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Modification Decision

SECMP0040:

Changes to how DCC Users Schedule & carry out User Security Assessments after completed of the User Entry Process.

What stage is this document in the process?

01	Initial Assessment
02	Refinement Process
03	Modification Report
04	Decision

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Summary

This Modification seeks to ensure that, Security Assurance Assessments (also referred to in this report as User Security Assessments) for Data Communications Company (DCC) Users are scheduled 12 months following completion of the User Entry Process and initial Full User Security Assessment (FUSA).

Change Board Decision



- The Change Board believe **unanimously** that SECMP0040 does better facilitate the SEC Objectives. The Change Board therefore believe that this Modification Proposal should be **approved**.

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About this Document

This is the Modification Decision document for SECMP0040. This document provides a summary of the Change Board discussions and decision.

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1. Change Board discussions

The **unanimous** view of the Change Board is that SECMP0040 does better facilitate General SEC Objective(s) (f) and (g) and should be **approved**.

Change Board Discussions

On 23rd October 2017 the Change Board considered the Final Modification Report (FMR), draft legal text and Modification Report Consultation (MRC) Responses for SECMP0040 'Changes to how DCC Users schedule and carry out User Security Assessments after completion of the User Entry Process'.

A Change Board member drew attention to one of the comments provided on the draft legal text made by a respondent to the MRC and sought clarity on whether a Working Group (WG) had addressed it. They added that the respondent seemed to believe that the version published was not in line with the solution. The Chair advised that the respondent had downloaded the incorrect version. SECAS contacted the respondent and advised which version was the right version and they confirmed they were happy with the legal text.

The Chair also advised that the modification had proceeded directly to the Report Phase and had not been considered by a WG. However, the Proposer and SECAS undertook a review exercise and considered all comments on the draft legal text. It was concluded that none of the changes were material and when considered by the Proposer the decision was made to not make any changes to the legal text.

SECAS responded to each of the other comments clarifying any ambiguity and reiterating the Proposer's intention of the modification. The Chair advised that this review exercise was the reason the modification had been delayed from the September 2017 Change Board meeting. There were no further comments from the Change Board

Views against the General SEC Objectives

Objective (f)

The implementation of SECMP0040 ensures security of data system operator.

Objective (g)

The implementation of SECMP0040 provides clarity on the administration of SEC processes and improves consistency and transparency in relation to scheduling security assessments.

For the avoidance of doubt, the Change Board believes that SECMP0040 is neutral against Objective(s) (a), (b), (c), (d) and (e).

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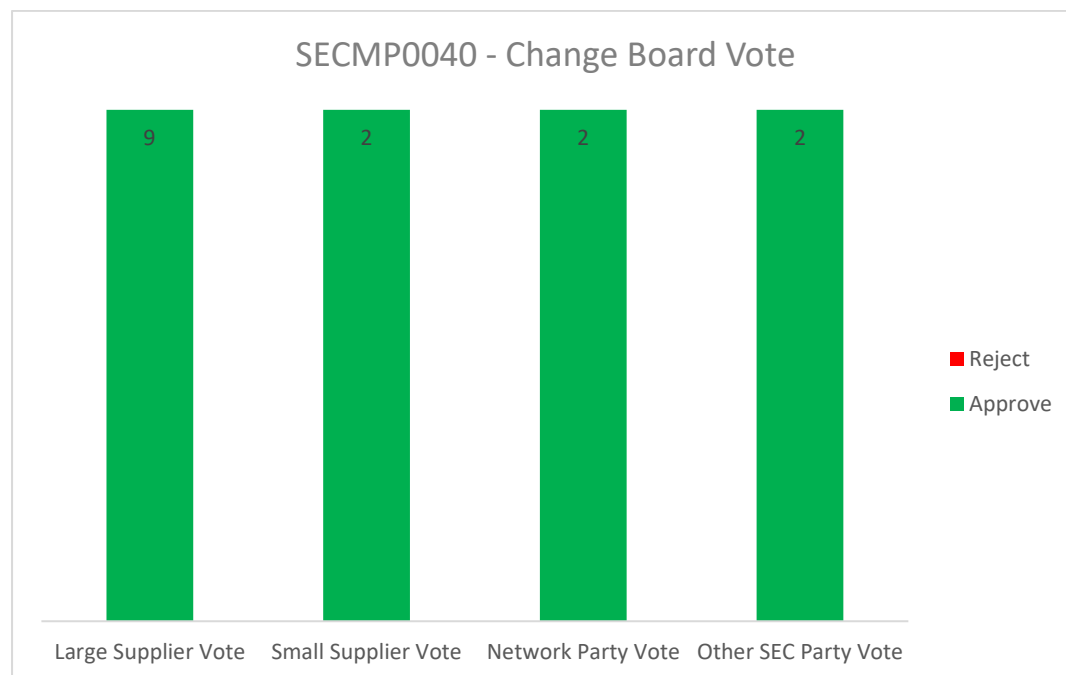
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2. Change Board Vote

This section sets out the breakdown of the Change Board’s vote.

The Change Board unanimously voted to approve SECMP0040.



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3. Change Board [decision / recommendation]

The Change Board:

- **CONSIDERED** the Final Modification Report (FMR) and Modification Report Consultation (MRC) responses for SECMP0040;
- **APPROVED** SECMP0040 for implementation on 22nd November 2017 (10 working days following the close of the referral window); and
- **PROVIDED** rationale as to why SECMP0040 better facilitates the General SEC Objectives.

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