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MP167A ‘Review of SEC Documents (Testing Approach Document criteria)’

Legal text – version 1.1

Annex A

About this document

This document contains the redlined changes to the SEC that would be required to deliver this Modification Proposal.

Section A 'Definitions and Interpretation'

These changes have been redlined against Section A version 30.0.

Amend the following definitions as follows:

<u>Additional Interface Testing Code Implementation SIT</u>	has the meaning given to that expression in Section T3.34 (Additional Interface Testing). means the testing undertaken on implementation of this Code that tested the capability of the DCC and the component parts of the DCC Systems together with the Communications Hubs to interoperate with each other and with the RDP Systems.
<u>Additional Interface Testing Objective Common Test Scenarios Document</u>	has the meaning given to that expression in Section T3.35 (Additional Interface Testing). means the SEC Subsidiary Document set out in Appendix R.
<u>Additional SIT Enduring Testing Approach Document</u>	has the meaning given to that expression in Section T2.25 (Additional Systems Integration Testing). means the SEC Subsidiary Document set out in Appendix J.
<u>Additional SIT Objective SMKI and Repository Test Scenario Document</u>	has means the meaning given to SEC Subsidiary Document of that expression name set out in Section T2.26 (Additional Systems Integration Testing). Appendix K.
<u>Additional SMKI and Repository Testing Participant</u>	has the meaning given to that expression in Section T5.30 (Additional SMKI and Repository Testing). means, in respect of each Testing Service, the persons (whether or not they are Parties) who are entitled to undertake such tests, as described in Section H14 (Testing Services).
<u>Additional SRT Objective</u>	has the meaning given to that expression in Section T5.31 (Additional SMKI and Repository Testing).
<u>Common Test Scenarios Document</u>	means the SEC Subsidiary Document set out in Appendix R, which is originally to be developed pursuant to Section T6 (Development of Enduring Testing Documents).
<u>Device Selection Methodology</u>	has the meaning given to that expression in Section T1.3 (Device Selection Methodology).
<u>End-to-End Testing</u>	means the testing described in Section T4 (End-to-End Testing).

End-to-End Testing Approach Document	has the meaning given to that expression in Section T4.4 (End-to-End Testing Approach Document).
Enduring Testing Approach Document	means the SEC Subsidiary Document set out in Appendix J, which is originally to be developed pursuant to Section T6 (Development of Enduring Testing Documents).
Interface Testing	means the testing described in Section T3 (Interface Testing).
Interface Testing Approach Document	has the meaning given to that expression in Section T3.8 (Interface Testing Approach Document).
Interface Testing Objective	has the meaning given to that expression in Section T3.2 (Interface Testing Objective).
SIT Approach Document	has the meaning given to that expression in Section T2.5 (SIT Approach Document).
SIT Objective	has the meaning given to that expression in Section T2.2 (SIT Objective).
SMKI and Repository Test Scenario Document	means the SEC Subsidiary Document of that name set out in Appendix K, which is originally to be developed pursuant to Section T6 (Development of Enduring Testing Documents).
SMKI and Repository Testing	means the testing described in Section T5 (SMKI and Repository Testing).
SRT Approach Document	has the meaning given to that expression in Section T5.5 (SRT Approach Document).
SRT Objective	has the meaning given to that expression in Section T5.2 (SRT Objective).
Systems Integration Testing Testing Objectives	means the testing described in Section T2 (Systems Integration Testing). means one or more of the SIT Objective and the Interface Testing Objective.
Testing Participant	means, in respect of each Testing Service, the persons (whether or not they are Parties) who are entitled to undertake such tests, as described

~~in Section H14 (Testing Services), together with any other persons identified as such in Section T (Testing During Transition).~~

Amend Section A2 as follows:

- A2.6 ~~Except to the extent that any provision of Section T (Testing During Transition) otherwise provides (in which case that provision shall take precedence),~~ Section A2.7 shall apply, during the period prior to Completion of Implementation, where initial capital letters are used for any expression in this Code that either is not defined in this Code or the definition of which cannot be given effect by reference to the provisions of this Code.

Section D 'Modification Process'

These changes have been redlined against Section D version 8.0.

Amend Section D10 as follows:

SEC Release Testing Approach Document

- D10.18 The DCC, on request of the Panel, shall produce a document setting out how the requirements of Sections D10.13(d) and (e) will be satisfied (the "SEC Release Testing Approach Document"). A SEC Release Testing Approach Document shall be considered as part of the SEC Release Implementation Document for the relevant SEC Release.

- D10.19 The DCC shall ensure that each SEC Release Testing Approach Document sets out the following, as a minimum, in respect of the SEC Release in question:

- ~~(a) defines the testing objectives, including the assurance to be achieved;~~
- ~~(b) defines the testing strategy proposed amendments to this Code that will be followed; and~~
- (a) sets out the consequences subject of the testing, an explanation of the associated changes to the DCC Total System, and the testing objective;
- (b) the testing strategy environments and test phases to be used and how these will be sequenced;
- (c) the Devices to be used in testing (including the use of emulators);
- (d) the requirements (if any) for security testing;
- (e) the requirements (if any) for system capacity testing;
- (f) details of the testing that shall be undertaken, including costs and the scope of testing and the extent of testing (including negative tests) and the approach to regression testing and End Of Cycle testing;
- (g) the applicable Testing Issue thresholds and the process by which DCC may propose to exclude Testing Issues from being counted against the Testing Issue thresholds;

- (h) the process and expected timescales for managing the resolution of extant Testing Issues following completion of a test phase;
- (i) the entry criteria that must be met in order for a test phase to commence and who approves entry;
- (j) the exit criteria that must be met in order for a test phase to complete and who approves exit;
- (k) any key risks in business terms (and risk mitigation) identified in respect of the proposed approach to testing;
- (l) the approach to providing assurance of the testing undertaken;
- (m) matters to be included in DCC's test completion reports presented to the Panel; and
- (e)(n) the requirements (if any) for User testing and for determining any associated test completion.

D10.20 The DCC shall prepare and consult upon each SEC Release Testing Approach Document and any subsequent amendments. The DCC shall report to the Panel on the outcome of each such consultation. The Panel shall review and determine whether to approve each SEC Release Testing Approach Document and any subsequent amendments.

D10.21 The DCC and each person other than the DCC that participates in (or is required to participate in) testing under a SEC Release Testing Approach Document shall comply with the document approved by the Panel (including such amendments as are approved by the Panel from time to time).

Section E 'Registration Data'

These changes have been redlined against Section E version 10.0.

Amend Section E4 as follows:

RDP Entry Process Tests

- E4.2 The "RDP Entry Process Tests" are, in respect of an RDP, tests to demonstrate that the DCC and the RDP are capable of exchanging Data under Section E2 (Provision of Data), as such tests are further described in the Enduring Testing Approach Document. An RDP which successfully completed Systems Integration Testing Code Implementation SIT shall be deemed to have successfully completed the RDP Entry Process Tests.
- E4.3 Each RDP that has not (and is not deemed to have) successfully completed the RDP Entry Process Tests shall be entitled to undertake RDP Entry Process Tests. Each RDP that has been nominated by one or more Network Parties for which the RDP was not nominated at the time that it successfully completed the RDP Entry Process Tests (or was deemed to do so) shall be

entitled to undertake RDP Entry Process Tests in relation to such Network Parties. Each RDP is only obliged to successfully complete the RDP Entry Process Tests once.

Section F 'Smart Metering System Requirements'

These changes have been redlined against Section F version 13.0.

Amend Section F10 as follows:

Provision of Test Communications Hubs

- F10.4 The DCC shall, ~~from the relevant date set out in the End-to-End Testing Approach Document,~~ provide Test Communications Hubs to other Parties and to any other person that requests them (in each case in accordance with the other provisions of this Section F10). ~~The DCC shall take reasonable steps to provide Test Communications Hubs from an earlier date. Where the DCC is able to make Test Communications Hubs available from an earlier date, the DCC shall publish a notice to that effect on the DCC Website.~~
- F10.5 Where a person that is not a Party wishes to order Test Communications Hubs, the DCC shall offer terms upon which Test Communications Hubs may be ordered. Such offer shall be provided as soon as reasonably practicable after receipt of the request, and shall be based on the Specimen Enabling Services Agreement (subject only to such variations from such specimen form as are reasonable in the circumstances). A person that is bound by an agreement entered into with the DCC pursuant to this Section F10.5 shall be a "**TCH Participant**". The DCC shall not provide Test Communications Hubs to a person that is not a Party or a TCH Participant.
- F10.6 The DCC shall allow Parties and TCH Participants to order and return Test Communications Hubs via a reasonable means.
- F10.7 The DCC shall publish on the DCC Website a guide describing the process by which Parties and other persons may obtain and return Test Communications Hubs.

Section H 'DCC Services'

These changes have been redlined against Section H version 18.0.

Amend Section H14 as follows:

General: Systems and Devices

- H14.9 The DCC shall provide such facilities as are reasonably required in relation to the Testing Services, including providing:
- (a) for access to the Testing Services either at physical test laboratories and/or remotely;
 - (b) a reasonable number of Test Communications Hubs for use by Testing Participants at the DCC's physical test laboratories which represent each and every combination of HAN Variant and WAN Variant; and

- (c) a reasonable number of Devices (other than SMETS2+ Communications Hubs) for use by Testing Participants at the DCC's physical test laboratories, which Devices are to be:
 - (i) in the case of SMETS1 Devices as further described or set out in the Enduring Testing Approach Document; or
 - (ii) except for SMETS1 Devices, ~~of the same Device Models as those selected pursuant to the Device Selection Methodology and/or such others such~~ Device Models as the Panel approves from time to time (provided that, where Test Stubs (or other alternative arrangements) were used then such Tests Stubs (or other alternative arrangements) will be used in place of Devices until the DCC agrees with the Panel which Device Models to use).

General: SMKI Test Certificates

H14.11 The following shall apply in relation to Test Certificates:

- (a) the DCC shall, in accordance with the Enduring Testing Approach Document, issue and make available to Testing Participants copies of such Test Certificates as are reasonably necessary for the purposes of the Testing Participants undertaking Testing Services ~~and testing pursuant to Section T (Testing During Transition);~~
- (b) the DCC shall only use Test Certificates for the purposes envisaged by this Section H14.11 (and shall not use actual Certificates when providing the Testing Services ~~or undertaking tests pursuant to Section T (Testing During Transition);~~ except to such extent as is approved, and subject to any conditions imposed, by the SMKI PMA);
- (c) each Testing Participant to which Test Certificates are made available pursuant to this Section H14.11 shall only use those Test Certificates for the purposes for which such Test Certificates are made available (and shall not use actual Certificates when undertaking the tests referred to in this Section H14.11);
- (d) each Testing Participant to which Test Certificates are made available pursuant to this Section H14.11 shall be entitled to make those certificates available to others provided that such others only use them for the purposes for which such certificates were made available to the Testing Participant;
- (e) DCC shall ensure that the Test Certificates are clearly distinguishable from actual Certificates;
- (f) the DCC shall act in accordance with Good Industry Practice in providing the Test Certificates;
- (g) each Testing Participant shall act in accordance with Good Industry Practice in using the Test Certificates; and
- (h) each Testing Participant hereby, subject to Section M2.1 (Unlimited Liabilities):
 - (i) waives all rights, remedies and claims it would otherwise have (whether for breach of contract, in tort or delict or otherwise) against the DCC in respect of the Test Certificates;
 - (ii) undertakes not to bring any claim against the DCC in respect of the Test Certificates; and

- (iii) where it makes the Test Certificates available to others, undertakes to ensure that no such others bring any claim against the DCC in respect of such Test Certificates.

General: Testing Issue Resolution Process

H14.43 Where a matter is referred to the Panel for its consideration pursuant to Section H14.42, the Panel shall consider the matter further to decide upon the actions (if any) to be taken to resolve the Testing Issue, ~~unless the matter relates to testing undertaken pursuant to Section T (Testing During Transition), in which case the Panel shall notify the Secretary of State and shall consider the matter further and make such a decision only where, having received such a notification, the Secretary of State so directs.~~ Where the Panel considers the matter further, it may conduct such further consultation as it considers appropriate before making such a decision. Such a decision may include a decision that:

- (a) an aspect of the Code could be amended to better facilitate achievement of the SEC Objectives;
- (b) an aspect of the DCC Systems is inconsistent with the requirements of this Code;
- (c) an aspect of one or more Devices is inconsistent with the requirements of this Code; or
- (d) an aspect of the User Systems or the RDP Systems is inconsistent with the requirements of this Code.

Section L 'Smart Metering Key Infrastructure and DCC Key Infrastructure'

These changes have been redlined against Section L version 17.0.

Amend Section L3 as follows:

Certificates for Commissioning of Devices

~~L3.22~~ The DCC shall:

- ~~(a) prior to the commencement of Interface Testing, or by such later date as may be specified by the Secretary of State,~~ establish and lodge in the SMKI Repository, and
- ~~(b) subsequently maintain,~~

~~L3.23~~L3.22 such of its Certificates as are necessary to facilitate the installation at premises of Devices that are capable of being Commissioned.

Amend Section L4 as follows:

SMKI Interface Document Development

L4.6 The DCC shall develop drafts of the SMKI Interface Design Specification and SMKI Code of Connection:

- (a) in accordance with the process set out at Section L4.7; and

- (b) so that the drafts are available by no later than the date which falls six months prior to the commencement of ~~Systems Integration Testing~~Code Implementation SIT or such later date as may be specified by the Secretary of State.

Amend Section L6 as follows:

SMKI Repository Interface Document Development

- L6.6 The DCC shall develop drafts of the SMKI Repository Interface Design Specification and SMKI Repository Code of Connection:
- (a) in accordance with the process set out at Section L6.7; and
 - (b) so that the drafts are available by no later than the date which falls six months prior to the commencement of ~~Systems Integration Testing~~Code Implementation SIT or such later date as may be specified by the Secretary of State.

Amend Section L9 as follows:

The Registration Authority Policies and Procedures: Document Development

- L9.5 The DCC shall develop a draft of the SMKI RAPP:
- (a) to make provision for such matters as are specified in the Certificate Policies as being matters provided for in the SMKI RAPP;
 - (b) to make provision for such other matters as are necessary or appropriate in relation to the exercise of its functions as the Registration Authority;
 - (c) to make provision for such matters as are necessary or appropriate in relation to Test Certificates that are being made available to Testing Participants;
 - (d) to make such provision as the DCC may consider appropriate in relation to the means by which the identity and authorisation of individuals and Parties may be verified for the purposes of the DCCKI Services (in addition to any such provision made in respect of the SMKI Services);
 - (e) in accordance with the process set out at Section L9.6; and
 - (f) so that the draft is available by no later than the date which falls six months prior to the commencement of ~~Systems Integration Testing~~Code Implementation SIT or such later date as may be specified by the Secretary of State.

The Device Certification Practice Statement

- L9.9 For the purposes of the approval of the Device CPS by the SMKI PMA in accordance with Section L9.8(d):
- (a) the DCC shall submit an initial draft of the Device CPS to the SMKI PMA by no later than the date which falls three months prior to the commencement of ~~Systems Integration Testing~~Code Implementation SIT or such later date as may be agreed by the SMKI PMA;

- (b) the SMKI PMA shall review the initial draft of the Device CPS and shall:
 - (i) approve the draft, which shall become the Device CPS; or
 - (ii) state that it will approve the draft subject to the DCC first making such amendments to the document as it may direct; and
- (c) the DCC shall make any amendments to the draft Device CPS that may be directed by the SMKI PMA, and the amended draft shall become the Device CPS.

The Organisation Certification Practice Statement

L9.15 For the purposes of the approval of the Organisation CPS by the SMKI PMA in accordance with Section L9.14(d):

- (a) the DCC shall submit an initial draft of the Organisation CPS to the SMKI PMA by no later than the date which falls three months prior to the commencement of ~~Systems Integration Testing~~Code Implementation SIT or such later date as may be agreed by the SMKI PMA;
- (b) the SMKI PMA shall review the initial draft of the Organisation CPS and shall:
 - (i) approve the draft, which shall become the Organisation CPS; or
 - (ii) state that it will approve the draft subject to the DCC first making such amendments to the document as it may direct; and
- (c) the DCC shall make any amendments to the draft Organisation CPS that may be directed by the SMKI PMA, and the amended draft shall become the Organisation CPS.

The IKI Certification Practice Statement

L9.21 For the purposes of the approval of the IKI CPS by the SMKI PMA in accordance with Section L9.20(d):

- (a) the DCC shall submit an initial draft of the IKI CPS to the SMKI PMA by no later than the date which falls one month prior to the commencement of ~~Systems Integration Testing~~Code Implementation SIT or such later date as may be agreed by the SMKI PMA;
- (b) the SMKI PMA shall review the initial draft of the IKI CPS and shall:
 - (i) approve the draft, which shall become the IKI CPS; or
 - (ii) state that it will approve the draft subject to the DCC first making such amendments to the document as it may direct; and
- (c) the DCC shall make any amendments to the draft IKI CPS that may be directed by the SMKI PMA, and the amended draft shall become the IKI CPS.

Amend Section L10 as follows:

SMKI Recovery Procedure: Document Development

L10.7 The DCC shall develop a draft of the SMKI Recovery Procedure:

- (a) in accordance with the process set out at Section L10.8; and
- (b) so that the draft is available by no later than the date which falls six months prior to the commencement of ~~Systems Integration Testing~~ Code Implementation SIT or such later date as may be specified by the Secretary of State.

Amend Section L13 as follows:

DCCKI Interface Document Development

L13.15 The DCC shall develop drafts of the DCCKI Interface Design Specification and DCCKI Code of Connection:

- (a) in accordance with the process set out at Section L13.16; and
- (b) so that the drafts are available by no later than the commencement of ~~Systems Integration Testing~~ Code Implementation SIT or 2 March 2015 (whichever is earlier), or such later date as may be specified by the Secretary of State.

DCCKI Repository Interface Document Development

L13.29 The DCC shall develop drafts of the DCCKI Repository Interface Design Specification and DCCKI Repository Code of Connection:

- (a) in accordance with the process set out at Section L13.30; and
- (b) so that the drafts are available by no later than the commencement of ~~Systems Integration Testing~~ Code Implementation SIT or 2 March 2015 (whichever is earlier), or such later date as may be specified by the Secretary of State.

The DCCKI Registration Authority Policies and Procedures: Document Development

L13.35 The DCC shall develop a draft of the DCCKI RAPP:

- (a) to make provision for such matters as are specified in the DCCKI Certificate Policy as being matters provided for in the DCCKI RAPP;
- (b) to make provision for such other matters as are necessary or appropriate in relation to the exercise of its functions as the DCCKI Registration Authority;
- (c) in accordance with the process set out at Section L13.36; and
- (d) so that the draft is available by no later than the commencement of ~~Systems Integration Testing~~ Code Implementation SIT or 2 March 2015 (whichever is earlier), or such later date as may be specified by the Secretary of State.

The DCCKI Certification Practice Statement

L13.39 For the purposes of the review of the DCCKI CPS by the SMKI PMA in accordance with Section L13.38(d), the DCC shall submit an initial draft of the DCCKI CPS to the SMKI PMA by no later than the commencement of ~~Systems Integration Testing~~ Code Implementation SIT or 2 March 2015 (whichever is earlier), or such later date as may be agreed by the SMKI PMA.

Section T 'Testing during Transition'

SEC Section T is to have all text removed, and replaced with [Not in use] as this document is no longer applicable to the SEC.

Section X 'Transition'

These changes have been redlined against Section X version 10.0.

Amend Section X1 as follows:

Testing in respect of Additional Release Services

- X1. 17 A Party seeking to become a User for a particular User Role must undertake the User Entry Process Tests relevant to that User Role, as described in Sections H1 (User Entry Process) and H14 (Testing). ~~Completion of User Entry Process Tests by certain Parties in relation to certain User Roles also forms part of Interface Testing under Section T3 (Interface Testing), and (for so long as Section T4 (End to End Testing) applies) User Entry Process Tests are to be undertaken as part of End to End Testing.~~ Certain Services are only available to Parties that have become a User for the applicable User Role, as described in Section H3 (DCC User Interface) and the DCC User Interface Services Schedule. Where the Secretary of State makes directions pursuant to Section X3 (Provisions to Become Effective Following Designation) whereby the Common Test Scenarios Document is varied on it first becoming effective so that there are Service Requests that are deemed to be omitted from the document, then the following provisions shall apply:
- (a) the Service Requests that are subject to such a direction shall, for so long as the variation in respect of that Service Request remains in effect, be "**Additional Release Services**";
 - (b) Parties that start User Entry Process Tests at a time where there are Additional Release Services shall undertake (and be able to successfully complete) the User Entry Process Tests without reference to those Additional Release Services;
 - (c) a User that completes User Entry Process Tests that did not include testing of Service Requests that used to be (but are no longer) Additional Release Services shall (notwithstanding any other provision of this Code) not be an Eligible User for those Service Requests until that User has successfully completed the applicable Additional SR Tests for those Service Requests; and
 - (d) "**Additional SR Tests**" means, in respect of one or more Service Requests that used to be (but are no longer) Additional Release Services, testing equivalent to User Entry Process Tests but undertaken only in respect of those Service Requests. Accordingly, and without limitation, the following shall apply:
 - (i) Additional SR Tests shall constitute a Testing Service, and shall therefore be subject to the provisions of Section H14 (Testing Services);
 - (ii) Additional SR Tests shall be provided by the DCC, and shall be capable of being undertaken by Parties, in accordance with Sections H14.12 to H14.21 (User Entry Process Tests), but:

- (A) construed by reference to only those relevant Service Requests;
- (B) where a Party has already demonstrated capability for the purposes of User Entry Process Tests, this can be relied upon for the purposes of the Additional SR Tests (unless the DCC considers that this is not appropriate for those Additional SR Tests);
- (C) potentially (as provided for in the Common Test Scenarios Document) without the need to re-test the DCC Gateway Connection;
- (D) without the need to re-test the Self-Service Interface; and
- (E) subject to any other exceptions provided for in the Common Test Scenarios Document; ~~and,~~

~~(e) — any provisions from time to time applying to User Entry Process Tests pursuant to the Interface Testing Approach Document or the End to End Testing Approach Document shall apply equally to Additional SR Tests (unless otherwise set out in those approach documents).~~

Amend Section X9 as follows:

X9. INTERIM DEVICE AND USER SYSTEM TESTING

Interim Device Testing

X9.1 The DCC shall provide a testing service (referred to in this Section X9 as "**GFI Testing**") to enable eligible persons to test the interoperability of Devices (other than those comprising Communications Hubs) with the DCC Systems and with the Communications Hubs to be provided as part of the Testing Services, such that those Devices are able to respond to Commands received from or via the DCC in accordance with the requirements defined in the GB Companion Specification. ~~The DCC shall provide GFI Testing as soon as reasonably practicable after this Section X9.1 takes effect, and (in any event) from the commencement of End to End Testing.~~

Interaction with Device and User Systems Tests

~~X9.7 — The DCC shall not provide (and no Party shall be entitled to undertake) any testing of Devices under Section H14.31(a) (Device and User System Tests) during the period (if any) between commencement of GFI Testing and commencement of End to End Testing.~~

~~X9.8 — The DCC shall not provide (and no Party shall be entitled to undertake) any testing of Systems under Section H14.31(c) (Device and User System Tests) during the period between commencement of Pre-UEPT Testing and commencement of End to End Testing.~~

~~X9.9 — The DCC shall continue to make the tests under this Section X9 available following the commencement of End to End Testing.~~

~~X9.7 Not used.~~

~~X9.8 Not used.~~

X9.9 Not used.

Appendix K 'SMKI and Repository Test Scenarios Document'

These changes have been redlined against Appendix K version 3.0.

Amend section 5 as follows:

5.3.2 SREPT Entry Criteria

Each Relevant Party or RDP wishing to undertake SREPT must comply with (and, where specified below, shall provide evidence of complying with) the following criteria prior to entry into SREPT:

- the interfaces that the Relevant Party or RDP wishes to access must have been identified to determine the SMKI & Repository Entry Process Tests that are to be undertaken;
- all relevant test artefacts (as set out in sections 5.3.1.1, 5.3.1.4 and 5.3.1.5) must have been produced by the Relevant Party or RDP and accepted by the DCC. This includes:
 - Party / RDP Notification of Intention to Undertake Testing
 - Test Readiness Report
 - Test Plan incorporating the Test Schedule
 - Requirements Traceability Matrix
 - Test Scripts
 - Test Data Plan
- have provided evidence that an appropriate test environment and an appropriate level of resources available to support the SREPT process; and
- confirm that the security requirements set out in the Enduring Testing Approach Document have been met.

Where the DCC reasonably believes that the Relevant Party or RDP has not met the Entry Criteria, the DCC may:

- prevent the Relevant Party or RDP from undertaking SREPT until such time as the DCC reasonably believes that the Relevant Party or RDP meets the Entry Criteria (and in which case DCC shall inform the Relevant Party or RDP why it believes that they have not met the Entry Criteria); and
- reschedule the test start date for the Relevant Party or RDP. In doing so, the DCC shall provide the earliest practicable alternative date; or
- provide provisional approval of the Test Readiness Report (and approval to proceed) with an understanding that the outstanding documentation will be provided before the start of testing, otherwise testing will not commence.

Pursuant to Section H14.25, where the DCC does not reasonably believe that a Relevant Party or RDP meets the Entry Criteria to commence testing, the Relevant Party or RDP may refer the matter to the Panel. Where the Panel determines that the Relevant Party or RDP has met the

Entry Criteria, the DCC shall schedule the start of testing as soon as reasonably practicable thereafter.

~~SREPT shall only commence when DCC has successfully completed Part 1 of SMKI and Repository Testing.~~

Appendix AC ‘Inventory Enrolment and Decommissioning Procedures’

These changes have been redlined against Appendix AC version 5.0.

Amend clause 2 as follows:

- 2.7 The DCC shall not send any communication to a Device unless the Device is listed in the Smart Metering Inventory; save for communications sent for the purposes of testing under Section H14 (Testing Services) ~~or Section T (Testing During Transition).~~

Appendix AJ ‘SEC Variation Testing Approach Document (SVTAD)’

These changes have been redlined against Appendix AJ version 3.0.

Amend the Table 3 - Glossary as follows:

Term	Meaning
<u>User Interface Testing</u>	<u>means the testing that tests the capability of the DCC and the DCC Systems together with the Communications Hubs to interoperate with User Systems.</u>