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## SEC Panel Meeting Headlines 09 November 2018

**10:00 – 13:00**

**Gemserv, 8 Fenchurch Place, London, EC3M 4AJ**

### SECP\_62\_0911 – Meeting Headlines

#### SEC Panel Headlines

##### 1. Minutes and Actions Outstanding (For Information)

The Panel noted that the minutes from the October 2018 Panel meeting, and the additional Panel meeting held on 19 October, had been approved via ex-committee. It was noted that all actions were marked as completed or on target for completion.

The Panel **NOTED** the update.

#### Decision, Discussion and Information Items

##### 2. Ofgem Switching Programme Consultation (For Decision)

SECAS presented the Panel with the draft response to Ofgem's consultation, '[Switching Programme: Regulation and Governance – way forward and statutory consultation on licence modification](#)', which was issued on 15 October 2018. The Panel agreed to submit any comments or feedback on the response to SECAS by Wednesday, 14 November, prior to submission on 16 November.

The Panel **AGREED** to submit the proposed response to Ofgem by 16 November.

##### 3. Privacy Controls Framework (For Decision) (**AMBER**)

SECAS presented the Panel with an updated version of the Privacy Controls Framework (v2.2) following discussions that took place at the October 2018 Panel meeting, regarding compliance of Other Users who do not wish to make use of all the functionality accorded to them in the Other User role.

The Panel requested that SECAS include a clause to state that where an Other User wishes to start utilising Service Requests pertaining to SEC Sections I1.2 – I1.4, and obtain the associated data, they will notify the Panel, and the Panel will need to assess whether a further Privacy Assessment is required.

The Panel **APPROVED** the Privacy Controls Framework v2.2, subject to the above amendment.

##### 4. SEC Panel Draft Budget 2019 – 2022 (For Decision) (**AMBER**)

At the September 2018 Panel meeting, SECAS presented the Panel with the Draft Budget for the next three Regulatory Years (April 2019 to March 2022) and the Panel provided feedback.

SECAS informed the Panel that since the September meeting, the Sub-Committee Independent Chairs have requested several further projects be included in the budget, which were presented to the Panel. The Panel requested SECAS to work with the Independent Chairs to condense the project wish list based on a need to have/like to have basis.

SECAS agreed to circulate this to the Panel for further discussion at the December 2018 meeting.

#### **5. Data Quality Request for Information (For Decision)**

SECAS provided the Panel with a summary of the responses received from the Data Quality Request for Information that was issued to SEC Parties in September 2018.

The Panel **AGREED** that the Operations Group should act as the steering group to take forward the analysis, prioritisation and remediation work that has already commenced, and **AGREED** the recommendations proposed by SECAS regarding the analysis of other issues and commissioned the necessary SECAS work.

#### **6. SEC Panel Risk and Issue Register Update (For Decision)**

SECAS provided an update on the SEC Panel Risk Register and Issues Log, which included amendments to two existing risks.

The Panel **AGREED** the amendments to the SEC Panel Risk Register.

#### **7. Supplier of Last Resort (For Information)**

In light of recent Supplier of Last Resort events, the DCC presented the Panel with an overview of its documented Supplier of Last Resort process.

The Panel **NOTED** the update.

#### **8. Event of Default Process (For Decision)**

The Panel considered proposed options that it could take in an Event of Default, in addition to the potential consequences when a particular action is implemented.

The Panel **NOTED** the proposed options it could take in an Event of Default.

#### **9. Current Events of Default (For Decision) (RED)**

The Panel were provided with a confidential update on two current Events of Default, and two new Events of Default. The discussion was classified as **RED** and therefore recorded in the Confidential Minutes.

The Panel **AGREED** to expel Usio Energy Supply Limited from the SEC. The Panel also **AGREED** to impose a remedial plan on one of the Parties, and to monitor the two remaining Parties in Default.

#### **10. Cross-Code Survey Next Steps (For Information)**

In light of the feedback received via the Cross-Code Survey, SECAS presented the Panel with an overview of its Service Enhancement Plan.

The Panel **NOTED** the update.

## Change Items

### 11. Change Status Report – November 2018 (For Decision)

The Panel were provided with an update on the status and progress of Modification Proposals.

The Panel:

- **AGREED** a one-month extension for [SECMP0015 'GPF timestamp for reading instantaneous Gas values'](#), with the Draft Modification Report to be presented to the Panel in January 2019;
- **AGREED** a one-month extension for [SECMP0018 'Standard Electricity Distributor Configuration Settings'](#), with the Draft Modification Report to be presented to the Panel in December 2018;
- **AGREED** a two-month extension for [SECMP0025 'Electricity Network Party Access to Load Switching Information'](#), with the Draft Modification Report to be presented to the Panel in January 2019;
- **AGREED** a one-month extension for [SECMP0032 'Prioritising Prepayment Customers in No WAN Situations'](#), with an update on progress and a revised timetable to be presented to the Panel in December 2018; and
- **AGREED** a three-month extension for [SECMP0055 'Incorporation of multiple Issue Resolution Proposals into the SEC'](#), with the Draft Modification Report to be presented to the Panel in March 2019.

The Panel were also provided with an update from the DCC on the current progression of SEC Modification Proposals as they undergo Preliminary Assessments and Impact Assessments.

### 12. Modification Proposal – Draft Modification Report for SECMP0057 (For Decision)

SECAS presented the Panel with the Draft Modification Report for [SECMP0057 'Users to notify SSC of a second or subsequent User System'](#).

The Panel:

- **AGREED** that SECMP0057 is a Path 3 Modification Proposal;
- **AGREED** that the draft legal text delivers the intention of the modification;
- **AGREED** with the recommended implementation date of 28 February 2019; and
- **AGREED** that this modification be submitted for Modification Report Consultation.

### 13. Modification Proposal - Initial Modification Report for SECMP0064 (For Decision)

SECAS presented the Panel with the updated Initial Modification Report for [SECMP0064 'Seeking access to consumer premises for Alt HAN Activities'](#).

The Panel:

- **AGREED** that this modification should be submitted for Modification Report Consultation;
- **AGREED** the progression timetable; and

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- **AGREED** that SECMP0064 should be progressed as a Path 2 Modification Proposal.

#### 14. Modification Proposal - Initial Modification Report for SECMP0066 (For Decision)

SECAS presented the Panel with the Initial Modification Report for [SECMP0066 'Advanced Shipment Notifications \(ASN\) for Consignment of Communications Hubs'](#).

The Panel were informed that the Proposer had recommended that SECMP0066 be progressed as an Urgent Modification Proposal, however SECAS did not believe the modification meets the criteria for Urgency provided by Ofgem. The Panel **AGREED** to recommend to the Authority that Urgency for SECMP0066 should **not** be granted.

The Panel:

- **AGREED** that SECMP0066 should be progressed as a Path 2 Modification Proposal;
- **AGREED** the terms of reference for the Working Group;
- **RECOMMENDED** to the Authority that SECMP0066 is **not** treated as an Urgent Modification;
- **RECOMMENDED** to the Authority the corresponding progression timetable if the Authority does grant Urgency;
- **AGREED** that if Urgency is not granted that this modification should be submitted into the Refinement Process to be assessed by a Working Group; and
- **AGREED** the corresponding progression timetable if the Authority does not grant Urgency.

#### 15. Change Sub-Committee Terms of Reference (For Decision)

SECAS reminded the Panel that if approved, [SECMP0049 'Section D Review: Amendments to the Modification Process'](#), will introduce a Change Sub-Committee to oversee the development of new modifications during a 'pre-modification process', and presented a draft Terms of Reference for a separate Change Sub-Committee.

The Panel provided comments and requested that the Terms of Reference be updated to include some further comments.

The Panel **AGREED** that a separate Change Sub-Committee should be established and that the Terms of Reference should be used for this group if SECMP0049 is approved, subject to inclusion of the Panel's comments.

#### 16. Independent Study of SEC Modifications

The DCC presented the Panel with its plan for efficient, economic and effective delivery of changes to the DCC systems, following the high level of costs quoted for the June 2019 SEC Release.

The Panel **NOTED** the presentation.

## 17. Update on enduring Modification and Release activities

SECAS provided the Panel with an overview of work undertaken to date and ongoing work with regards to improvements to the modification and releases processes and informed the Panel that a high-level set of delivery milestones for all elements of improvements to the process will be presented next month.

The Panel **NOTED** the update.

## 18. Fixed Capacity and November 19 System Release update

The DCC provided the Panel with several options for a Fixed Capacity Model, which will put in place dedicated resource from the DCC's Service Providers to bring down the costs of delivering system releases. The DCC provided suggestions for modifications that could be included in a November 2019 SEC Systems Release.

The Panel **NOTED** the presentation.

## Standing Agenda Items

### 19. BEIS Update (For Information)

BEIS provided the Panel with an update on the forthcoming consultations and key milestones.

The Panel **NOTED** the update.

### 20. DCC Update (For Information)

The DCC presented the Panel with an update on the activities undertaken since the last Panel meeting.

The Panel **NOTED** the update.

### 21. SEC Panel Sub-Committee Report (For Information)

SECAS provided the Panel with an update on recent activities from all the SEC Panel Sub-Committees.

The Panel **NOTED** the update.

### 22. DCC Reporting (For Information)

The Panel were provided with the Certificate Signing Requests (CSR) Forecast Variance Report and the Post Commissioning Information Report from the DCC as required by the SEC.

The Panel **NOTED** the reports.

### 23. Operations Report – October 2018 (For Information)

The Panel were presented with the Operations Report for October 2018. The report provided an outline of the activities undertaken by the SECAS team in support of the SEC.

The Panel noted that the following organisations would be admitted as Parties to the SEC following countersignature of their Accession Agreement by the SECCo Board:

- Secure SMSO Limited (Other SEC Party);
- Calvin Asset Management Limited (Other SEC Party); and
- Hugo Technologies Limited (Other SEC Party).

The Panel **NOTED** the report.

#### **24. Smarter Markets Project Update** (For Information)

The Panel were provided with an update on the activities currently being undertaken to support the project.

The Panel **NOTED** the update.

#### **25. Transitional Governance Update** (For Information)

SECAS presented the Panel with an update from the transitional governance entities and other smart metering related meetings and workshops attended by SECAS in the last month.

The Panel **NOTED** the update.

#### **26. Any Other Business** (AOB)

There were no items of AOB and the Chair closed the meeting.