

Stage 03: Modification Report

SECMP0020:

Removal of the confidential classification of the unique identifiers listed in SEC Schedule 5

This Modification Proposal seeks to remove the confidential classification requirement in SEC Schedule 5 which prohibits the publication and disclosure of the unique identifier(s) described within it.



Potential Impact on:

- SEC Panel and SECAS

What stage is this document in the process?

01	Initial Modification Report
02	Refinement Process
03	Report Phase
04	Final Modification Report

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SECMP0020 Modification Report

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About this document

This document is the Modification Report for SECMP0020. The SEC Panel has considered this report to ensure that due process has been followed and determined that it should be issued for Modification Report Consultation. SEC Parties are asked to comment on the suitability of this Proposal before the Change Board make a determination.

1. Summary

This section provides an overview of SECMP0020. For further details on the Modification Proposal, please refer to subsequent sections. Defined terms and acronyms used in this document are listed in the Glossary (Section 9) of this document.

1.1 Why Change?

SEC Schedule 5 'Accession Information' specifies that the unique identifier (Market Participant Identifiers (MPIDs)) associated with Network Operators and Suppliers as well as the unique identifier by which Meter Operators and Meter Asset Managers are identified be treated as confidential.

Due to this confidentiality restriction, this information cannot be provided, irrespective of SEC Party requests, within information provided on the SEC Website. A specific example being the ID Allocation Excel spreadsheet.

1.2 Solution

Western Power Distribution (the Proposer) is seeking to remove the three iterations of the following clause from SEC Schedule 5: "[This information shall be treated as confidential and not disclosed on the Website.]" This will allow SECAS to include these unique identifiers in information made available to SEC Parties on the SEC Website.

1.3 Impacts and Costs

SECMP0020 proposes amendments to SEC Schedule 5 "Accession Information". There should be minimal impact on SEC Parties as they provide the information as part of the accession process currently. The Modification Proposal would allow this information to be made available to SEC Parties via the SEC Website.

The costs to implement this change will be limited to the Code Administrator time and effort to making the necessary amendments to the SEC and release a new version to SEC parties and the publication of it on the SEC Website.

1.4 Implementation

As this Modification Proposal only involves minor changes to SEC Schedule 5, it is proposed to implement this Modification Proposal 5 Working Days (WD) following the end of the 10 WD referral period following the Change Board Vote (subject to the modification being progressed as a Path 3 'Self Governance' Modification Proposal).

1.5 Progression path

Changes to SEC Schedule 5, relating to the treatment of the unique identifiers from confidential to non-confidential, are non-material and require minimal changes to the SEC. Therefore, SECMP0020 is being progressed as a Path 3 Modification (Self-Governance)

Section 6 of this document contains a detailed timetable, for the progression of the Modification Proposal through the modification process.

2. Why Change?

2.1 Background

When the SEC version 4.0 (SEC4.0) was designated on 14th January 2015, it was noted that the unique identifiers identified under the MRA and the UNC for Suppliers, and Network Parties and the unique identifiers that identify Meter Asset Managers (MAMs) or Meter Operators (MOPs) provided as part of the Accession Process should be treated as confidential. Therefore, this could not be made available on the SEC Website.

This information would then be passed on to the DCC and held as confidential in the SEC. Following this the SEC Schedule 5 was amended to require that these MPIDs would be held as confidential in the SEC.

2.2 What is the issue?

The unique identifiers (known as Market Participant Identifiers (MPIDs)) for Suppliers, Network Parties and for SEC Parties that are MOPs and MAMs are required by SEC Schedule 5 to be treated as confidential. The information cannot be made available to SEC Parties through mediums that may aid their internal processing. A consequence of this is that SEC Parties must put in place their own extra steps and activities outside of the SEC to manage the information they need.

If the unique identifiers were not treated as confidential, the information could be provided on the SEC Website enabling a central point for SEC Parties to more easily identify other SEC parties. This information would be in addition to the SEC specific EUI-64 Identifiers and Signifiers, along with address information that is currently available through mediums such as the ID Allocation Spreadsheet.

If the confidentiality requirement was removed, as noted above the unique identifiers as requested by the Proposer would be captured within the SEC Panel's ID Allocation Procedure and ID Allocation Excel spreadsheet.

As the SEC develops and the Smart Metering Implementation Programme (SMIP) progresses, Parties interaction will increase. Facilitating the availability of the unique identifiers to SEC Parties would lead to further efficiency.

3. Solution

3.1 Proposed solution

Western Power Distribution (the Proposer) seeks to remove the confidential classification provisions in SEC Schedule 5 which prohibit the publication and disclosure of the unique identifier(s), described within it, on the SEC Website.

This Modification Proposal would also enable the unique identifiers to be included in the ID Allocation Spreadsheet, published on the SEC Website. This would aid SEC Parties in the identification of other SEC Parties. If SECMP0020 is approved, the [ID Allocation Procedure document](#) and related Excel spreadsheet will be updated to capture the provision of these unique identifiers.

It is worth noting that this information is already available freely through other mechanisms, e.g. via the ELEXON website in the case of the Balancing and Settlement Code (BSC). Therefore, this change will have no impact on security.

3.2 Draft Proposed Legal Text

The Proposed SECMP0020 legal drafting to deliver the Modification Proposal solution is provided in Attachment A.

4. Impacts and Costs

4.1 Impacts

The following section sets out the assessment of the impacts arising from SECMP0020.

Potential Impacts of SECMP0020	
Smart Energy Code Parties	
Suppliers	No Impact
Networks	
DCC	
Other	
Systems	
DCC Systems	No Impact
User Systems	
Smart Metering Systems	
Other	
Impact on Consumers	
No Impact	
SEC and subsidiary documents	
SEC Sections	SEC Schedule 5, paragraphs 13, 14 & 15 will have their references to confidentiality removed. The proposed legal changes can be found in Attachment A.
Subsidiary Documents	No impact.
Other Industry Codes and Documents	
No Impact	
Greenhouse gas emissions	
No Impact	

4.2 Costs

The costs to implement this change will be limited to the Code Administrator's time and effort to making the necessary amendments to the SEC and release a new version to SEC Parties and the publication of it on the SEC Website.

The table below sets out the estimated working day effort to implement this change:

Implementation activities, cost and effort		
Implementation activities	Effort	Cost
- Application of approved changes to the SEC - Publication of new version of the SEC on the SEC Website and issuance to SEC Parties - Review and update any impacted SEC guidance materials	2 Man Days maximum	£1200 ¹

¹ Based on a blended rate of £600 per day

5. Implementation

5.1 Recommended Implementation approach

As this Modification Proposal only involves minor changes to SEC Schedule 5, and should have no impact on SEC Parties or the DCC, the following implementation approach is proposed:

- **5 Working Days** following the end of the 10 Working Day referral period that applies after the Change Board vote.

Note this is subject to SECMP0020 being treated and voted on by the Change Board as a Path 3 Self-Governance Modification Proposal.

6. Views on SECMP0020

This section contains the initial views of the Proposer regarding the modification's benefits against the SEC Objectives.

6.1 Proposers Views against SEC Objectives

Objectives (g): the seventh General SEC Objective is to facilitate the efficient and transparent administration and implementation of this Code.

The Proposer believes General SEC objective (g) is better facilitated by SECMP0020. This Modification Proposal will enable SEC Parties to efficiently map unique identifier information to SEC Parties. SECMP0020 will aid SEC Parties to gain efficiency by enabling quicker identification of SEC Parties and organisations by having the MPID provided within the ID Allocation Procedure excel spreadsheet.

6.2 Sub-Committee Views on SECMP0020

The changes proposed by SECMP0020 do not impact the Technical or Business Architecture or matters relating to the Security Architecture and/or provisions, and therefore no other Panel Sub-Committee remits are impacted by this change.

6.3 SEC Party views

This section will be updated to summarise the Modification Report Consultation responses following the completion of the consultation.

7. SEC Panel Decisions

The Panel:

- **AGREED** to allow the Modification Report to proceed to the Modification Report Consultation;
- **AGREED** that no SEC Party Categories are likely to be affected by the Modification Proposal; and
- **AGREED** that the Modification Report Phase will follow the timetable as set out below:

Modification Timetable	
Activity	Date
Modification Report consultation	17 th October - 7 th November 2016
Change Board vote	23 rd November 2016
Self-Governance referral period	24 th November– 7 th December 2016
Implementation	5 WDs following the end of referral period (estimated date: 14 th December 2016)

8. Further Information

More information is available in:

- Attachment A: SECMP0020 legal text
- Attachment B: SECMP0020 Report Phase Consultation Response form

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For further information, please see the [Modification Register](#) page of the SEC website.

Or contact the SECAS at secas@gemserv.com.

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Appendix 1 – Glossary and References

Glossary		
Acronym	Term	Plain English Summary
	Accession	Process through which applicants become SEC Parties.
BSC	Balancing and Settlement Code	The Legal document setting out the rules for the operation and governance of the Balancing Mechanism and Imbalance Settlement. All licensed electricity generators and suppliers must sign up to the BSC and other interested parties may also choose to do so
	Fast-Track Modifications	Means Modification Proposals (Path 4 Modifications) to correct typographical or other minor errors or inconsistencies to the Code (Section D2.8, SEC Stage 3.0).
	General SEC Objectives	The SEC Objectives are those objectives that the SEC has been designed to achieve.
GBCS	Great Britain Companion Specification	A SEC Subsidiary Document that describes the HAN interfaces of the ESME, GSME, CH, HCALCS, IHD and PPMID.
	Greenhouse Gas Emission	Means emissions of Greenhouse Gases, as defined in section 92 of the Climate Change Act 2008 (Section A1, SEC Stage 3.0).
MPID	Market Participant Identifier	This is a four alpha character ID and is registered within Market Domain Data (MDD) which maintains key information essential to the operation of the Supplier Volume Allocation arrangements.
MRA	Master Registration Agreement	The Master Registration Agreement (MRA) provides a governance mechanism to manage the processes established between electricity suppliers and distribution companies to enable electricity suppliers to transfer customers
MAM ID	Meter Asset Manager	An agent appointed by a gas Supplier to install and maintain gas meters.
MAP ID	Meter Asset Provider ID	The owner of a device to whom the registered supplier pays rents.
MOP ID	Meter Operator Participant ID	An agent appointed by an electricity supplier to install and maintain electricity meters.
MPAN	Meter Point Administration Number	number used to uniquely identify electricity supply points in Great Britain
MPRN	Meter Point Registration Number	A number used to uniquely identify gas supply points in Great Britain.
	Other Systems	Other systems identified in the section Statement of Impact on Central Systems.

Glossary		
Acronym	Term	Plain English Summary
	Party Category	Means one of the following categories: - the Large Supplier Parties collectively; - the Small Supplier Parties collectively; - the Electricity Network Parties collectively; - the Gas Network Parties collectively; or - the Other Sec Parties collectively. (Section A1, SEC Stage 3.0).
	Path Type	Means the Modification Path to be followed in respect of a Modification Proposal. The type of Path will depend upon the nature of the variation proposed in the Modification Proposal (D2.1, SEC Stage 3.0). The four Modification Paths under the SEC are: Path 1 Modifications: Authority-led (Section D2.4/D2.5, SEC Stage 3.0) Path 2 Modifications: Authority Determination (Section D2.6, SEC Stage 3.0) Path 3 Modifications: Self-Governance (Section D2.7, SEC Stage 3.0) Path 4 Modifications: Fast-Track Modifications (Section D2.8, SEC Stage 3.0)
RDP	Registration Data Provider	Network operators charged under licence obligation with providing registration data to the DCC (Xoserve for gas registration data, the Distribution Network Operators (DNOs) and independent DNOs (iDNOs) for electricity registration data)
	Smart Metering Systems	Means a system installed at premises for the purposes of the Supply of Energy to the premises that, on the date it is installed, as a minimum; (a) consists of the apparatus identified in; (b) has the functional capability specified by; and (c) compiles with the other requirements of, the Smart Metering Equipment Technical Specification that is applicable at the date (Section A1, SEC Stage 3.0). In summary, this includes: - Gas Smart Metering Equipment; - Electricity Smart Metering Equipment; - In Home Display; - Prepayment Interface Device; and - HAN Connected Auxiliary Load Control Switch.
UNC	Uniform Network Code	The Uniform Network Code (UNC) is the hub around which the competitive gas industry revolves, comprising a legal and contractual framework to supply and transport gas.
	Urgent Proposal	Means a Modification Proposal deemed an Urgent Proposal where the Authority directs the Panel to treat the Modification Proposal as an urgent Proposal (whether following a referral by the Panel pursuant to Section D4.5, or at the Authority's own initiation) (Section D4.5/D4.6, SEC Stage 3.0).
	User Systems	Means, in respect of each User (DCC User), the Systems of that User (including, where relevant, those of its Supplier Nominated Agent) used in relation to the Services and/or Smart Metering Systems (Section A1, SEC Stage 3.0).

References

The following table contains any useful links relevant to this change or referenced in this document

Links and References	
Description	Link
A Consultation on New Smart Energy Code Content (Stage 4) and consequential/ associated changes to licence conditions	https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/329306/SEC4_-_Consultation_Document.pdf