other	✓

Summary:

Following queries raised by the Panel at the January 2019 meeting, this paper provides an update on SECMP0007 'Firmware updates to IHDs and PPMIDs' and outlines the next steps for this modification.

The Panel is requested to note the contents of this paper.

SECP_65_1502_13 – SECMP0025 Modification Report (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

SECMP0025 'Electricity Network Party Access to Load Switching Information' has previously undergone the Refinement Process and the Report Phase, before being sent back by the Change Board to obtain the full implementation costs. The DCC has now completed its assessment of the costs and the Panel is being requested to consider whether this modification should be returned to the Change Board.

The Panel is requested to approve the Modification Report, the implementation approach, and that SECMP0025 is an Authority Determined Modification.

SECP_65_1502_14 – SECMP0069 New Modification Proposal (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

The Initial Modification Report for SECMP0069 'EU Exit Changes' is provided to help inform the Panel's initial considerations on how the Modification Proposal should progress through the Modification Process.

The Panel is requested to approve the proposed approach for progression of this modification, as set out in the paper.

SECP_65_1502_15 –SECMP0070 New Modification Proposal (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

The Initial Modification Report for SECMP0070 'Permission to give Alt HAN Forum vires for enduring management and maintenance of the Exempt Premises List (EPL)' is provided to help inform the Panel's initial considerations on how the Modification Proposal should progress through the Modification Process.

The Panel is requested to approve the proposed approach for progression of this modification as set out in the paper.

SECP_65_1502_16 – November 2019 Release Implementation Document (For Decision)

Paper focus:

Governance		Security		Technical	
Change	✓	Reporting		Other	✓

Summary:

Following discussion at recent meetings, the DCC have confirmed the Modification Proposals impacting DCC Systems that they believe can be included in the November 2019 SEC Release (due to go live on 7 November 2019), subject to decisions to approve being received in time.

This paper summarises the current status of the November 2019 SEC Release and provides the Release Implementation Document in Appendix A for the Panel to baseline. An update on the June 2020 SEC Release is also included.

The Panel is requested to agree the scope of this release on the DCC Systems and to baseline the Release Implementation Document for this scope.

SECP_65_1502_17 – Change Process Improvements Update (For Information)

Paper focus

Governance		Security		Technical	
Change	✓	Reporting		Other	✓

Summary:

This paper provides an update to the Panel on the work being undertaken to improve the SEC Modifications Process. It outlines the function of the Change Sub Committee (CSC), and how the Development Stage will work. It also sets out the plan for further improvements to the SEC change processes.

The Panel is requested to note the contents of this paper.

Standing Agenda Items

SECP_65_1502_18 – BEIS Update (For Information)

Presentation focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

A presentation that provides the Panel with an update on recent publications, forthcoming consultations and key milestones.

The Panel is requested to note the update.

SECP_65_1502_19 – DCC Update (For Information)

Presentation focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

A presentation that provides the Panel with an update on the activities undertaken by the DCC since the last Panel meeting.

The Panel is requested to note the update.

SECP_65_1502_20 – SEC Panel Sub-Committee Report (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

An update on recent activities from the Panel Sub-Committees. It highlights the key issues discussed and details specific points the Sub-Committees would like to bring to the Panel's attention.

The Panel is requested to note the update.

SECP_65_1502_21 – DCC Reporting (For Decision)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

This paper details which reports are provided by the DCC for the SEC Panel to review, as required by the Smart Energy Code (SEC).

This paper also requests the Panel to review its current "blanket redaction" on these reports and consider a request from the DCC to ensure Parties who have obligations related to the reports adhere to these.

SECP_65_1502_22 – Operations Report – January 2019 (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

The Operations Report is a monthly update based on SECAS activities in support of the SEC. It includes a breakdown of days by driver and provides commentary related to the activities undertaken by the SECAS team in January 2019.

The Panel is requested to note the report.

SECP_65_1502_23 – Smarter Markets Project Update (For Information)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

This paper provides an overview of the developments and work undertaken in support of the Ofgem Smarter Markets project.

The Panel is requested to note the update.

SECP_65_1502_24 – Transitional Governance Update (For Information) (GREEN)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

A compendium of activities occurring under the Smart Metering Implementation Programme (SMIP). The paper includes updates on the following areas:

- High level updates from Transitional Work Group meetings attended by SECAS and the Panel Chair in the last month;
- An overview of relevant notices from the Secretary of State.

The Panel is requested to note the update.