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Action:	**For Information**

Change Status Report – November 2018

1. Purpose

This document is the Change Status Report, which provides information on the progress of Smart Energy Code (SEC) Modifications and Releases.

DCC's progress report on Preliminary Assessments and Impact Assessments is included as Appendix A to this report.

2. Recommendations

The Panel:

- **AGREED** a one-month extension for SECMP0015, with the Draft Modification Report to be presented to the Panel in January 2019;
- **AGREED** a one-month extension for SECMP0018, with the Draft Modification Report to be presented to the Panel in December 2018;
- **AGREED** a two-month extension for SECMP0025, with the Draft Modification Report to be presented to the Panel in January 2019;
- **AGREED** a one-month extension for SECMP0032, with an update on progress and a revised timetable to be presented to the Panel in December 2018; and
- **AGREED** a three-month extension for SECMP0055, with the Draft Modification Report to be presented to the Panel in March 2019.

Joe Hehir

SECAS Team

12 November 2018

SEC
Smart Energy Code

Smart Energy Code

Change Status Report

November 2018

For Information

1. Summary

This report provides a monthly update on the status and progress of SEC Modification Proposals and Releases, highlighting key information and decisions made.

More detailed information can be found in the Modification Register.

New Modification Proposals

Two new Modification Proposals were presented to the Panel this month:

- SECMP0064 'Seeking access to consumer premises for Alt HAN Activities'
- SECMP0066 'Advanced Shipment Notifications (ASN) for Consignment of Communications Hubs.'

Modification Reports

The Panel approved one Modification Report:

- SECMP0057 'Users to notify SSC of a second or subsequent User System'

Decisions

The Change Board **approved** one Modification Proposal under Self-Governance on 24 October 2018:

- SECMP0065 'SMETS1 Security Obligations'

The Authority **rejected** one Modification Proposal under Authority Determination on 26 October 2018.

- SECMP0041 'Amending the Change Board decision making rules for Modification Proposals'

2. News and Updates

Designations update

SEC Version 6.0 became effective on 28 October 2018 following the Secretary of State's amendments of SEC Appendix AB and SEC Appendix E which also had consequential changes to SEC Section A.

SEC Version 6.1 became effective on 1 November 2018 which implemented nine modifications as part of the November 2018 SEC Release.

SEC Version 6.2 became effective on 8 November 2018 which implemented further three modifications into the SEC.

Further information on the November 2018 SEC Release can be found in Section 4 of this report.

Change Sub-Committee Terms of Reference

The Panel agreed that a separate Change Sub-Committee should be formed if SECMP0049 'Section D Review: Amendments to the Modification Process' is approved and signed off the terms of reference for this group.

3. Modifications Headlines

Timetable and progression updates

SECMP0015

The Draft Modification Report (DMR) for SECMP0015 'GPF timestamp for reading instantaneous Gas values' is due to be presented to the Panel in December 2018.

We are awaiting confirmation on final costings for an Impact Assessment from DCC. We have not yet received these costs, which will now impact the timetable for this modification. The Working Group will also need to review these costs and complete its consultation before the DMR can be presented to the Panel.

We requested a one-month extension, with the DMR to be presented to the Panel in January 2019. We will keep the Panel updated on progress with obtaining the full costs.

SECMP0015 proposed timetable	
Activity	**Date**
Updated Impact Assessment received from DCC	Nov 18
Working Group meeting	Nov/Dec 18
Working Group Consultation	Dec 18
Modification Report presented to Panel	11 Jan 19

The Panel:

- **AGREED** a one-month extension for SECMP0015, with the DMR to be presented to the Panel in January 2019.

SECMP0018

The Draft Modification Report (DMR) for SECMP0018 'Standard Electricity Distributor Configuration Settings' is due to be presented to the Panel in November 2018.

DCC has notified us verbally that there would be no cost or impact on it for implementing SECMP0018 as a standalone change, noting the GB Companion Specification (GBCS) uplift this modification would require to implement the text-only changes. We are awaiting written confirmation from DCC on this before presenting the updated Modification Report to the Panel. We currently expect to be able to present this report at the December 2018 Panel meeting.

The Panel:

- **AGREED** a one-month extension for SECMP0018, with the DMR to be presented to the Panel in December 2018.

SECMP0025

The DMR for SECMP0025 'Electricity Network Party Access to Load Switching Information' is due to be presented to the Panel in November 2018.

The DCC provided an Impact Assessment on 8th January 2018. However, following the Panel's request, we continue to liaise with DCC for the full implementation costs to be provided. We have not yet received these costs, which will impact the timetable for this modification.

We proposed a two-month extension at this time, with the DMR to be presented to the Panel in January 2019. We will keep the Panel updated on progress with obtaining the full costs.

SECMP0025 proposed timetable	
Activity	**Date**
Updated Impact Assessment received from DCC	Nov/Dec 18
Modification Report presented to Panel	11 Jan 19

The Panel:

- **AGREED** a two-month extension for SECMP0025 with the DMR to be presented to the Panel in January 2019.

SECMP0032

The DMR for SECMP0032 'Prioritising Prepayment Customers in No WAN Situations' is due to be presented to the Panel in November 2018.

The Preliminary Assessment was received on the morning of the October Panel meeting, which did not leave enough time to review the existing timetable for this modification. The Working Group has now reviewed the Preliminary Assessment and is considering whether or not there is benefit in proceeding to a Working Group Consultation with the currently-proposed solution.

As a result, we requested a one-month extension for SECMP0032 to allow more time for the Proposer to determine what the next steps should be. We will provide a further update at the December 2018 Panel meeting and request a revised timetable then once the Proposer has determined how they wish to proceed.

The Panel:

- **AGREED** a one-month extension for SECMP0032, with an update on progress and a revised timetable to be presented to the Panel in December 2018.

SECMP0055

The DMR for SECMP0055 'Incorporation of multiple Issue Resolution Proposals into the SEC' is due to be presented to the Panel in December 2018.

After liaising with the DCC, we agreed a deadline for further Issue Resolution Proposals to be added to the scope of this modification. However, after consultation with our technical experts, we have recognised that these will require significantly more time and effort than originally anticipated to edit the text and embedded document sections involved (in particular with GBCS and SMETS changes), which will require a further extension to the modification's timetable.

We proposed a three-month extension to the timetable to allow for the legal text to be produced and consulted upon, with the DMR to be presented to the Panel in March 2019. However, if we are able to return the DMR sooner then we will do so.

SECMP0055 proposed timetable	
Activity	**Date**
SECAS produces legal text	Nov/Dec 18
Working Group Consultation	Jan 19
Working Group meeting (if required)	Feb 19
Modification Report presented to Panel	15 Mar 19

The Panel:

- **AGREED** a three-month extension for SECMP0055, with the DMR to be presented to the Panel in March 2019.

New Modification Proposals

SECMP0064

SECMP0064 'Seeking access to consumer premises for Alt HAN Activities' was raised by EDF Energy on 4 October 2018. The Initial Modification Report (IMR) was previously presented to the Panel on 12 October 2018 but the Panel decided to defer a decision on how to progress this modification until the next Panel meeting. Members requested further information to be provided on the verification controls that would be introduced to verify the identity of Alt HAN representatives accessing consumer premises. The Panel also requested the legal text be considered further, noting concerns regarding the potential implications of the proposed solution. The IMR was presented to the Panel again on 9 November 2018, and the Panel agreed to progress this modification directly to Modification Report Consultation.

SECMP0066

SECMP0066 'Advanced Shipment Notifications (ASN) for Consignment of Communications Hubs.' was raised by Scottish Power on 29 October 2018. The IMR was presented to the Panel on 9 November 2018, where the Panel submitted the modification to the Refinement Process.

This Modification seeks to amend the SEC to increase the minimum notice period that the DCC must offer a Party about Advanced Shipment Notifications (ASN) for the Consignment of Communication Hubs.

The Panel did not agree with the Proposer's view that SECMP0066 should be treated as an Urgent Modification Proposal and recommended such to the Authority. The Authority will make a decision on Urgency in due course.

Change Board votes

The Change Board recommended that SECMP0061 'Enduring SEC Release Provisions' should be approved by the Authority for implementation on 28 February 2019. This modification is now with the Authority for determination.

The Change Board **approved** one Modification Proposal under Self-Governance on 24 October 2018:

- SECMP0065 'SMETS1 Security Obligations'

The 10 Working Day period for Parties to refer the decision to the Panel closed on 7 November 2018 with no referrals being received. Subsequently this modification was implemented on 8 November 2018.

Authority decisions

The Authority decided to **reject** SECMP0041 'Amending the Change Board decision making rules for Modification Proposals' on 26 October 2018. This Modification Proposal is now closed.

Withdrawn modifications

SECMP0031

The Proposer of SECMP0031 'Adding UTRN Functionality to SMETS' elected to withdraw their proposal on 19 October 2018. The 10 Working Day period in which Parties could adopt this modification closed on 5 November 2018 with no-one electing to adopt the modification, meaning that SECMP0031 is now closed. We also note that the Proposer of SECMP0031 has elected to raise a SEC Issue in place of SECMP0031.

Forthcoming events

Working Group meetings

- **SECMP0062**: The next Working Group meeting will be held on **15 November 2018**.
- **SECMP0058**: The next Working Group meeting will be held in the **week beginning 26 November 2018**.
- **SECMP0046**: The next Working Group meeting is planned to be held on **3 December 2018**.

Consultations

- **SECMP0058**: The Working Group Consultation was issued on **2 November 2018** with responses invited by **23 November 2018**.
- **SECMP0043**: The Working Group Consultation was issued on **7 November 2018** with responses invited by **28 November 2018**.
- **SECMP0057**: The Modification Report Consultation was issued on **12 November 2018** with responses invited by **3 December 2018**.
- **SECMP0064**: The Modification Report Consultation was issued on **12 November 2018** with responses invited by **3 December 2018**.

4. Releases Headlines

Implementation of the November 2018 SEC Release

SEC Version 6.1 became effective on 1 November 2018 to implement the November 2018 SEC Release, which contained the following modifications;

- SECMP0008 'Provision of a DCC Alert (formerly Service Request Error Response) for Quarantined Service Requests'
- SECMP0019 'ALCS Description Labels'
- SECMP0027 'Amending Service Request Forecasting'
- SECMP0029 'Business Continuity and Disaster Recovery Testing Amendments'
- SECMP0034 'Changes to the SEC Section D for DCC analysis provisions'
- SECMP0047 'Default Provisions for Other SEC Parties' (effective from 31 October 2018)
- SECMP0050 'Section D Review: Moving the Working Group Terms of Reference to a separate document'
- SECMP0051 'Section D Review: Amendments to the Fast Track Modification process'
- SECMP0052 'Updates to the DCCKI CP and DCCKI RAPP'

SEC Version 6.2 became effective on 8 November 2018 to cover the second part of the November 2018 SEC Release which contained the following three modifications:

- SECMP0006 'Specifying the number of digits for device display'
- SECMP0048 'Extension of SMETS gas Valve exemption to include Large Gas Meters installed at Domestic Premises'
- SECMP0065 'SMETS1 Security Obligations'

Scope of releases

The tables below summarise the current scope of future SEC releases which have targeted and/or approved Modification Proposals. Please note that Modification Proposals will only be added to these tables once the relevant Working Group has agreed a target date for implementation.

2019 SEC Releases			
Release	**Date**	**Modification**	**Status**
February Release	28 Feb 19	0009	Targeted
		0049	Targeted
		0058	Targeted
		0059	Targeted
		0061	Targeted
June Release	27 Jun 19	0055	Targeted

2020 SEC Releases			
Release	**Date**	**Modification**	**Status**
June Release	25 Jun 20	0015	Targeted
		0018	Targeted
		0023	Approved
		0025	Targeted
		0039	Targeted
		0053	Targeted

5. Statistics

Modification Proposals

Modification Proposals raised by calendar year (up to Oct 18)

Modification Proposals raised and open (pre-decision) by month

Timescales

The timescales below are correct as at the end of October 2018.

DCC Assessment timescales

Preliminary Assessments		
Area	**Number**	**Average duration**
Preliminary Assessments open	3	64 WD
Preliminary Assessments completed during 2018/19	5	158 WD

Impact Assessments		
Area	**Number**	**Average duration**
Impact Assessments open	1	52 WD
Impact Assessments completed during 2018/19	4	218 WD

Modification progression timescales

Modification timescales		
Area	**Number**	**Average duration**
Modifications open (pre-decision)	30	309 WD
Modifications closed during 2018/19	18	259 WD

Progression timelines

The diagrams on the following pages illustrate the progression timelines for each modification currently in the Refinement Process. The yellow line denotes the current point in time.

The 'Timeline' bar denotes the current duration of the Refinement Process for each modification, with the original timetable shown in purple and each subsequent extension shown in alternating shades of blue. The end of this bar denotes the current month when the Modification Report is scheduled to be returned to the Panel. Any extensions requested in this report are shown in orange.

The 'PA/IA' bar denotes the months when each modification has been with DCC for a DCC Assessment and the anticipated duration remaining for any active DCC Assessments.

Mod
Title
2016
2017
2018
2019
Q1
Q2
Q3
Q4
SECMP0007
Firmware updates to mandated HAN devices
SECMP0010
Introduction of triage arrangements for Communication Hubs
SECMP0012
Channel selection to support Shared HAN solutions
SECMP0013
Smart meter device diagnostics and triage
SECMP0015
Add Timestamp to Read Instantaneous Prepay Values response message
SECMP0024
Enduring Approach to Communication Hub Firmware Management
SECMP0028
Prioritising Service Requests
SECMP0032
Prioritising Prepayment Customers in No WAN Situations
SECMP0037
Pairing Local PPMIDs
SECMP0038
Sending Commands via PPMIDs
SECMP0039
Communication Hub returns notification mechanism for Other SEC Parties
SECMP0042
Amendments to provide DCC Users/SMKI Participants with ARO Stats and Information
SECMP0043
Modification to Services Force Majeure Provisions
SECMP0046
Allow DNOs to control Electric Vehicle chargers connected to Smart Meter infrastructure
SECMP0053
Amend Target Response Times for Service Requests Critical to Installation and Commissioning Processes
Timeline
PA/IA

Mod
Title
2016
2017
2018
2019
Q1
Q2
Q3
Q4
Q1
Q2
Q3
Q4
Q1
Q2
Q3
Q4
Q1
Q2
Q3
Q4
SECMP0055
Incorporation of multiple Issue Resolution Proposals into the SEC
Timeline
PA/IA
SECMP0056
IHD / PPMID Zigbee Attributes Available on the HAN
Timeline
PA/IA
SECMP0058
Changes to the governance of the Self-Service Interface
Timeline
PA/IA
SECMP0059
Amendments to SEC Security Assessments for Non-Domestic Suppliers and Other Users
Timeline
PA/IA
SECMP0060
Amend Requirements to remove 'Pending' devices from SMI
Timeline
PA/IA
SECMP0062
Amend Requirements to remove 'Pending' devices from SMI
Timeline
PA/IA
SECMP0063
Amend Requirements to remove 'Pending' devices from SMI
Timeline
PA/IA
SECMP0066
Advanced Shipment Notifications (ASN) for Consignment of Communications Hubs.
Timeline
PA/IA

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