SECP_63_1412 - Panel Paper Overview

This document provides an overview of all the papers to be presented at the SEC Panel meeting on 14 December 2018; so that Parties can determine those papers that may be of interest.

An indication of the focus of each paper has been provided to highlight whether it is of interest to a particular reader, i.e. security, technical, governance.

Following the meeting, SECAS will issue meeting headlines, which outline any decisions and outcomes from the Panel meeting.

Decision and Discussion Items

SECP_63_1412_01 – Minutes and Actions Outstanding (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	

Summary:

The final minutes from the November 2018 Panel meeting and an update on outstanding actions from previous meetings.

SECP_63_1412_02 – DCC Initiated Changes (For Discussion)

Paper focus:

Governance		Security		Technical	
Change		Reporting		Other	✓

Summary:

A verbal update regarding a letter sent from the Panel Chair to the DCC relating to the need for the DCC to engage more effectively with SEC Parties prior to commencing projects that involve material change to User services ad significant expenditure.

SECP_63_1412_03 – SMETS1 Services Governance Update (For Decision)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting		Other	✓

Summary:

A presentation on SEC Panel Governance relating to DCC provision of SMETS1 Services. The Panel will be requested to consider supporting governance required prior to go-live of the related operating capability in the DCC Total System.

SECP_63_1412_04 – SEC Panel Draft Budget 2019 – 2022 consultation (For Decision)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

This paper provides the latest version of the Draft SEC Panel Budget for the next three Regulatory Years (April 2019 to March 2022) and seeks approval prior to consultation with Parties.

SECP_63_1412_05 – Release 2.0 Incentives: Assessment Criteria for Milestones 3A & 3B (For Decision)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	✓

Summary:

This paper sets out the revised assessment criteria for Milestones 3A & 3B (Delivery of Initial batch of Dual Band Communications Hubs for North/Central and South Regions), following feedback from the SEC Operations Group meeting on 27 November 2018.

The Panel are requested to agree the proposed assessment criteria.

SECP_63_1412_06 – SEC Panel Risk and Issue Register Update (For Decision)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

This paper provides a monthly update on the SEC Panel Risk Register and the SEC Panel Issues Register, which includes amendments to an existing risk and two issues.

The Panel are requested to agree the amendments to the Risk Register and Issues Register.

SECP_63_1412_07 – Supplier of Last Resort Scenarios (For Discussion)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	✓

Summary:

A presentation to provide updates on the two most recent Supplier of Last Resort (SoLR) events; Extra Energy and Spark Energy. The DCC will discuss the process followed from a DCC perspective,

and expulsion of Parties from the SEC. The DCC will also touch upon greater issues such as gaining access to the Order Management System, Comms Hub orders, stock and forecasts. The DCC will be requesting that the Panel review the DCC ID Allocation Policy.

As part of this presentation, the DCC will also request the Panel to review various scenarios that can be experienced in the SoLR process including, but not limited to, prepayment customers (as requested at the previous Panel meeting).

SECP_63_1412_08 – Current Events of Default (For Decision) (RED)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**		**Other**	✓

Summary:

This paper provides the Panel with an update on three existing Events of Default, and three new Events of Default that have occurred since the November Panel meeting.

The Panel are requested to make decisions regarding the monitoring and remediation of the above defaulting SEC Parties.

Change Items

SECP_63_1412_09 – Change Status Report – December 2018 (For Information)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

An update on the progress of Modification Proposals going through the change process, a summary of potential future release content, alongside the DCC Impact Assessment (IA) and Preliminary Assessment (PA) timetable.

The Panel are requested to note the contents of the report.

SECP_63_1412_10 - Modification Report - SECMP0018 (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

Following the completion of the Refinement Process, the Modification Report for SECMP0018 'Standard Electricity Distributor Configuration Settings', is being provided to the Panel for

consideration of whether all necessary refinement activities have been completed, and whether it can proceed to Modification Report consultation and Change Board vote.

SECP_63_1412_11 – Modification Report - SECMP0043 (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

Following the completion of the Refinement Process, the Modification Report for SECMP0043 'Standard Electricity Distributor Configuration Settings', is being provided to the Panel for consideration of whether all necessary refinement activities have been completed, and whether it can proceed to Modification Report consultation and Change Board vote.

SECP_63_1412_12 – Modification Report - SECMP0059 (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

Following the completion of the Refinement Process, the Modification Report for SECMP0059 'Amendments to SEC Security Assessments for Non-Domestic Suppliers and Other Users', is being provided to the Panel for consideration of whether all necessary refinement activities have been completed, and whether it can proceed to Modification Report consultation and Change Board vote.

SECP_63_1412_13 – New Modification Proposal - SECMP0067 (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

The Initial Modification Report for SECMP0067 'Service Request Traffic Management', is provided to help inform the Panel's initial considerations on how the Modification Proposal should progress through the Modification Process.

SECP_63_1412_14 – New Modification Proposal - SECMP0068 (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**	✓	**Other**	

Summary:

The Initial Modification Report for SECMP0068 'Alt HAN Company third party rights to enable external financing arrangements', is provided to help inform the Panel's initial considerations on how the Modification Proposal should progress through the Modification Process.

SECP_63_1412_15 – SECMP0038 Risk Assessment Responses (For Decision) (RED)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

The purpose of this document is to provide a comparison of the costs and timeframes provided to carry out the risk assessment of the proposed solution for SECMP0038 'Sending Commands via PPMIDs'. The four deliverables in the table below will state the perceived and/or anticipated risks associated with each individual deliverable.

The Panel is requested to agree that Gemserv should be procured to perform the risk assessment OR to seek further costs and timeframe estimates to complete the work from additional risk assessment companies.

SECP_63_1412_16 – Cost of DCC assessing & implementing modifications (For Decision)

Paper focus:

Governance		**Security**		**Technical**	
Change	✓	**Reporting**		**Other**	✓

Summary:

This paper outlines the activities being undertaken to reduce the DCC cost of assessing and implementing SEC Modification Proposals.

It requests the Panel to determine a critical question on how Release Overheads are to be accounted for. The answer will drive the direction of all ongoing and future work in this area. This paper sets out the areas to consider when making the decision and the next steps required for either eventuality.

SECP_63_1412_17 – SEC Change process improvements: release framework and wider SEC change service improvements (For Information)

Paper focus:

Governance		Security		Technical	
Change	✓	Reporting		Other	✓

Summary:

This paper outlines the activities being undertaken to reduce the cost of the DCC assessing and implementing SEC Modification Proposals, in addition to setting out the work being undertaken on wider service improvements to the change process.

The Panel is invited to note the work that SECAS are planning to do and provide any comments they may have.

Standing Agenda Items

SECP_63_1412_18 – BEIS Update (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

A presentation that provides the Panel with an update on recent publications, and forthcoming consultations and key milestones.

The Panel is requested to note the update.

SECP_63_1412_19 – DCC Update (For Information)

Paper focus:

Governance	✓	Security		Technical	
Change		Reporting	✓	Other	

Summary:

A presentation that provides the Panel with an update on the activities undertaken by the DCC since the last Panel meeting.

The Panel is requested to note the update.

SECP_63_1412_20 – SEC Panel Sub-Committee Report (For Information) (GREEN)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

An update on recent activities from the Panel Sub-Committees. It highlights the key issues discussed and details specific points the Sub-Committees would like to bring to the Panel's attention.

The Panel is requested to note the update.

SECP_63_1412_21 – DCC Reporting (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

This month the paper provides the Panel with the following reports provided by the DCC:

- Certificate Signing Requests (CSR) Variance Reports; and
- Post Commissioning Information Report.

The Panel is requested to note the reports.

SECP_63_1412_22 – Operations Report – November 2018 (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

The Operations Report is a monthly update based on SECAS activities in support of the SEC. It includes a breakdown of days by driver and provides commentary related to the activities undertaken by the SECAS team in November 2018.

The Panel is requested to note the report.

SECP_63_1412_23 – Smarter Markets Project Update (For Information)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

This paper provides an overview of the developments and work undertaken in support of the Ofgem Smarter Markets project.

The Panel is requested to note the update.

SECP_63_1412_24 – Transitional Governance Update (For Information) (GREEN)

Paper focus:

Governance	✓	**Security**		**Technical**	
Change		**Reporting**	✓	**Other**	

Summary:

A compendium of activities occurring under the Smart Metering Implementation Programme (SMIP). The paper includes updates on the following areas:

- High level updates from Transitional Work Group meetings attended by SECAS and the Panel Chair in the last month; and
- An overview of relevant notices from the Secretary of State.

The Panel is requested to note the update.